



Administration for Children and Families

Administration on Children, Youth and Families

Comprehensive Support Services for Families Affected by Substance Abuse and/or HIV/AIDS

HHS-2012-ACF-ACYF-CB-0286

Application Due Date: 06/22/2012

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Department of Health & Human Services
Administration for Children & Families

Program Office: Administration on Children, Youth and Families - Children's Bureau
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Notice: On January 1, 2012, the Administration for Children and Families implemented required electronic application submission via www.Grants.gov for discretionary grant applications. (76 Fed. Reg. 66721-66723, October 27, 2011, [New Policies and Procedural Requirements for the Electronic Submission of Discretionary Grant Applications](#)). Please see *Section III.3. Disqualification Factors*, *Section IV.2. Content and Form of Application Submission* and *Application Submission Options*, and *Section IV.3. Explanation of Due Dates and Times* for information on electronic application submission and the availability of exemptions allowing applicants to submit applications in paper format.

Executive Summary:

The Administration on Children, Youth and Families (ACYF), Children's Bureau (CB), announces the availability of competitive grants authorized by the Abandoned Infants Assistance Act of 1988, as amended. These grants will be awarded to eligible applicants for activities and services that are designed to increase well-being, improve permanency, and enhance the safety of infants and young children who have been exposed to a dangerous drug or have been exposed to HIV/AIDS and/or at risk of being placed in out-of-home care as a result of the parent(s)' substance abuse or HIV status.

The purposes of this funding opportunity announcement (FOA) are as follows:

- To develop and implement programs of comprehensive community-based support services for infants and young children, particularly those who have been perinatally exposed to a dangerous drug, those with, or who have been perinatally exposed to, the human immunodeficiency virus (HIV), or those who have a life-threatening illness or other special medical need, and their natural families, including older siblings;
- To evaluate the implementation and outcomes of these comprehensive support services; and
- To develop these programs as identifiable sites that other States/locales seeking to implement comprehensive support services for this target population can look to for guidance, insight, and possible replication.

Applicants are encouraged to have a coordinated or collaborative infrastructure in place that can enhance the project's capacity to meet a broad range of needs for families involved in substance abuse and/or HIV/AIDS issues. Applicants are encouraged to test targeted approaches to substance and HIV/AIDS issues affecting the family (including older siblings) and the applicant may choose to focus the project's efforts on a particular point along the continuum of services from prevention treatment to aftercare services. Funds may be used for services that are consistent with the proposed grant proposal and may include, but not be limited to, work with:

- Family-based substance abuse treatment services;
- Early intervention and preventative services;
- Child and family counseling;
- Referrals to mental health services; and/or
- Parenting skills training.

When considering a service approach and anticipating program outcomes, applicants should carefully review the full announcement and consider the programmatic and evaluation related activities. To successfully increase well-being, improved permanency, and enhanced safety for children affected by substance and/or HIV/AIDs, the applicant might consider implementing evidence-based, evidenced-informed, and/or trauma-informed practices or other effective treatments. Additionally, the applicants should provide evidence that these interventions are an appropriate fit for the characteristics and needs of the targeted service population and that the treatments services are feasible based on the capacity and resources available.

I. Funding Opportunity Description

Statutory Authority

The legislative authority is Section 101 of the Abandoned Infants Assistance Act of 1988, Public Law (P.L.) 100-505, as amended, 42 U.S.C., Section 670, Note.

Description

Among the purposes of P.L. 100-505, the Abandoned Infants Assistance Act of 1988, as amended, are to establish a program of local support services projects in order:

- To prevent the abandonment in hospitals of infants and young children, particularly those who have been perinatally exposed to a dangerous drug, those with the human immunodeficiency virus (HIV) or who have been perinatally exposed to the virus, or those who have a life-threatening illness or other special medical needs;
- To identify and address the needs of those infants and children who are, or might be, abandoned;
- To develop a program of comprehensive support services for these infants and young children and their natural families (see Definitions) that include, but are not limited to, foster family care services, case management services, family support services, respite and crisis intervention services, counseling services and group residential home services; and
- To recruit and train health and social services personnel, foster care families, and residential care providers to meet the needs of abandoned children and infants and children who are at risk of abandonment.

The legislation also allows for the provision of a technical assistance training program to support the planning, development and operation of the local comprehensive support services projects. The reauthorized legislation requires the Secretary to give priority to applicants located in States that have developed and implemented procedures for expedited termination of parental rights and placement for adoption of infants determined to be abandoned under State law.

Definitions

Abandoned and Abandonment: The terms "abandoned" and "abandonment," used with respect to infants and young children, mean that the infants and young children are medically cleared for discharge from acute-care hospital settings, but remain hospitalized because of a lack of appropriate out-of-hospital placement alternatives.

Acquired Immune Deficiency Syndrome (AIDS): The term "acquired immune deficiency syndrome" includes infection with the etiologic agent for such syndrome, any condition indicating that an individual

is infected with such etiologic agent, and any condition arising from such etiologic agent.

Dangerous Drug: The term "dangerous drug" means a controlled substance, as defined in section 102 of the Controlled Substances Act (21 U.S.C. 802).

Natural Family: The term "natural family" shall be broadly interpreted to include natural parents, grandparents, family members, guardians, children residing in the household, and individuals residing in the household on a continuing basis who are in a care-giving situation, with respect to infants and young children covered under this Act.

Ensuring the Well-Being of Vulnerable Children and Families

The Administration on Children, Youth and Families (ACYF) is committed to facilitating healing and recovery and promoting the social and emotional well-being of children who have experienced maltreatment, exposure to violence, and/or trauma. This FOA and other discretionary spending this fiscal year are designed to ensure that effective interventions are in place to build skills and capacities that contribute to the healthy, positive, and productive functioning of children and youth into adulthood.

Children who have experienced maltreatment, exposure to violence, and/or trauma are impacted along several domains, each of which must be addressed in order to foster social and emotional well-being and promote healthy, positive functioning:

Understanding Experiences: A fundamental aspect of the human experience is the development of a world view through which one's experiences are understood. Whether that perspective is generally positive or negative impacts how experiences are interpreted and integrated. For example, one is more likely to approach a challenge as a surmountable, temporary obstacle if his or her frame of reference includes a sense that "things will turn out alright." On the contrary, negative experiences can color how future experiences are understood. Ongoing experiences of abuse might lead children to believe they deserve to be maltreated and affect their ability to enter into and stay engaged in safe and healthy relationships. Interventions should seek to address how young people frame what has happened to them in the past and their beliefs about the future.

Developmental Tasks: People grow physically and psychosocially along a fairly predictable course, encountering normal challenges and establishing competencies as they pass from one developmental stage to another. However, adverse events have a marked effect on the trajectory of normal social and emotional development, delaying the growth of certain capacities, and, in many cases, accelerating the maturation of others. Intervention strategies must be attuned to the developmental impact of negative experiences and address related strengths and deficits to ensure children and youth develop along a healthy trajectory.

Coping Strategies: The methods that young people develop to manage challenges both large and small are learned in childhood, honed in adolescence, and practiced in adulthood. Those who have been presented with healthy stressors and opportunities to overcome them with appropriate encouragement and support are more likely to have an array of positive, productive coping strategies available to them as they go through life. For children who grow up in unsafe, unpredictable environments, the coping strategies that may have protected them in that context may not be appropriate for safer, more regulated situations. Interventions should help children and youth transform maladaptive coping methods into healthier, more productive strategies.

Protective Factors: A wealth of research has demonstrated that the presence of certain contextual factors (e.g., supportive relatives, involvement in after-school activities) and characteristics (e.g., self-esteem, relationship skills) can moderate the impacts of past and future negative experiences. These protective factors are fundamental to resilience; building them is integral to successful intervention with children, youth, and families.

The skills and capacities in these areas support children and youth as challenges, risks, and opportunities arise. In particular, each domain impacts the capacity of young people to establish and maintain positive relationships with caring adults and supportive peers. The necessity of these relationships to social and

emotional well-being and lifelong success in school, community, and at home cannot be overstated and should be integral to all interventions with vulnerable children and youth. Additionally, building these skills and capacities through the implementation of effective interventions will ready children, youth, and families for positive permanency outcomes.

An important component of promoting social and emotional well-being includes addressing the impact of trauma, which can have a profound effect on the overall functioning of children and youth. ACYF promotes a trauma-informed approach, which involves understanding and responding to the symptoms of chronic interpersonal trauma and traumatic stress across the domains outlined above, as well as the behavioral and mental health sequelae of trauma.

ACYF anticipates a continued focus on social and emotional well-being as a critical component of its overall mission to ensure the safety, permanency, and well-being of children.

BACKGROUND

Direct service programs that receive funding from CB under the Abandoned Infants Assistance (AIA) Act are diverse, operating out of hospitals, community-based agencies, and child welfare agencies. While they each provide a set of services tailored to meet the needs of their specific populations and circumstances, in aggregate, they provide the following services: case management, parenting education and support, transportation assistance, basic resource assistance, mental health counseling, home visiting, infant developmental screening and intervention, primary health care, and drug treatment and recovery support. These services are intended to promote child and family health, well-being, and stability.

AIA programs serve biological, kinship, foster, and adoptive families who care for drug and HIV affected children. These children may have been abandoned in hospitals or are at risk of abandonment. These families face an array of complex societal problems including poverty; homelessness; substance and alcohol abuse; physically, sexually, and emotional abusive relationships or histories; HIV infection; and mental illness. Information about current and previously funded grants under this program is available at:

- ACYF Directory of Funded Grants <http://www.acf.hhs.gov/programs/grantreview/directory/> - for abstracts and contact information for grantees starting with Fiscal Year (FY) 2002--use "Guided Search", select "Year", select "Children's Bureau", select "Programs", and select the Abandoned Infants Assistance program.
- Children's Bureau Listing of Discretionary Grant and Competitive Grant Awards - http://www.acf.hhs.gov/programs/cb/programs_fund/discretionary/dis_index.htm For awardees names and funding levels starting with FY 2000.
- Children's Bureau Discretionary Grants Library - http://www.acf.hhs.gov/programs/cb/programs_fund/index.htm#disc for information related to specific CB grant projects starting with FY 1995.

Projects funded under this program receive training and technical assistance from the National Abandoned Infants Assistance Resource Center whose mission is to enhance the quality of social and health services delivered to children who are abandoned or at risk of abandonment due to the presence of drugs and/or HIV in the family. The AIA Resource Center is part of CB's Training and Technical Assistance (T/TA) Network, which is designed to improve child welfare systems and to support States and tribes in achieving sustainable, systemic change that results in greater safety, permanency, and well-being for children, youth, and families.

Funded projects collaborate with the AIA Resource Center to share knowledge being developed with other grantees and the wider field. The AIA Resource Center helps disseminate the knowledge developed in these projects in a way that will make it accessible and useful to States and tribes, and to the T/TA Network as it provides training and technical assistance.

Related Federal Efforts

In recent years, HHS has undertaken a number of projects and activities to explore and address the issue of

substance abuse among families. Listed below are links to Web sites containing information. Applicants may wish to review these resources as they develop their applications.

CB has placed an emphasis on achieving permanency for children in the child welfare system, and finding effective ways to address concurrent substance abuse and child welfare issues in families is an area of renewed importance in attaining child and family well-being. Recent child welfare literature describes the extent and scope of the problem of substance abuse in the general, and specifically in the child welfare population, the types of services needed by this population, and the effectiveness of these services. The building of collaborative relationships, the assurance of timely access to comprehensive substance abuse treatment services, the improvement of the ability to engage and retain clients in care and to support ongoing recovery, the enhancement of children's services, and the filling of information gaps are all identified as important actions that must occur in order to improve outcomes for vulnerable children and families.

CB currently funds approximately 300 discretionary grants over 50 different program areas. Through their work with a broad spectrum of populations within the child welfare arena, discretionary grantees develop a wealth of knowledge across numerous program areas. The findings from these programs can be useful in informing the field of promising practices. Specifically, CB has addressed the issues related to substance abuse and child welfare through a number of avenues, including formula and discretionary grants. Applicants are strongly encouraged to utilize the knowledge being developed by CB discretionary research and demonstration projects and other related T/TA activities when developing proposals in response to this FOA. For more information on CB discretionary grant programs, please see http://www.acf.hhs.gov/programs/cb/programs_fund/index.htm#disc and http://basis.caliber.com/cbgrants/ws/library/docs/cb_grants/GrantHome.

Resources covering a wide range of topics from prevention to permanency, including child welfare, child abuse and neglect, and adoption can be accessed online at <http://www.childwelfare.gov>.

Tips for Preparing a Competitive Application

It is essential that applicants read the entire announcement package carefully before preparing an application and include all of the required application forms and attachments. The application must reflect a thorough understanding of and support the purpose and objectives of the applicable legislation. Reviewers expect applicants to understand the goals of the legislation and CB's interest in each topic, and to address and follow all of the evaluation criteria in ways that demonstrate this understanding. Applications that do not clearly address the evaluation criteria or program requirements generally receive very low scores and are rarely funded.

CB's Web site (<http://www.acf.hhs.gov/programs/cb>) provides a wide range of information and links to other relevant Web sites. Before preparing an application, applicants can learn more about CB's mission and programs by exploring the Web site.

PROGRAM REQUIREMENTS

NOTE: See *Section IV. Application and Submission Information/The Project Description* for additional instructions for applicants.

The target population of the AIA Program is infants and young children, particularly those who have been perinatally exposed to a dangerous drug, those with, or who have been perinatally exposed to, HIV, or those who have a life-threatening illness or other special medical need, and their natural families. These infants and their families have numerous and complex service needs that are likely to require the services of many different community-based agencies. Impaired by their substance addictions and/or weakened by their HIV/AIDS status, these parents are less likely to engage in appropriate parenting practices and therefore deprive their children of basic nurturing and caretaking. Children of these parents are more likely to have poorer physical, intellectual, emotional, and development outcomes, and are at risk of being placed in out-of-home-care and of developing challenging healthy outcomes.

Projects funded under this FOA must utilize an existing consortium of community-based service providers or develop a consortium to coordinate services and/or collaborate on services provision. Qualified faith-based and community organizations may be part of the consortium delivering these services. These projects must take a systemic approach to obtaining and providing a comprehensive set of services to this client population.

The variety of community-based programs and services that are provided by AIA programs are structured to prevent the abandonment of infants and young children affected by substance abuse and/or HIV/AIDS, including, but not limited to:

- Case management;
- Parenting education and support;
- Transportation assistance;
- Basic resource assistance;
- Mental health counseling;
- Home visiting;
- Infant developmental screening and intervention;
- Primary health care; and
- Drug treatment and recovery support.

The service array provided by AIA programs must be designed to promote the development of protective factors that support the healthy development of child(ren) of substance-abusing and/or HIV/AIDS-affected parent(s), promote better outcomes for these families, and reduce the likelihood of these children entering care. CB is interested in supporting programs that further the understanding of the complex ways risk and protective factors interact to affect positive family functioning. Five factors that have been associated with reducing the incidence of abuse and neglect are:

1. Parental resilience;
2. Nurturing and attachment;
3. Social connections;
4. Concrete supports for parents; and
5. Knowledge of parenting and child and youth development.

Services should include a broad base of supports for families that also promote their ability to problem-solve to resolve family issues and promote strong attachments between parents and child(ren). Services should include provision of basic needs such as food, clothing, access to shelter, and access to health care through collaboration with other community agencies. Substance-abusing and HIV/AIDS-affected families experience extreme stress and a network of support can mitigate the negative effects of stress for parent(s) while supporting the child(ren)'s developmental needs. Programs can assist parent(s) in making social contact (through peer-to-peer counseling, group meetings to celebrate holidays or other community events) to reduce isolation - a consistent risk factor for drug use and other negative family functioning.

In order to provide needed services and efficiently use all relevant community resources, funded projects must have or develop a strong infrastructure of community-based collaboration in delivering the services. This collaborative should recognize and respect individualized care practices and deliver services and program supports in a culturally competent manner.

The consortium of community-based service providers, funded projects could include the following entities: child welfare, legal services, substance abuse treatment, mental health, parent support programs, caregiver support programs, in-home visiting, respite care, housing assistance, and quality childcare support. Funded projects may also provide support services to relative caretakers for children of substance-abusing and/or HIV/AIDS-affected mothers, and therapeutic recreational services for young children and families impacted by HIV/AIDS. Projects should demonstrate shared responsibility for case management (e.g., joint social services-medical case management) and integration of case plans for

multiple agencies.

To the extent it is programmatically and geographically possible, projects will be encouraged to coordinate services/treatment options with other relevant CB discretionary grant projects and other Federally or locally funded efforts to serve a similar population. One example is the Regional Partnership Grants (*Targeted Grants to Increase the Well-Being of, and to Improve the Permanency Outcomes for, Children Affected by Methamphetamine or Other Substance Abuse*)

http://www.acf.hhs.gov/programs/cb/programs_fund/discretionary/2007.htm. Projects will also be expected to coordinate services/treatment options with Ryan White grantees.

The remainder of this section defines minimal project requirements.

Target Population

The target population is children and families who have been exposed to substance abuse and/or HIV/AIDS and whose children may be at risk of out-of-home placement.

Coordination

Funded projects must coordinate with local community-based agencies that provide needed services to the target population. These projects will build on their experience in:

1. Coordinating services with community-based agencies in service provision;
2. Developing cross training and staff development among agencies;
3. Developing processes for communication and information sharing across agencies; and
4. Developing, sharing, and meeting program outcomes among agencies.

Program Strategies and Activities

Funded projects will conduct activities that increase the well-being, permanency, and enhanced safety of children and their families. These activities must meet ACYF's goals for this grant program. Allowable services and activities are described below:

1. Services and activities for children and youth that address child well-being as it relates to exposure to substance abuse and/or HIV/AIDS and possibly trauma, including:
 - Services to substance exposed newborns to enhance identification, intervention and the coordination/enhancement of services. This could include programs such as home visiting services or referrals and linkages for medical and/or developmental follow-up with pediatric specialists knowledgeable about exposure to drugs and HIV/AIDS.
 - Screening and assessment of child well-being. In infancy and early childhood this would reflect development in four general domains: 1) language development and communication; 2) intellectual ability and cognitive functions; 3) physical development and motor skills; and 4) socio-emotional functioning. In middle childhood, well-being involves the assessment of socio-emotional functioning and general social competence, academic achievement, peer relationships and social skills, a developing sense of identity and the nature of social support. In adolescents, emotional health, social adaptation, academic achievement, and preparation for adult roles and responsibilities are evaluated.
 - Evidence-based or developmentally appropriate approaches to promoting child well-being. Approaches would be tailored to the specific needs of the child.
 - Access to appropriate mental health services for targeted children. These might include psychological first aide and de-escalation, development of coping strategies, relaxation and self-control strategies, encouragement of expression of feelings, services that address relationship concerns, and other approaches, e.g., evidence-based, trauma-focused interventions (i.e. trauma-focused cognitive behavioral therapy).
 - Early intervention and preventive services for children and adolescents to provide access to participation in evidence-based programs, as available, and services for children and adolescents to

address the increased risk for intergenerational abuse and dependence on illegal substances.

- Substance abuse treatment for adolescents in the targeted family, to provide increased capacity to provide developmentally appropriate, trauma-informed treatment services to adolescent family members who, like their parent(s), have a diagnosable substance use disorder.
2. Substance abuse treatment and HIV/AIDS treatment services for parents and families, including:
- Timely access to comprehensive substance abuse and/or HIV/AIDS treatment to ensure that the targeted families have access to comprehensive, trauma-informed, substance abuse treatment services (and concurrent mental health services as needed) that meet the needs of the entire family.
 - Referral to long-term residential treatment programs where children can live on-site with mothers, and where children's father and/or the mother's partner is served as well (in residence or not). Services are provided for all family members, including access to family counseling and individual care plans for the adult and child members of the family.
 - Referral to intensive out-patient treatment with or without a housing component (i.e. sober living homes). Services are provided for family members as well, including access to family counseling and individual care plans for the adult and child members of the family.
 - Access to medication assisted treatment, especially for pregnant and post-partum women.
 - Continuing care and recovery support services to support the recovery of parents after treatment, through connections to treatment and community support services such as Alcoholics Anonymous or Narcotics Anonymous and case management.
 - To the extent possible, services are provided in a "trauma-informed" environment that acknowledges the trauma experiences of persons with a substance use disorder and/or HIV/AIDS, and the staff is trained to create a safe, non-re-traumatizing environment where services are received.
3. Services for parents and children that address family functioning, including:
- Parenting skills training to promote the parenting abilities of parents impacted by substance abuse and/or HIV/AIDS.
 - Access to programs to address relational problems and concerns including such programs as Parent-Child Interaction Therapy, Brief Strategic Family Therapy, Familias Unidas, Child Parent Psychotherapy, Functional Family Therapy, and others.
 - Training for foster parents, relatives, and other substitute caretakers about the special needs of children and youth who have suffered from abuse or neglect and whose parents have a substance use disorder. For example, training might focus on the support of caretakers who are caring for children whose parent is abusing drugs.
 - Family counseling to strengthen family functioning and assist with reunification of families, when children have been in out-of-home placements, such as Multi-Systemic Family Therapy, Family Therapy.
 - Ancillary services for families to provide assistance in securing needed services such as safe and drug-free housing, safe-housing for those with HIV/AIDS and transportation and child care.

Using Evidence-Based Practices

Projects may use an evidenced-based practice that is appropriate for the targeted population. An evidence-based practice, also called EBP, refers to approaches that are validated by some form of documented research evidence.

Note: CB recognizes that EBPs have not been developed for all populations and/or service settings. For example, certain interventions for American Indians/Alaska Natives, rural or isolated communities, or recent immigrant communities may not have been formally evaluated and, therefore, have a limited or nonexistent evidence base. In addition, other interventions that have an established evidence base for certain populations or in certain settings may not have been formally evaluated with other subpopulations or within other settings. Projects that serve a population with an intervention that has not been formally

evaluated with that population must build on other forms of evidence that the practice(s) they implement are appropriate for the targeted population. Evidence for these practices may include unpublished studies, preliminary evaluation results, clinical (or other professional association) guidelines, findings from focus groups with community members, or the applicant's experience with the population of focus or managing similar programs.

Note: For additional instructions for applicants, see *Section IV.2, The Project Description*.

Resources for Evidence-Based Practices

Resources on effective and promising EBPs and Evidence-Informed Practices (EIPs) can be found through:

- SAMHSA's National Registry of Evidence-Based Programs and Practices
<http://www.nrepp.samhsa.gov/>
- Selecting and Identifying Evidence-Based Interventions (This was developed by the Substance Abuse Mental Health Services Administration as a guide for its State Incentive Grant Program, but the concepts are relevant for child abuse prevention.)
<http://store.samhsa.gov/shin/content/SMA09-4205/SMA09-4205.pdf>
- Evidence-Based Mental Health Therapies (Child Welfare Information Gateway)
<http://www.childwelfare.gov/systemwide/mentalhealth/effectiveness/evidence.cfm>
- Home Visiting Evidence of Effectiveness <http://homvee.acf.hhs.gov/>

Evaluation

NOTE: See *Section IV.2 The Project Description/Evaluation* for additional instructions for applicants.

Grantees will implement a rigorous evaluation in order to determine their project's effectiveness in improving the well-being, permanency and safety of infants and young children affected by parental substance abuse or HIV/AIDS. Experimental designs involving random assignment to treatment and control groups are the preferred, but not the only, method for determining the intervention impacts. Projects may use another type of evaluation research design if that design is the most rigorous design possible for addressing the outcomes.

Where applicable, project evaluations must include an appropriate comparison group for determining the influence of the project activities on outcomes. The comparison group and the program/treatment group are assigned at random or matched on key characteristics. If not assigned at random or matched on key characteristics, there must be a reasonable explanation of how pre-existing differences between the comparison group and treatment group are addressed. Research designs that include a comparison group, particularly a contemporaneous comparison group (that is, with data on the comparison clients for the same time period as the intervention group), are strongly preferred.

If applicable, projects must secure informed consent and implement an Institutional Review Board (IRB) review. General information about the HHS Protection of Human Subjects regulations can be obtained at <http://www.hhs.gov/ohrp/>, or by contacting Office of Human Research Protections (OHRP) by email at ohrp@csophs.dhhs.gov or by phone at 240-453-6900.

Projects funded under this FOA must collect descriptive data on characteristics of individuals and families served, types and nature of needs identified and met, the services provided, measures of client outcomes, child development and well-being, client satisfaction, parenting skills, parent/child interaction, program costs, service utilization, and any other such information as may be required by CB. CB will expect grantees to engage in an evaluation of sufficient rigor to demonstrate potential linkages between project activities and improved outcomes. Guided by a logic model for the project, this evaluation will include both process and outcome evaluation components. The process evaluation will assess the implementation of the project, as well as the linkages between the collaborative partners that will help ensure that the identified needs of children and families are met. CB is interested in the factors and strategies associated with successful partnerships and collaborative efforts, and the potential for sustainability of work undertaken through community-based collaborations and partnerships. Data from this evaluation are

expected to inform programmatic improvements or adjustments, as well as expand the knowledge base on what may be potentially effective strategies for maintaining or strengthening family stability among substance-abusing and/or HIV/AIDS-affected families.

The outcome component will use a sufficiently rigorous approach to examine how the approaches used in this demonstration project affect key stated outcomes. The evidence from the evaluation will support evidence-based practice, if selected by the applicant, and provide examples of strategies that are tied to positive outcomes for children and families. Evaluations should include use of analytic method(s) for determining the effectiveness of the strategies and descriptions and assessments of the data collected. Both qualitative and quantitative methods are required. Evaluation results must report relevant outputs and outcomes that appropriately reflect the consequences of the project activities.

If projects do not have the in-house capacity to conduct an objective evaluation of the project, CB encourages projects to contract with a third-party evaluator specializing in social science or evaluation to conduct the evaluation. It is important that the evaluator has the necessary independence from the project to ensure objectivity. A skilled evaluator can help develop a logic model and assist in designing an evaluation strategy that is appropriate given the goals and objectives of the proposed project. Additional assistance may be found in the *Program Manager's Guide to Evaluation*. A copy of this document can be accessed at http://www.acf.hhs.gov/programs/opre/other_resrch/pm_guide_eval/index.html.

Projects will also participate in cross-site evaluation activities, and must submit descriptive data on the clients served and the services provided to the National Abandoned Infants Assistance Resource Center annually. The Resource Center collaborates with the University of Missouri-Kansas City, Institute for Human Development on the AIA cross-site evaluation effort. Timeframes for the submission of data on outcome measures will be negotiated within 6 months after grant award. Additional information on the cross site evaluation can be found at http://aia.berkeley.edu/direct_service_programs/UMKC.php.

Logic Model

Funded projects must be based on a logic model. A logic model is a tool that presents the conceptual framework for a proposed project and explains the linkages among program elements. While there are many versions of the logic model, they generally summarize the logical connections among the needs that are the focus of the project, project goals and objectives, the target population, project inputs (resources), project activities/processes/outputs directed toward the target population, the expected short- and long-term outcomes the initiative is designed to achieve, and the evaluation plan for measuring the extent to which proposed processes and outcomes actually occur.

Demonstration Projects

Activities funded under this FOA are demonstration projects. At CB, a demonstration project is one that puts into place and tests new, unique, or distinctive approaches for delivering services to a specific population.

Demonstration projects may test whether a program or service that has proven successful in one location or setting can work in a different context. Demonstration projects may test a theory, idea, or method that reflects a new and different way of thinking about service delivery. Demonstration projects may be designed to address the needs of a very specific group of clients or focus on one service component available to all clients. The scope of these projects may be broad and comprehensive, or narrow and targeted to specific populations. A demonstration project must:

- Develop and implement an evidence-informed model, if proposed, with specific components or strategies that are based on theory, research, or evaluation data; or replicate or test the transferability of successfully evaluated program models;
- Determine the effectiveness, costs, and benefits of the model and its components or strategies using a rigorous evaluation approach;
- Disseminate strategically and effectively; collaborate with other projects in the grant cluster to

establish goals, identify and engage with target audiences; produce detailed procedures, materials, and other products based on the programs evaluations; and disseminate information about project activities, products, and findings; and

- Contribute to the evidence base on strategies, practices, and programs that may be used to guide replication, program improvements, systems change, or testing in other settings.

Dissemination

CB expects that information and knowledge generated by these projects will be shared with the field and efforts will be made to integrate project knowledge into policy and practice. Grantees will be expected to disseminate strategically and effectively, so their project information and knowledge is received by key target audiences and used as intended to achieve identified dissemination goals. Projects will disseminate their individual project products and findings and work with the other projects in this grant cluster to disseminate cross-cluster products and findings. Projects will disseminate at appropriate times. They will evaluate the extent to which their target audiences have received project knowledge and used it as intended, and assess the impact of dissemination. They will allocate sufficient staff time and budget for dissemination.

Grantees will be expected to work throughout the course of their projects with Federal Project Officers, the CB T/TA Network, and other projects in this grant cluster to:

- Finalize individual and cluster-wide dissemination goals, objectives, and strategic plan;
- Identify and engage with target audiences for dissemination;
- Produce detailed procedures, materials, and other products based on the program evaluation and the needs of identified target audiences;
- Develop and disseminate summarized/synthesized information about the project; and
- Evaluate their dissemination processes and outcomes.

Additional Project Requirements

See Section IV.2 **Required Forms, Assurances, and Certifications.**

II. Award Information

Funding Instrument Type:	Grant
Estimated Total Funding:	\$5,700,000
Expected Number of Awards:	12
Award Ceiling:	\$475,000 Per Budget Period
Award Floor:	\$47,500 Per Budget Period
Average Projected Award Amount:	\$475,000 Per Budget Period

Length of Project Periods:

48-month project with four 12-month budget periods

Additional Information on Awards:

Awards made under this announcement are subject to the availability of Federal funds.

Applications requesting an award amount that exceeds the *Award Ceiling* per budget period or per project period, as stated in this section, will be disqualified from competitive review and from funding under this announcement. This disqualification applies only to the *Award Ceiling* listed for the first 12-month budget period for projects with multiple budget periods. If the project and budget period are the same, the disqualification applies to the *Award Ceiling* listed for the project period. Please see *Section III.3. Application Disqualification Factors.*

Note: For those programs that require matching or cost sharing, grantees will be held accountable for projected commitments of non-Federal resources in their application budgets and budget justifications, even if the projected commitment exceeds the required amount of match or cost share. A grantee's failure to provide the required matching amount will result in the disallowance of Federal funds.

The fourth year of an award under this announcement will be made only if ACYF determines that the grantee has complied with the assurances listed in this FOA.

Please see *Section IV.5 Funding Restrictions* for limitations on the use of grant funds awarded under this announcement.

III. Eligibility Information

III.1. Eligible Applicants

- State governments
- County governments
- City or township governments
- Special district governments
- Public and State controlled institutions of higher education
- Native American tribal governments (Federally recognized)
- Nonprofits having a 501(c)(3) status with the IRS, other than institutions of higher education
- Nonprofits without 501(c)(3) status with the IRS, other than institutions of higher education
- Private institutions of higher education
- Native American tribal organizations (other than Federally recognized tribal governments)

Public and nonprofit private entities, including those listed above, are eligible to apply.

Collaborative and interdisciplinary efforts are encouraged, but applications must identify a primary applicant responsible for administering the grant.

Individuals, foreign entities, and sole proprietorship organizations are not eligible to compete for, or receive, awards under this announcement. See *Section III.3. Other*.

Faith-based and community organizations that meet eligibility requirements are eligible to receive awards under this funding opportunity announcement.

See "Legal Status of Applicant Entity" in *Section IV.2* for documentation required to support eligibility.

III.2. Cost Sharing or Matching

Cost Sharing / Matching Requirement: No

III.3. Other

DUNS Number (Universal Identifier) and Central Contractor Registration (CCR) Requirements

DUNS Number Requirement

Data Universal Numbering System (DUNS) Number is the nine-digit, or thirteen-digit (DUNS + 4),

number established and assigned by Dun and Bradstreet, Inc. (D&B) to uniquely identify business entities.

All applicants and subrecipients must have a DUNS number at the time of application in order to be considered for a grant or cooperative agreement. A DUNS number is required whether an applicant is submitting a paper application or using the Government-wide electronic portal, www.Grants.gov. A DUNS number is required for every application for a new award or renewal/continuation of an award, including applications or plans under formula, entitlement, and block grant programs. A DUNS number may be acquired at no cost online at <http://fedgov.dnb.com/webform>. To acquire a DUNS number by phone, contact the D&B Government Customer Response Center:

U.S. and U.S Virgin Islands: 1-866-705-5711

Alaska and Puerto Rico: 1-800-234-3867 (Select Option 2, then Option 1)

Monday - Friday 7 a.m. to 8 p.m., CST

The process to request a D-U-N-S Number by telephone will take between 5 and 10 minutes.

Central Contractor Registration (CCR) Requirement

Central Contractor Registration (CCR) is the Federal registrant database and repository into which an entity must provide information required for the conduct of business as a recipient. CCR, managed by the General Services Administration, collects, validates, stores, and disseminates data in support of agency financial assistance missions.

Effective October 1, 2011, HHS required all entities that plan to apply for, and ultimately receive, Federal grant funds from any HHS Agency, or receive subawards directly from recipients of those grant funds to:

- Be registered in the CCR prior to submitting an application or plan;
- Maintain an active CCR registration with current information at all times during which it has an active award or an application or plan under consideration by an OPDIV; and
- Provide its DUNS number in each application or plan it submits to the OPDIV.

ACF is prohibited from making an award until an applicant has complied with these requirements. At the time an award is ready to be made, if the intended recipient has not complied with these requirements, ACF:

- May determine that the applicant is not qualified to receive an award; and
- May use that determination as a basis for making an award to another applicant.

Additionally, all first-tier subaward recipients (i.e., direct subrecipient) must have a DUNS number at the time the subaward is made

CCR registration may be made online at www.ccr.gov or by phone at 1-866-606-8220. CCR registration must be updated annually. CCR registration must be active and maintained with current information at all times during which an organization has an active award or an application under consideration.

Applicants are strongly encouraged to register at the CCR well in advance of the application due date.

APPLICATION DISQUALIFICATION FACTORS

Applications from individuals, foreign entities, or sole proprietorship organizations will be disqualified from competitive review and from funding under this announcement.

Award Ceiling Disqualification

Applications that request an award amount exceeding the *Award Ceiling* per budget period, or per project period, as stated in *Section II. Award Information*, will be disqualified from competitive review and from funding under this announcement. This disqualification applies only to the *Award Ceiling* listed for the first 12-month budget period for projects with multiple budget periods. If the project and budget period are the same, the disqualification applies to the *Award Ceiling* listed for the project period.

Application Submission Disqualifications

Beginning January 1, 2012, ACF requires electronic submission of applications at www.Grants.gov. Applicants that do not have an Internet connection or sufficient computing capacity to upload large documents (files) to the Internet may contact ACF for an exemption that will allow these applicants to submit an application in paper format. Information on requesting an exemption from electronic application submission is found in *Section IV.2. Application Submission Options*.

The deadline for electronic application submission is 11:59 p.m., ET, on the due date listed in the Overview and in Section IV.3. Submission Dates and Times. Electronic applications submitted to www.Grants.gov after 11:59 p.m., ET, on the due date, as indicated by a dated and time-stamped email from www.Grants.gov, will be disqualified from competitive review and from funding under this announcement. That is, applications submitted to www.Grants.gov, on or after 12:00 a.m., ET, on the day after the due date will be disqualified from competitive review and from funding under this announcement.

Please Note: Applications submitted to www.Grants.gov at any time during the open application period, and prior to the due date and time, which fail the Grants.gov validation check, will not be received at ACF. These applications will not be acknowledged. Applications that fail the Grants.gov validation check are not transmitted to ACF though they may have been submitted on time.

Each time an application is submitted via www.Grants.gov, the application will receive a new date and time-stamp email. Only those applications with on-time date and time stamps that result in a validated application, which are transmitted to ACF, will be acknowledged.

The deadline for receipt of paper applications is 4:30 p.m., ET, on the due date listed in the Overview and in Section IV.3. Submission Dates and Times. Paper applications received after 4:30 p.m., ET, on the due date will be disqualified from competitive review and from funding under this announcement.

Paper applications received from applicants that have not requested an exemption from required electronic submission will be disqualified from competitive review and from funding under this announcement. See "Request an Exemption from Required Electronic Application Submission" in Section IV.2. Content and Form of Application Submission.

Applications that are disqualified under any of these circumstances will receive written notification by letter or by email.

Read and observe the formatting instructions for application submissions in *Section IV.2. Content and Form of Application Submission*.

Section IV. Application and Submission Information

IV.1. Address to Request Application Package

CB Operations Center
c/o Lux Consulting Group
8405 Colesville Road, Suite 600
Silver Spring, MD 20910
Phone: (866) 796-1591
Email: cb@luxcg.com

Electronic Application Submission:

The electronic application submission package is available at www.Grants.gov.

Applications in Paper Format:

For applicants that have received an exemption to submit applications in paper format, Standard Forms, assurances, and certifications are available at the ACF Funding Opportunities Forms webpage at http://www.acf.hhs.gov/grants/grants_resources.html. See *Section IV.2. Request an Exemption from Required Electronic Application Submission* if applicants do not have an Internet connection or sufficient computing capacity to upload large documents (files) to www.Grants.gov.

Standard Forms that are compliant with Section 508 of the Rehabilitation Act (29 U.S.C. § 794d):

Available at the [Grants.gov Forms Repository](http://www.Grants.gov) website and at http://www.whitehouse.gov/omb/grants_forms.

Federal Relay Service:

Hearing-impaired and speech-impaired callers may contact the Federal Relay Service for assistance at 1-800-877-8339 (TTY - Text Telephone or ASCII - American Standard Code For Information Interchange).

Section IV.2. Content and Form of Application Submission

FORMATTING ACF APPLICATIONS

For All ACF Applications:

Authorized Organizational Representative (AOR)

The individual(s), named by the applicant/recipient organization, who is authorized to act for the applicant/recipient and to assume the obligations imposed by the Federal laws, regulations, requirements, and conditions that apply to grant applications or awards.

Each applicant must designate an Authorized Organizational Representative (AOR). An AOR is named by the applicant, and is authorized to act for the applicant, to assume the obligations imposed by the Federal laws, regulations, requirements, and conditions that apply to the grant application or awards.

AOR Authorization is part of the registration process at www.Grants.gov where the AOR will create a short profile and obtain a username and password from the Grants.gov Credential Provider. AORs will only be authorized for the DUNS number registered in the Central Contractor Registration (CCR).

Point of Contact

In addition to the AOR, a point of contact on matters involving the application must also be identified. The point of contact, known as the Project Director or Principal Investigator, should not be identical to the person identified as the AOR. The point of contact must be available to answer any questions pertaining to the application.

Application Checklist

Applicants may refer to *Section VIII. Other Information* for a checklist of application requirements that may be used in developing and organizing application materials. Details concerning acknowledgment of received applications are available in *Section IV.3. Submission Dates and Times* of this announcement.

Follow the instructions provided in the formatting section to ensure that your application can be printed efficiently and consistently for the competitive review.

Observe page limitations.

All applicants must follow the instructions provided in this section. Be sure to print all attachments (components) on paper and count the number of pages before submission. Keep the printed copy as a hard copy of your application for your files.

Application Package Components

Applications must be divided into the sections listed in the table. (The order in which components are submitted electronically via www.Grants.gov or included in a paper application may not be the same as listed in the table.) Page limitations apply to the Project Description document and the Appendices and the following:

- The Project Summary/Abstract is limited to one single-spaced page.
- The Budget Justification should be no more than 10 single-spaced pages and will not count against page limitations.

Application Package Components	Page Limitations
Required Standard Forms (SFs) and/or OMB-approved Forms	No page limitations.
Required Certifications and Assurances	No page limitations.
Project Summary/Abstract	Limited to one single-spaced page.
Project Description	Page Limitations and included items are listed later in this section.
Budget Justification	No more than 10 single-spaced pages and will not count against page limitations.
Proof of Legal Status/Proof of Non-Profit Status	No page limitations.
Appendices	Page Limitations and included items are listed later in this section.

ELECTRONIC APPLICATIONS SUBMITTED VIA www.Grants.gov:

Notice: The Administration for Children and Families has implemented required electronic application submission via www.Grants.gov. Applicants are now required to submit their applications electronically unless they have requested and received an exemption that will allow submission in paper format. See Section IV.2. Application Submission Options.

Electronic applications will only be accepted via www.Grants.gov. ACF will not accept applications submitted via email or via facsimile. Only applications, which pass the Grants.gov validation check, will be acknowledged.

Please read this section carefully before beginning application submission. It is mandatory to follow the instructions provided in this section to ensure that your application can be printed efficiently and consistently for review.

Copies Required

Applicants must submit one complete copy of the application package electronically. Applicants submitting electronic applications need not provide additional copies of their application package.

NOTE: Applications submitted via www.Grants.gov will undergo a validation check. See Section IV.2. Application Submission Options and Section IV.3. Submission Due Dates and Times, Explanation of Due Dates. The validation check can affect whether the application is accepted for review. Applications that fail the www.Grants.gov validation check will not be transmitted to ACF. If the application fails the validation check and is not resubmitted by 11:59 p.m., ET, on the due date, it will be disqualified.

Signatures

Follow the AOR Authorization and E-Biz POC instructions provided at www.Grants.gov.

Required OMB-Approved and Standard Forms (SFs)

www.Grants.gov provides its own protocols for the submission of OMB-approved and Standard Forms (SFs) such as the SF-424 application and budget forms and the SF-P/PSL, Project/Performance Site Location form. See Section IV.2. Required Forms, Assurances, and Certifications for required OMB-approved Standard Forms and required assurances and certifications.

Application Package Components

Applications must be divided into the sections listed in the table. **It is important that each component is submitted in a separate electronic file.** Page limitations apply to the Project Description document and the Appendices and the following:

- The Project Summary/Abstract is limited to one single-spaced page.
- The Budget Justification should be no more than 10 single-spaced pages.

Application Package Components	Page Limitations
Required Standard Forms (SFs) and/or OMB-approved Forms	No page limitations.
Required Certifications and Assurances	No page limitations.
Project Summary/Abstract	Limited to one single-spaced page.
Project Description	Page Limitations and included items are listed later in this section.

Budget Justification	No more than 10 single-spaced pages and will not count against page limitations.
Proof of Legal Status/Proof of Non-Profit Status	No page limitations.
Appendices	Page Limitations and included items are listed later in this section.

The required content of the Project Description and any Appendices, and their page limits, are listed later in this section.

With the exception of the required Standard Forms (SFs), all application materials must be submitted formatted so that they will print out onto 8 ½" x 11" white paper with 1-inch margins. **All pages of the application component, i.e., Project Description, Budget Justification, Appendices, must be sequentially numbered.** Applicants should print all attachments on paper and count the number of pages before submission. Applicants should keep a hard copy of the submitted application package for their files. The font size on any scanned documents must be large enough so that it is readable.

All elements of the application submission, with the exception of the one-page Project Summary/Abstract, the Budget Justification, required Assurances and Certifications, and proof of legal status/non-profit status, must be in double-spaced format in 12-point font. The Project Summary/Abstract is required to be one single-spaced page in 12-point font. The Budget Justification may be single-spaced page in 12-point font and should be no more than 10 pages. The font size on any scanned documents must be large enough so that it is readable.

Applicants must follow the instructions provided in this section:

Carefully observe the file naming conventions required by www.Grants.gov.

Limit file names to 50 characters and do not use special characters (example: &,-,*,%/,#) including periods (.), blank spaces, and accent marks, within application form fields, and file attachment names. An underscore (_) may be used to separate a file name.

Use only file formats supported by ACF.

It is critical that applicants only submit application components using the supported file formats listed here. Documents in file formats that are not supported by ACF will be removed from the application and will not be used in the competitive review. This may make the application incomplete and ACF will not make any awards based on an incomplete application.

ACF supports the following file formats:

- Adobe PDF – Portable Document Format (.pdf)
- Microsoft Word (.doc or .docx)
- Microsoft Excel (.xls or .xlsx)
- Microsoft PowerPoint (.ppt)
- Corel WordPerfect (.wpd)
- Image Formats (.JPG, .GIF, .TIFF, or .BMP only)

Do not encrypt or password protect the electronic application files!

If ACF cannot access submitted electronic files because they have been encrypted or are password protected, the affected file will be removed from the application and will not be used in the competitive review. This may make the application incomplete and ACF will not make any awards based on an incomplete application.

PAPER APPLICATION SUBMISSIONS:

The following requirements are only applicable to applications submitted in paper format. Applicants must receive an exemption from ACF in order to submit an application in paper format. See *Section IV.2. Request an Exemption from Required Electronic Application Submission* later in this section under *Application Submission Options*.

Application Package Components	Page Limitations
Required Standard Forms (SFs) and/or OMB-approved Forms	No page limitations.
Required Certifications and Assurances	No page limitations.
Project Summary/Abstract	Limited to one single-spaced page.
Project Description	Page Limitations and included items are listed later in this section.
Budget Justification	No more than 10 single-spaced pages and will not count against page limitations.
Proof of Legal Status/Proof of Non-Profit Status	No page limitations.
Appendices	Page Limitations and included items are listed later in this section.

Copies Required

Applicants must provide one original and two copies of all application materials when submitting an application in paper format.

Signatures

An original signature of the AOR is required only on the original copy of hard copy application submissions. A point of contact on matters involving the application must also be identified on the SF-424 at item 8f. The point of contact, known as the Project Director or Principal Investigator, should not be identical to the person identified as the AOR.

Format Requirements for Paper Applications

All application materials must be submitted on 8 ½" x 11" white paper with 1-inch margins. **All pages of the paper application submission must be sequentially numbered.** Applicants must follow the instructions provided in this section.

All elements of the application submission, with the exception of the one-page Project Summary/Abstract, the Budget Justification, required Assurances and Certifications, and proof of legal status/non-profit status, must be in double-spaced format in 12-point font. The Project Summary/Abstract is required to be one single-spaced page in 12-point font. The Budget Justification may be single-spaced, in 12-point font, and should be no more than 10 pages. The font size on any scanned documents must be large enough so that it is readable.

All copies of a mailed or hand-delivered paper application must be submitted in a single package. A separate package must be submitted for application under a single funding opportunity. The package must be clearly labeled for the specific funding opportunity it is addressing.

Because each application will be duplicated, do not use or include separate covers, binders, clips, tabs, plastic inserts, maps, brochures, or any other items that cannot be processed easily on a photocopy

machine with an automatic feed. Do not bind, clip, staple, or fasten in any way separate subsections of the application, including supporting documentation. Use a clip (not a staple) to securely bind the application together. Applicants are advised that the copies of the application submitted, not the original, will be reproduced by the Federal government for review. Application materials must be one-sided for duplication purposes.

Instructions on the order of assembly for paper application submissions are available under this formatting section.

Addresses for Submission of Paper Applications

See *Section IV.6. Other Submission Requirements* for addresses for paper application submissions.

Page Limitations for Paper Format Application Submissions

Page limitations do not include OMB-approved Standard Forms (SFs), the one-page Project Summary/Abstract, proof of legal status/non-profit status, required Assurances and Certifications, and the Budget Justification, which should be no more than 10 single-spaced pages.

If an application exceeds the cited page limitation for double-spaced pages in the Project Description or the double-spaced page limitation cited for the Appendices, the extra pages will be removed and will not be reviewed. In addition, if an application narrative is single-spaced and/or one-and-a-half spaced (in whole or in part) the total number of these lines will be doubled. This adjustment may result in an increased total number of pages, which will be removed so that the application conforms to the cited double-spaced page limitation.

The Project Summary/Abstract is limited to one single-spaced page with 12-point font. Any pages over the one-page limit will be removed.

Page Limitations and Content of The Project Description and Appendices for All Application Formats:

Additional Instructions for Electronic and Paper Applications Under this FOA

Organizing the Application. Applicants must follow the general instructions above, as described in *Application Package Components*. In addition, applicants must adhere to the following instructions for organizing the Project Description and Appendices sections of the Application Package under this FOA. Note that the page limit for the Budget Justification section is expanded under this FOA.

Organizing the Project Description and Appendices. Reviewers will use the specific evaluation criteria in *Section V. Application Review Information of this FOA* to review and evaluate each application. The applicant should address each of these specific evaluation criteria in the project description. Applicants should organize their Project Description and Appendices in this sequence so that reviewers can readily find information that directly addresses each of the specific review criteria:

The ***Project Description*** must include the following items in this order:

1. *Table of Contents*
2. *Objectives and Need for Assistance*
3. *Approach*
4. *Evaluation*
5. *Organizational Capacity*

The ***Appendices*** must include the following items in this order:

1. *Logic Model*

2. *Third-party agreements*
3. *Staff and Position Data (e.g., organizational chart, resumes, job descriptions)*
4. *Indirect Cost Rate Letter (if applicable)*

Page limits. *The Project Description and Appendices combined are limited to a total of 100 pages. Under this FOA, the Budget Justification may be expanded to 20 pages.*

Formatting. *Charts, budget tables, third-party agreements, staff and position data, supplemental letters, and documents must be in 10-point font or larger and may be single spaced.*

Required Forms, Assurances, and Certifications

Applicants seeking grant or cooperative agreement awards under this announcement must submit the listed Standard Forms (SFs), assurances, and certifications with the application. All required Standard Forms, assurances, and certifications are available at [ACF Funding Opportunities Forms](#) or at the [Grants.gov Forms Repository](#) unless specified otherwise.

Forms / Assurances / Certifications	Submission Requirement	Notes / Description
SF-424 - Application for Federal Assistance and SF-P/PSL - Project/Performance Site Location(s)	Submission is required for all applicants by the application due date.	Required for all applications.
SF-424A - Budget Information - Non-Construction Programs and SF-424B - Assurances - Non-Construction Programs	Submission is required for all applicants when applying for a non-construction project. Standard Forms must be used. Forms must be submitted by the application due date.	Required for all applications when applying for a non-construction project. By signing and submitting the SF-424B, applicants are making the appropriate certification of their compliance with all Federal statutes relating to nondiscrimination.
Survey on Ensuring Equal Opportunity for Applicants	Submission is voluntary. Submission may be made with the application by the application due date listed in the <i>Overview</i> and <i>Section IV.3. Submission Dates and Times</i> . Or, it may be submitted prior to the award of a grant.	Non-profit private organizations (not including private universities) are encouraged to submit the survey with their applications. Submission of the survey is voluntary. Applicants applying electronically may submit the survey along with the application as part of an appendix or as a separate document. Hard copy submissions should include the survey in a separate envelope.

SF-LLL - Disclosure of Lobbying Activities	<p>If submission of this form is applicable, it is due prior at the time of application. It may also be submitted prior to the award of a grant.</p>	<p>If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the applicant shall complete and submit the SF-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Applicants must furnish an executed copy of the Certification Regarding Lobbying prior to award.</p>
Certification Regarding Lobbying	<p>Submission required of all applicants with the application package. If it is not submitted with the application package, it may also be submitted prior to the award of a grant.</p>	<p>Submission of this Certification is required for all applications.</p>

The applicant's signature on the application constitutes its assurance that it will comply with the following requirements:

1. *Have the project fully functioning within 90 days following the notification of the grant award.*
2. *Participate if CB chooses to do a national evaluation or a technical assistance contract that relates to this FOA.*
3. *Submit all performance indicator data, program, evaluation, and financial reports in a timely manner (see Section VI.3), in the recommended formats (to be provided). CB prefers and will accept the interim and final reports on disk or electronically using a standard word-processing program, however, grantees are required to provide the original and two copies of performance progress and final reports.*
4. *Submit an original and two copies of the final report, the evaluation report, and any program products to CB within 90 days of project end date.*
5. *Applicant's signature on the application constitutes its assurance that it will comply with the following requirements as required by sections 101 (b) through (d) of the Abandoned Infants Assistance Act:*
 - a. *Give priority to abandoned infants and young children who are infected with, or have been perinatally exposed to, the human immunodeficiency virus, or have a life-threatening illness or other special medical need; or have been perinatally exposed to a dangerous drug.*
 - b. *If programs provide care to infants and young children in foster homes or in other residential non-medical settings away from their parents, assure that for each infant and young child, a case plan (as described in paragraph 1 of section 475 of the Social Security Act [42 U.S.C. 675(1)]) and a case review system (of the type described in paragraph (5) of such section) are in place, to the extent that the infants and young children are not otherwise covered by such a plan or system.*

- c. *Use the funds provided under this FOA only for the purposes specified in the application submitted to and approved by the Secretary.*
- d. *Establish fiscal control and accounting procedures to ensure proper disbursement and accounting of Federal funds.*
6. *All grantee materials, products, publications, news releases, etc. will include this notice:*
- Funded through the U.S. Department of Health and Human Services, Administration for Children and Families, Children's Bureau, Grant # _____. The contents of this publication do not necessarily reflect the views or policies of the funders, nor does mention of trade names, commercial products or organizations imply endorsement by the U.S. Department of Health and Human Services. This information is in the public domain. Readers are encouraged to copy and share it, but please credit (name of grantee).*

Non-Federal Reviewers

Since ACF will be using non-Federal reviewers in the review process, applicants have the option of omitting from the application copies (not the original) specific salary rates or amounts for individuals specified in the application budget as well as Social Security Numbers, if otherwise required for individuals. The copies may include summary salary information. If applicants are submitting their application electronically, ACF will omit the same specific salary rate information from copies made for use during the review and selection process.

The Project Description

The Project Description Overview

The project description provides the majority of information by which an application is evaluated and ranked in competition with other applications for available assistance. The project description should be concise and complete. It should address the activity for which Federal funds are being requested. Supporting documents should be included where they can present information clearly and succinctly. In preparing the project description, information that is responsive to each of the requested evaluation criteria must be provided. Awarding offices use this and other information in making their funding recommendations. It is important, therefore, that this information be included in the application in a manner that is clear and complete.

General Expectations and Instructions

ACF is particularly interested in specific project descriptions that focus on outcomes and convey strategies for achieving intended performance. Project descriptions are evaluated on the basis of substance and measurable outcomes, not length. Extensive exhibits are not required. Cross-referencing should be used rather than repetition. Supporting information concerning activities that will not be directly funded by the grant or information that does not directly pertain to an integral part of the grant-funded activity should be placed in an appendix.

General Instructions for Preparing a Full Project Description

Introduction

Applicants that are required to submit a full project description shall prepare the project description statement in accordance with the following instructions while being aware of the specified evaluation criteria. The topics listed in this section provide a broad overview of what the project description should include while the Criteria in *Section V.I.* identify the measures that will be used to evaluate applications.

Table of Contents

List the contents of the application including corresponding page numbers.

Project Summary/Abstract

Provide a summary of the application's project description. The summary must be clear, accurate, concise, and without reference to other parts of the application. The abstract must include a brief description of the proposed grant project including the needs to be addressed, the proposed services, and the population group(s) to be served.

Please place the following at the top of the abstract:

- Project Title
- Applicant Name
- Address
- Contact Phone Numbers (Voice, Fax)
- E-Mail Address
- Web Site Address, if applicable

The project abstract must be single-spaced and limited to one page in length.

Objectives And Need For Assistance

Clearly identify the physical, economic, social, financial, institutional, and/or other problem(s) requiring a solution. The need for assistance, including the nature and scope of the problem, must be demonstrated, and the principal and subordinate objectives of the project must be clearly and concisely stated. Supporting documentation, such as letters of support and testimonials from concerned interests other than the applicant, may be included. Any relevant data based on planning studies or needs assessments should be included or referred to in the endnotes/footnotes. Incorporate demographic data and participant/beneficiary information, as needed. In developing the project description, the applicant may volunteer or be requested to provide information on the total range of projects currently being conducted and supported (or to be initiated), some of which may be outside the scope of the funding opportunity announcement.

Approach

Outline a plan of action that describes the scope and detail of how the proposed work will be accomplished. Account for all functions or activities identified in the application. Cite factors that might accelerate or decelerate the work and state your reason for taking the proposed approach rather than other approaches. Describe any unusual features of the project such as design or technological innovations, reductions in cost or time, or extraordinary social and community involvement.

Provide quantitative monthly or quarterly projections of the outcomes to be achieved for each function or activity in such terms as the number of people to be served and the number of activities accomplished.

Data may be organized and presented as project tasks and subtasks with their corresponding timelines during the project period. For example, each project task could be assigned to a row in the first column of a grid. Then, a unit of time could be assigned to each subsequent column, beginning with the first unit (i.e., week, month, quarter) of the project and ending with the last. Shading, arrows, or other markings could be used across the applicable grid boxes or cells, representing units of time, to indicate the approximate duration and/or frequency of each task and its start and end dates within the project period.

When accomplishments cannot be quantified by activity or function, list them in chronological order to show the schedule of accomplishments and their target dates.

Provide a list of organizations, cooperating entities, consultants, or other key individuals who will work

on the project, along with a short description of the nature of their effort or contribution.

Applicants must clearly describe the target population and the geographic area to be served, present analyses of local data that illustrate the characteristics and needs of the population to be served and explain how the proposed approach will improve outcomes for those infants, children and families. Data may be obtained from the relevant State or local agency that gathers data on substance abuse and HIV/AIDS. Applicants must document the types of adults, children and families to be served, including how they will be identified and recruited for services and an initial projection of the number of clients to be served. Applicants must describe how they will give priority to abandoned infants and young children who are infected with, or have been perinatally exposed to, the human immunodeficiency virus, or have been perinatally exposed to a dangerous drug, and how other medically fragile children who are abandoned or at risk of abandonment can be served. Applicants must justify the appropriateness of their proposed work for the target population. Applications must include:

- *Clear presentation of analysis of local data describing the needs of the proposed target population and their families;*
- *Strong rational and sound justification for the proposed service structure and consortium of community-based service providers proposed;*
- *Convincing linkages between the proposed work and the needs of the target population;*
- *Description of roles and evidence of commitment from partner agencies and organizations participating in the proposed work; and*
- *Evidence that the proposed project will increase the well-being and permanency outcomes among the target population.*

Allowable services and activities are listed in Section I. Project Description, Program Strategies and Activities. Applicants must propose specific activities to increase the well-being, permanency and enhanced safety of children and their families. Applicants must explain how the practices chosen meet ACYF's goals for this grant program.

If the proposed project plans to implement an evidenced-based practice, the applicant must document that this practice has a demonstrated evidence base and that it is appropriate for the targeted population. If the applicant proposes use of an EBP, the applicant must:

1. *Identify the evidence-based practice(s) it proposes to implement for the targeted population;*
2. *Identify and discuss the evidence that shows that the practice(s) is (are) effective;*
3. *If proposing to use more than one evidence-based practice, clearly identify which service modality and population of focus each practice will support;*
4. *Discuss the population(s) for which the practice(s) has (have) been shown to be effective and show that it (they) is (are) appropriate for the population(s) of focus.*
5. *If there are evidence-based strategies available for one or more component of its program and the applicant chooses not to use an evidence-based strategy, the applicant must provide a strong justification for why a non-EBP was chosen.*

Applicants must provide information on research studies to show that the services/practices they plan to implement are evidence-based. This information is usually published in research journals, including those that focus on minority populations. If this type of information is not available, applicants may provide information from other sources, such as unpublished studies or documents describing formal consensus among recognized experts.

Applicants proposing to serve a population with an intervention that has not been formally evaluated with that population are required to provide other forms of evidence that the practice(s) they propose is appropriate for the targeted population. Evidence for these practices may include unpublished studies, preliminary evaluation results, clinical (or other professional association) guidelines, and/or findings

from focus groups with community members. Applicants may describe their experience either with the population of focus or in managing similar programs. In selecting non EBPs, applicants must:

- 1. Document the evidence that the practice(s) chosen is/are appropriate for the proposed outcomes;*
- 2. Explain how the practice chosen meets ACYF's goals for this grant program;*
- 3. Describe any modifications/adaptations that will be needed to make the proposed practice(s) meet the goals of the proposed project and provide evidence that the changes will improve the outcomes. CB expects that projects will implement their evidence-based service(s)/practice(s) in a way that maintains fidelity to the original service(s)/practice(s). However, CB understands that projects may need to make minor changes to the service(s)/practice(s) to meet the needs of their population of focus or their program, or to allow projects to use resources more efficiently. Applicants must describe any changes to the proposed service(s)/practice(s) that they believe are necessary for these purposes and provide documentation that they have worked closely with the original model developer regarding any proposed adaptation. Applicants may describe their own experience either with the population of focus or in managing similar programs. However, applicants will need to provide evidence that the proposed changes are justified.*
- 4. If applicable, applicants must justify the use of multiple evidence-based practices. Applicants must show in the required logic model and related narrative how use of multiple evidence-based practices will be integrated into the program, while maintaining an appropriate level of fidelity for each practice. Applicants must describe how the effectiveness of each evidence-based practice will be quantified in the performance assessment of the project; and*
- 5. Applicants must include a plan to meet discuss training needed to successfully implement the proposed evidence-based practice(s).*

Applicants must propose a plan to coordinate with local community-based agencies that will provide needed services to the target population. Applicants must document experience in:

- 1. Coordinating services with community-based agencies in service provision;*
- 2. Developing cross training and staff development among agencies;*
- 3. Developing processes for communication and information sharing across agencies; and*
- 4. Developing, sharing and meeting program outcomes among agencies.*

Applicants should describe a current or previous coordination activity that describes the coordinated effort and the agreed upon outcomes.

Evaluation

Provide a narrative addressing how the conduct of the project and its results will be evaluated. In addressing the evaluation of results, state what measures will be used to determine the extent to which the project has achieved its stated objectives and the extent to which the accomplishment of objectives can be attributed to the project. Discuss the criteria to be used to evaluate results, and explain the methodology that will be used to determine if the needs identified and discussed are being met and if the project results and benefits are being achieved. With respect to the conduct of the project, define the procedures to be employed to determine whether the project is being conducted in a manner consistent with the work plan presented and discuss the impact of the project's various activities that address the project's effectiveness.

The applicant's evaluation plan must address the following questions, as appropriate:

- 1. What are the characteristics of families who abandon HIV/AIDS-affected children who are medically cleared for discharge but remain in a hospital setting or in out-of-home placement?*
- 2. What are the service needs of children, mothers, fathers, and families of drug exposed infants or children with life-threatening illness or other special medical needs?*
- 3. What are the contextual factors (e.g., supportive relatives, involvement in after-school activities)*

and characteristics (e.g., self-esteem, relationship skills) addressed in the provided support and how do these Protective Factors moderate the impacts of past and future negative experiences?

- 4. What are the service needs of HIV-positive infants?*
- 5. What are the barriers to comprehensive case management and to the coordination of service delivery?*
- 6. What changes have been most helpful in improving the delivery of services?*
- 7. What changes/improvements have there been in the child's well-being and the child's development?*
- 8. What changes have there been in the family's stability and ability to function?*
- 9. What are the permanency outcomes for children?*

Applicants must present an evaluation plan and method for collecting data and tracking information related to collaborative efforts and outcomes for clients.

Applicants must propose a rigorous evaluation plan to evaluate their project's effectiveness in addressing substance abuse and /of HIV/AIDS. Experimental designs involving random assignment to treatment and control groups are the preferred method for determining the intervention impacts. An applicant may propose another type of evaluation research design but must include an adequate description and justification that the proposed design is the most rigorous design possible for addressing the questions of interest.

Where applicable, the applicant's evaluation plan must include an appropriate comparison group for determining the influence of the project activities on outcomes. The comparison group and the program/treatment group are assigned at random or matched on key characteristics. If not assigned at random or matched on key characteristics, the applicant provides a reasonable explanation of how it will identify and address pre-existing differences between the comparison group and treatment group. Research designs that include a comparison group, particularly a contemporaneous comparison group (that is, with data on the comparison clients for the same time period as the intervention group), are strongly preferred. At a minimum, the applicant will need to demonstrate that its plans for identifying and obtaining data regarding the comparison group are realistic.

If it is necessary for their proposed evaluation plans, applicants must have a sound plan for securing informed consent and implementing an Institutional Review Board (IRB) review. Applicants should identify the IRB it expects to use and should demonstrate a familiarity with that IRB's procedures and review requirements. If necessary for applicants submitting under this announcement, general information about the HHS Protection of Human Subjects regulations can be obtained at: <http://www.hhs.gov/ohrp/>. Applicants may also contact OHRP by email: ohrp@csophs.dhhs.gov or by phone: 240-453-6900.

Applicants must propose a plan to collect descriptive data on characteristics of individuals and families served, types and nature of needs identified and met, the services provided, measures of client outcomes, child development and well-being, client satisfaction, parenting skills, parent/child interaction, cost benefit, service utilization, and any other such information as may be required by CB. The proposed evaluation plan must be of sufficient rigor to demonstrate potential linkages between project activities and improved outcomes. Guided by a logic model for the project, this evaluation must include both process and outcome evaluation components. The process evaluation must assess the implementation of the project, as well as the linkages between the collaborative partners that will help ensure that identified needs of children and families are met. The evaluation plan must assess factors and strategies associated with successful partnerships and collaborative efforts, and the potential for sustainability of work undertaken through community-based collaborations and partnerships. Data from this evaluation must inform programmatic improvements or adjustments, as well as expand the knowledge base on what may be potentially effective strategies for maintaining or strengthening family stability among substance-abusing/HIV/AIDS-affected families.

The applicant's proposed evaluation plan must include an outcome component that uses a sufficiently rigorous approach to examine how the approaches used in this demonstration project affect key outcomes

of interest. The applicant must show that evidence from the evaluation will support evidence-based practice and provide examples of strategies that are tied to positive outcomes for children and families. The applicant's evaluation plan must include the analytic method(s) to be used for determining the effectiveness of the strategies and descriptions of data collection tools or assessment instruments if applicable. Both qualitative and quantitative methods must be used. Evaluation results must report relevant outputs and outcomes that appropriately reflect the consequences of the project activities.

Applicants must include a logic model. For the purposes of this funding opportunity, an applicant must include a logic model that clearly states what outcomes are to be achieved, what program strategies will be utilized to specifically impact those outcomes, and what data and instrumentation will be used to measure those outcomes. Information on the development of logic models is available on the Internet at: http://www.childwelfare.gov/management/effectiveness/logic_model.cfm.

Geographic Location

Describe the precise location of the project and boundaries of the area to be served by the proposed project. Maps or other graphic aids may be attached.

Legal Status of Applicant Entity

Applicants must provide the following documentation of their legal status:

Proof of Non-Profit Status

Non-profit organizations applying for funding are required to submit proof of their non-profit status. Proof of non-profit status is any one of the following:

- A reference to the applicant organization's listing in the IRS's most recent list of tax-exempt organizations described in the IRS Code.
- A copy of a currently valid IRS tax-exemption certificate.
- A statement from a State taxing body, State attorney general, or other appropriate State official certifying that the applicant organization has non-profit status and that none of the net earnings accrue to any private shareholders or individuals.
- A certified copy of the organization's certificate of incorporation or similar document that clearly establishes non-profit status.
- Any of the items in the subparagraphs immediately above for a State or national parent organization and a statement signed by the parent organization that the applicant organization is a local non-profit affiliate.

When applying electronically, proof of non-profit status may be submitted as an attachment; however, proof of non-profit status must be submitted prior to award.

Logic Model

Applicants are expected to use a model for designing and managing their project. A logic model is a tool that presents the conceptual framework for a proposed project and explains the linkages among program elements. While there are many versions of the logic model, they generally summarize the logical connections among the needs that are the focus of the project, project goals and objectives, the target population, project inputs (resources), the proposed activities/processes/outputs directed toward the target population, the expected short- and long-term outcomes the initiative is designed to achieve, and the evaluation plan for measuring the extent to which proposed processes and outcomes actually occur.

Organizational Capacity

- Organizational charts
- Contact persons and telephone numbers
- Documentation of experience in the program area
- Any other pertinent information the applicant deems relevant.

Provide a biographical sketch or resume for each key person appointed. Resumes should be no more than two pages in length. Job descriptions for each vacant key position should be included as well. As new key staff are appointed, biographical sketches or resumes will also be required.

Protection of Sensitive and/or Confidential Information

If any confidential or sensitive information will be collected during the course of the project, whether from staff (e.g., background investigations) or project participants and/or project beneficiaries, provide a description of the methods that will be used to ensure that confidential and/or sensitive information is properly handled and safeguarded. Also provide a plan for the disposition of such information at the end of the project period.

Dissemination Plan

Provide a plan for distributing reports and other project outputs to colleagues and to the public. Applicants must provide a description of the method, volume, and timing of distribution.

Third-Party Agreements

Provide written and signed agreements between grantees and subgrantees, or subcontractors, or other cooperating entities. These agreements must detail the scope of work to be performed, work schedules, remuneration, and other terms and conditions that structure or define the relationship.

The Project Budget and Budget Justification

All applicants are required to submit a project budget and budget justification with their application. The project budget is input on the Budget Information Standard Form, either SF-424A or SF-424C. The budget justification is a line-item detail that includes detailed calculations for "object class categories" identified on the Budget Information Standard Form. Calculations must include estimation methods, quantities, unit costs, and other similar quantitative detail sufficient for the calculation to be duplicated. If matching or cost sharing is a requirement, applicants must include a detailed listing of any funding sources identified in Block 18 of the SF-424 (Application for Federal Assistance).

Project budget Standard Forms and the budget justification will not count toward page limitations; however, the justification should be no more than 10 single-spaced pages with fonts of no less than 12-points.

Special Note: *The Consolidated Appropriations Act, 2012 (Pub.L. 112-74), enacted December 23, 2011, limits the salary amount that may be awarded and charged to ACF grants and cooperative agreements. Award funds issued under this announcement may not be used to pay the salary, or any percentage of salary, to an individual at a rate in excess of Executive Level II. The Executive Level II salary of the Federal Executive Pay scale is \$179,700 (<http://www.opm.gov/oca/12tables/html/ex.asp>). This amount reflects an individual's base salary **exclusive** of fringe and any income that an individual may be permitted to earn outside of the duties to the applicant organization. This salary limitation also applies to subawards/subcontracts under a ACF grant or cooperative agreement.*

Provide a narrative budget justification for each year of the proposed project. The narrative budget justification should describe how the categorical costs are derived. Discuss the necessity, reasonableness, and allocation of the proposed costs.

Applicants must allocate sufficient funds in the budget to:

- 1. Provide for the project director, the evaluator and other key partners to attend an early kickoff meeting for grantees funded under this priority area to be held within the first three months of the project (first year only) in Washington, D.C.; and*
- 2. Provide for the project director, the evaluator and other key partners to attend an annual three-day grantees' meeting in Washington, D.C.*

General

Use the following guidelines for preparing the budget and budget justification. Both Federal and non-Federal resources (when required) shall be detailed and justified in the budget and budget narrative justification. "Federal resources" refers only to the ACF grant funds for which you are applying. "Non-Federal resources" are all other non-ACF Federal and non-Federal resources. It is suggested that budget amounts and computations be presented in a columnar format: first column, object class categories; second column, Federal budget; next column(s), non-Federal budget(s); and last column, total budget. The budget justification should be in a narrative form.

Personnel

Description: Costs of employee salaries and wages.

Justification: Identify the project director or principal investigator, if known at the time of application. For each staff person, provide: the title; time commitment to the project in months; time commitment to the project as a percentage or full-time equivalent; annual salary; grant salary; wage rates; etc. Do not include the costs of consultants, personnel costs of delegate agencies, or of specific project(s) and/or businesses to be financed by the applicant.

Fringe Benefits

Description: Costs of employee fringe benefits unless treated as part of an approved indirect cost rate.

Justification: Provide a breakdown of the amounts and percentages that comprise fringe benefit costs such as health insurance, Federal Insurance Contributions Act (FICA) taxes, retirement insurance, taxes, etc.

Travel

Description: Costs of project-related travel by employees of the applicant organization. (This item does not include costs of consultant travel).

Justification: For each trip show: the total number of traveler(s); travel destination; duration of trip; per diem; mileage allowances, if privately owned vehicles will be used to travel out of town; and other transportation costs and subsistence allowances. If appropriate for this project, travel costs for key staff to attend ACF-sponsored workshops should be detailed in the budget.

Equipment

Description: "Equipment" means an article of nonexpendable, tangible personal property having a useful life of more than one year and an acquisition cost that equals or exceeds the lesser of: (a) the capitalization level established by the organization for the financial statement purposes, or (b) \$5,000. (Note: Acquisition cost means the net invoice unit price of an item of equipment, including the cost of any modifications, attachments, accessories, or auxiliary apparatus necessary to make it usable for the purpose for which it is acquired. Ancillary charges, such as taxes, duty, protective in-transit insurance, freight, and installation, shall be included in, or excluded from, acquisition cost in accordance with the organization's regular written accounting practices.)

Justification: For each type of equipment requested provide: a description of the equipment; the cost per unit; the number of units; the total cost; and a plan for use on the project; as well as use and/or disposal of the equipment after the project ends. An applicant organization that uses its own definition for equipment should provide a copy of its policy, or section of its policy, that includes the equipment definition.

Supplies

Description: Costs of all tangible personal property other than that included under the Equipment category.

Justification: Specify general categories of supplies and their costs. Show computations and provide other information that supports the amount requested.

Contractual

Description: Costs of all contracts for services and goods except for those that belong under other categories such as equipment, supplies, construction, etc. Include third-party evaluation contracts, if applicable, and contracts with secondary recipient organizations, including delegate agencies and specific project(s) and/or businesses to be financed by the applicant.

Justification: Demonstrate that all procurement transactions will be conducted in a manner to provide, to the maximum extent practical, open and free competition. Recipients and subrecipients, other than States that are required to use 45 C.F.R. Part 92 procedures, must justify any anticipated procurement action that is expected to be awarded without competition and exceeds the simplified acquisition threshold fixed at 41 U.S.C. § 403(11), currently set at \$100,000. Recipients may be required to make pre-award review and procurement documents, such as requests for proposals or invitations for bids, independent cost estimates, etc. available to ACF.

Note: Whenever the applicant intends to delegate part of the project to another agency, the applicant must provide a detailed budget and budget narrative for each delegate agency, by agency title, along with the same supporting information referred to in these instructions.

Other

Description: Enter the total of all other costs. Such costs, where applicable and appropriate, may include but are not limited to: local travel; insurance; food; medical and dental costs (noncontractual); professional services costs; space and equipment rentals; printing and publication; computer use; training costs, such as tuition and stipends; staff development costs; and administrative costs.

Justification: Provide computations, a narrative description and a justification for each cost under this category.

Indirect Charges

Description: Total amount of indirect costs. This category should be used only when the applicant currently has an indirect cost rate approved by the Department of Health and Human Services (HHS) or another cognizant Federal agency.

Justification: An applicant that will charge indirect costs to the grant must enclose a copy of the current rate agreement. If the applicant organization is in the process of initially developing or renegotiating a rate, upon notification that an award will be made, it should immediately develop a tentative indirect cost rate proposal based on its most recently completed fiscal year, in accordance with the cognizant agency's guidelines for establishing indirect cost rates, and submit it to the cognizant agency. Applicants awaiting approval of their indirect cost proposals may also request indirect costs. When an indirect cost rate is requested, those costs included in the indirect cost pool should not be charged as direct costs to the grant. Also, if the applicant is requesting a rate that is less than what is allowed under the program, the authorized representative of the applicant organization must submit a signed acknowledgement that the applicant is accepting a lower rate than allowed.

Program Income

Description: The estimated amount of income, if any, expected to be generated from this project.

Justification: Describe the nature, source and anticipated use of program income in the budget or refer to the pages in the application that contain this information.

Paperwork Reduction Disclaimer

As required by the Paperwork Reduction Act, 44 U.S.C. §§ 3501-3520, the public reporting burden for the Project Description is estimated to average 40 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection information. The Project Description information collection is approved under OMB control number 0970-0139, which expires 11/30/2012. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

Application Submission Options

Electronic Submission via www.Grants.gov

- Electronic applications must be submitted to www.Grants.gov by 11:59 p.m., ET, on the due date.
- A DUNS Number and current registration at the Central Contractor Registry (CCR) are required. DUNS and CCR registration are part of the www.Grants.gov registration process. See “Get Registered” at http://grants.gov/applicants/get_registered.jsp.
- ACF will not accept applications via facsimile or email.
- The electronic application can be downloaded from www.Grants.gov.
- It is to an applicant's advantage to submit their applications **at least 24 hours** in advance of the application due date and time in order to correct any failures found during the application validation check.
- Electronic submission at www.Grants.gov is two-step process:
 - Submission by the due date and time; and
 - Application validation check.
- Electronically submitted applications will not pass the validation check at Grants.gov if the AOR does not have a current CCR registration and electronic signature credentials.
- **Read and observe all application submission requirements provided at http://www.grants.gov/applicants/apply_for_grants.jsp.**
- Observe the formatting requirements and page limitations provided in the *Section IV.2. Formatting*

ACF Applications section for electronic applications.

- Carefully read and observe electronic file naming conventions provided in the application submission instructions at http://www.grants.gov/applicants/apply_for_grants.jsp.
- Use only file formats supported by ACF. See *Section IV.2. Formatting ACF Applications*.
- Additional guidance on the submission of electronic applications can be found at http://www.grants.gov/assets/Organization_Steps_Complete_Registration.pdf.
- If applicants encounter any technical difficulties in using www.Grants.gov, contact the Grants.gov Contact Center at: 1-800-518-4726, or by email at support@grants.gov, to report the problem and obtain assistance. Hours of Operation: 24 hours a day, 7 days a week. The Grants.gov Contact Center is closed on Federal holidays.
- Applicants should retain Grants.gov Contact Center service ticket number(s) as they may be needed for future reference.
- Applicants that submit their applications electronically should retain a hard copy of their application package.
- **Contact with the Grants.gov Contact Center prior to the listed due date and time does not ensure acceptance of your application. If difficulties are encountered, the Grants Management Officer listed in *Section VII. Agency Contacts* will determine whether the submission issues are due to Grants.gov system errors or user error.**

Application Validation at www.Grants.gov

After an applicant submits an application, Grants.gov generates a submission receipt via email and also sets the application status to "Received." This receipt verifies the application has been successfully delivered to the Grants.gov system.

Next, Grants.gov verifies the submission is valid by ensuring it does not contain viruses, the funding opportunity announcement is still open, and that the applicant login and applicant DUNS number match. If the submission is valid, Grants.gov generates a submission validation receipt via email and sets the application status to "Validated."

If the application is not validated, the application status is set to "Rejected." The system sends a rejection email notification to the applicant and the applicant must re-submit the application package. See "[What to Expect After Submitting](#)" at www.Grants.gov for more information.

Each time an application is submitted, or re-submitted, via www.Grants.gov, the application will receive a new date and time stamp. Only those applications with on-time date and time stamps, which result in a validated application, and is transmitted to ACF, will be acknowledged.

Applicants will be provided with an acknowledgement from www.Grants.gov that the submitted application package has passed, or failed, a series of checks and validations. Applications that are submitted on time that fail the validation check will not be transmitted to ACF and will not be acknowledged.

Request an Exemption from Required Electronic Application Submission

ACF recognizes that some applicants may have limited or no Internet access, and/or limited computer capacity, which may prohibit them from uploading large files to the Internet at www.Grants.gov. To accommodate such applicants, ACF offers an exemption from required electronic submission. The exemption will allow applicants to submit hard copy, paper applications by hand-delivery, applicant courier, overnight/express mail couriers, or by other representatives of the applicant.

To receive an exemption from required electronic application submission, applicants must submit a written request to ACF stating that the applicant qualifies for the exemption for one of two reasons:

- Lack of Internet access or Internet connection, or
- Limited computer capacity that prevents the uploading of large documents (files) to the Internet at www.Grants.gov.

Applicants may request and receive the exemption from required electronic application submission by either:

- Submitting an email request to electronicappexemption@acf.hhs.gov, or
- Sending a written request to the Office of Grants Management Contact listed in *Section VII. Agency Contacts* in this announcement.

An exemption is applicable to all applications submitted by the applicant organization during the Federal Fiscal Year (FFY) in which it is received. Applicants need only request an exemption once in a FFY. Applicants will need to request a new exemption from required electronic submission for any succeeding FFY.

Please Note: electronicappexemption@acf.hhs.gov may be used only to request an exemption from required application submission. All other inquiries must be directed to the appropriate Agency Contact listed in *Section VII.* of this announcement. Queries submitted to this email address that make requests for any reason other than a request for an exemption will not be acknowledged or answered.

Exemption requests by email to electronicappexemption@acf.hhs.gov and by postal mail must include:

- Funding Opportunity Announcement Title,
- Funding Opportunity Number (FON),
- The listed Catalog of Federal Domestic Assistance (CFDA) number,
- Name of Applicant Organization and DUNS Number,
- AOR name and contact information,
- Name and contact information of person to be contacted on matters involving the application, and
- The reason for which the applicant is requesting an exemption from electronic application submission. The reason must be either the lack of Internet access or connection, or lack of computer capacity that prevents uploading large documents (files) to the Internet.

Exemption requests must be **received by** ACF no later than two weeks before the application due date, that is, 14 calendar days prior to the application due date listed in the *Overview* and in *Section IV.3. Submission Dates and Times*. If the fourteenth calendar day falls on a weekend or Federal holiday, the due date for receipt of an exemption request will move to the next Federal business day that follows the weekend or Federal holiday.

Applicants may refer to *Section VIII. Other Information* for a checklist of application requirements that may be used in developing and organizing application materials. Details concerning acknowledgment of received applications are available in *Section IV.3. Submission Dates and Times* of this announcement.

Paper Format Application Submission

An exemption is now required for the submission of paper applications. See "*Request an Exemption from Required Electronic Application Submission.*"

Applicants with exemptions that submit their applications in paper format, by mail or delivery, must submit one original and two copies of the complete application with all attachments. The original and each of the two copies must include all required forms, certifications, assurances, and appendices, be signed by the AOR, and be unbound. The original copy of the application must have original signature(s). See *Section IV.6* of this announcement for address information for paper format application submissions.

Applications submitted in paper format must show a DUNS Number. A DUNS Number is a nine-digit

number established and assigned by Dun and Bradstreet, Inc. (D&B) to uniquely identify business entities. A DUNS number may be acquired at no cost online at <http://www.dnb.com>. To acquire a DUNS number by phone, contact the D&B Government Customer Response Center: U.S. and U.S. Virgin Islands: 1-866-705-5711; Alaska and Puerto Rico: 1-800-234-3867 (Select Option 2, then Option 1). Monday through Friday 7 a.m. to 8 p.m., CST.

As of October 1, 2010, all applicants for Federal grants and cooperative agreements, including those that apply in paper format, are required to have Central Contractor Registration (CCR). CCR registration is also required for organizations that will receive subawards under Federal grants and cooperative agreements. CCR registration may be made online at www.ccr.gov or by phone at 1-866-606-8220.

CCR registration must be updated annually from the date of the initial registration. CCR registration is required to be active throughout the period of award. Lack of CCR registration will prevent ACF from making an award to a recommended applicant.

There is the possibility of heavy traffic at the CCR website on application due dates. Applicants are strongly encouraged to register at the CCR well in advance of the application due date. CCR registration must be active and maintained with current information at all times during which an organization has an active award or an application under consideration.

Applicants may refer to *Section VIII. Other Information* for a checklist of application requirements that may be used in developing and organizing application materials. Details concerning acknowledgment of received applications are available in *Section IV.3. Submission Dates and Times* in this announcement.

IV.3. Submission Dates and Times

Due Date for Applications: **06/22/2012**

Explanation of Due Dates

The due date for receipt of applications is listed in the *Overview* section and in this section. See *Section III.3. Application Disqualification Factors*.

Electronic Applications

The deadline for submission of electronic applications via www.Grants.gov is 11:59 p.m., ET, on the due date. Electronic applications submitted at 12:00 a.m., ET, on the day after the due date will be considered late and will be disqualified from competitive review and from funding under this announcement.

Applicants are required to submit their applications electronically via www.Grants.gov unless they received an exemption through the process described in *Section IV.2. Request an Exemption from Required Electronic Application Submission*.

ACF does not accommodate transmission of applications by email or facsimile.

Instructions for electronic submission via www.Grants.gov are available at http://www.grants.gov/applicants/apply_for_grants.jsp.

Please note:

Applications submitted to www.Grants.gov at any time during the open application period, and prior to the due date and time that fail the Grants.gov validation check will not be received at ACF. **These applications will not be acknowledged.** Applications that fail the Grants.gov validation check will not be

transmitted to ACF though they may have been submitted on time.

Each time an application is submitted via www.Grants.gov, the application will receive a new date and time-stamp. Only those applications with date and time-stamps that result in a validated application, which is transmitted to ACF, will be acknowledged.

Mailed Paper Format Applications

The deadline for mailed paper applications is 4:30 p.m., ET, on the due date. Mailed paper applications received after the due date and deadline time will be considered late and will be disqualified from competitive review and from funding under this announcement.

Paper format application submissions will be disqualified if the applicant organization has not received an exemption through the process described in *Section IV.2. Request an Exemption from Required Electronic Application Submission*.

Hand-Delivered Paper Format Applications

Applications that are hand-delivered by applicants, applicant couriers, by overnight/express mail couriers, or other representatives of the applicant must be received on, or before, the due date listed in the *Overview* and in this section. These applications must be delivered between the hours of 8:00 a.m. and 4:30 p.m., ET, Monday through Friday (excluding Federal holidays). Applications should be delivered to the address provided in *Section IV.6. Other Submission Requirements*.

Hand-delivered paper applications received after the due date and deadline time will be considered late and will be disqualified from competitive review and from funding under this announcement.

Hand-delivered paper format application submissions will be disqualified if the applicant organization has not received an exemption through the process described in *Section IV.2. Request an Exemption from Required Electronic Application Submission*.

No appeals will be considered for applications classified as late under the following circumstances:

- Applications submitted electronically via www.Grants.gov are considered late when they are dated and time-stamped after the deadline of 11:59 p.m., ET, on the due date.
- Paper format applications received by mail or hand-delivery after 4:30 p.m., ET, on the due date will be classified as late and will be disqualified.
- Paper format applications received from applicant organizations that were not approved for an exemption from required electronic application submission under the process described in *Section IV.2. Request an Exemption from Required Electronic Submission* will be disqualified.

Extensions and/or Waiving Due Date and Receipt Time Requirements

ACF may extend an application due date and receipt time when circumstances make it impossible for applicants to submit their applications on time. These events include natural disasters (floods, hurricanes, tornados, etc.), or when there are widespread disruptions of electrical service, or mail service, or in other rare cases. The determination to extend or waive due date and/or receipt time requirements rests with the Grants Management Officer listed as the Office of Grants Management Contact in *Section VII. Agency Contacts*.

Acknowledgement from www.Grants.gov of an electronic application's submission:

Applicants will receive an initial email upon submission of their application to www.Grants.gov. This email will provide a **Grants.gov Tracking Number**. Applicants should refer to this tracking number in all communication with Grants.gov. The email will also provide a **date and time stamp**, which serves as the official record of the application's submission. The date and time-stamp must reflect a submission time on, or before, 11:59 p.m., ET, on the application due date. Receipt of this email does not indicate that the application is accepted or that it has passed the validation check.

Each time an application is submitted, or resubmitted, via www.Grants.gov, the application will receive a new date and time-stamp. Only those applications with on-time date and time-stamps that result in a validated application, which is transmitted to ACF, will be acknowledged.

Applicants will be provided with an acknowledgement from www.Grants.gov that the submitted application package has passed, or failed, a series of checks and validations. Applications that are submitted on time that fail the validation check will not be transmitted to ACF and will not be acknowledged.

See "[What to Expect After Submitting](#)" at www.Grants.gov for more information.

Acknowledgement from ACF of an electronic application's submission:

Applicants will be sent additional email(s) from ACF acknowledging that the application has been retrieved from www.Grants.gov by ACF. Receipt of these emails is not an indication that the application is accepted for competition.

Acknowledgement from ACF of a paper format (hard copy) application's submission:

ACF will not provide acknowledgement of receipt of hard copy application packages submitted via mail or courier services.

IV.4. Intergovernmental Review of Federal Programs

This program is covered under Executive Order (E.O.) 12372, "Intergovernmental Review of Federal Programs," and 45 CFR Part 100, "Intergovernmental Review of Department of Health and Human Services Programs and Activities." Under the Executive Order, States may design their own processes for reviewing and commenting on proposed Federal assistance under covered programs.

Applicants should go to the following URL for the official list of the jurisdictions that have elected to participate in E.O. 12372 http://www.whitehouse.gov/omb/grants_spoc/. Applicants from participating jurisdictions should contact their SPOC, as soon as possible, to alert them of their prospective applications and to receive instructions on their jurisdiction's procedures. Applicants must submit all required application materials to the SPOC and indicate the date of submission on the Standard Form (SF) 424 at item 19.

Under 45 CFR 100.8(a)(2), a SPOC has 60 days from the application due date to comment on proposed new awards.

SPOC comments may be submitted directly to ACF to: U.S. Department of Health and Human Services, Administration for Children and Families, Office of Grants Management, Division of Discretionary

Grants, 370 L'Enfant Promenade SW., 6th Floor East, Washington, DC 20447.

Entities that meet the eligibility requirements of this announcement are still eligible to apply for a grant even if a State, Territory or Commonwealth, etc., does not have a SPOC or has chosen not to participate in the process. Applicants from non-participating jurisdictions need take no action with regard to E.O. 12372. Applications from Federally-recognized Indian Tribal governments are not subject to E.O. 12372.

IV.5. Funding Restrictions

Costs of organized fund raising, including financial campaigns, endowment drives, solicitation of gifts and bequests, and similar expenses incurred solely to raise capital or obtain contributions, are considered unallowable costs under grants or cooperative agreements awarded under this funding opportunity announcement.

Grant awards will not allow reimbursement of pre-award costs.

Construction is not an allowable activity or expenditure under this grant award.

Purchase of real property is not an allowable activity or expenditure under this grant award.

IV.6. Other Submission Requirements

Submit paper applications to one of the following addresses. See *Section IV.2. Request an Exemption from Required Electronic Application Submission*.

Submission By Mail

CB Operations Center
c/o Lux Consulting Group
8405 Colesville Road, Suite 600
Silver Spring, MD 20910

Hand Delivery

CB Operations Center
c/o Lux Consulting Group
8405 Colesville Road, Suite 600
Silver Spring, MD 20910

Electronic Submission

See *Section IV.2* for application requirements and for guidance when submitting applications electronically via <http://www.Grants.gov>.

For all submissions, see *Section IV.3* for information on due dates and times.

V. Application Review Information

V.1. Criteria

Applications competing for financial assistance will be reviewed and evaluated using the criteria described in this section. The corresponding point values indicate the relative importance placed on each review criterion. Points will be allocated based on the extent to which the application proposal addresses each of the criteria listed. Applicants should address these criteria in their application materials, particularly in the project description and budget justification, as they are the basis upon which competing applications will be judged during the objective review. The required elements of the project description and budget

justification may be found in *Section IV.2* of this announcement.

OBJECTIVES AND NEED FOR ASSISTANCE

Maximum Points: 20

In reviewing the objectives and need for assistance, reviewers will consider the extent to which:

1. The application clearly demonstrates an understanding of the provisions of the Abandoned Infants Assistance Act, as amended, and the proposed project will contribute to achieving those legislative goals and objectives, and the goals of this FOA.
2. The applicant demonstrates a clear understanding of the issues that have an impact on substance abusing and/or HIV/AIDS-affected women and their children.
3. The application presents a thorough review of the relevant literature that reflects a clear understanding of the research on best practices and promising approaches as it relates to the proposed project, and sets a sound context and rationale for the project.

APPROACH

Maximum Points: 35

In reviewing the approach, reviewers will consider the extent to which:

1. The applicant proposes a sound technical approach (0-15 points):
 - The applicant presents a clear vision of the proposed comprehensive services project to be developed and implemented. The applicant makes a clear statement of the goals (end results of an effective project) and objectives (measurable steps for reaching these goals) for the proposed comprehensive services project.
 - The proposal demonstrates how these goals and objectives will effectively address a community's need to provide comprehensive support services to the target population by using a collaborative, integrated system of community-based, coordinated support services.
 - The applicant provides a clear and reasonable timeline (including a timeline chart) and narrative for implementing the proposed project, including major milestones and target dates.
 - The applicant describes how they will address contextual factors (e.g., supportive relatives; participating in family celebrations; access to essential services, such as, health care and child care; housing; parental peer-to-peer support; parental involvement in school activities; positive parental social connections) and characteristics (e.g., improving self-esteem; a loving, caring relationship with an adult; skills to cope with stress; positive peer interactions; positive adult guidance; trust in the parent; developing relationship skills) in the provided support and how these Protective Factors can be expected to moderate the impacts of past and future negative experiences.
 - The applicant clearly describes and details the roles and responsibilities of the collaborating agencies, and how case planning and management will be shared.
 - The applicant provides a well-defined logic model that guides the proposed project and presents a plausible theory of change. The logic model demonstrates strong links between proposed inputs and activities and intended short- and long-term outcomes.
 - The applicant presents a strong rationale and sound justification for the proposed service structure and consortium of community-based service providers with convincing linkages between the proposed work and the needs of the target population.
 - The applicant demonstrates a thorough understanding of the challenges in providing community-based, collaborative, comprehensive services to this target population with such complex needs and provides a sound plan for overcoming those challenges.
 - The applicant presents a comprehensive and reasonable description of factors that could speed or hinder the implementation of the project and a sound plan to manage these factors.
 - There is a sound plan for continuing this project beyond the period of Federal funding.

- The schedule for implementing the project is appropriate in scope and budget.
2. The applicant's proposal is responsive to the purposes and requirements of this FOA (0-13 points):
- The application describes significant results or benefits that can be expected for the target population.
 - The applicant clearly identifies the population to be served by the project and thoroughly describes the needs of the target population as they relate to this project, by presenting an analysis of local data. The number of infants, children, and families to be served by the project is reasonable and appropriate.
 - The geographic location to be served by the project is clearly defined and justified based on factors such as the key socioeconomic and demographic characteristics of the target population in the targeted community, and the current availability of and gaps in services for these families in this community.
 - The applicant describes a comprehensive, collaborative approach that establishes an infrastructure of community-based agencies (or engages an existing consortium) and promotes a lasting change in the delivery of community-based services to this client population.
 - The applicant presents a detailed and reasonable plan to work effectively with terminally ill parent(s), if present in the program, to make permanency planning arrangements.
 - The proposed project is culturally responsive to the target population.
 - The applicant describes how priority would be given to abandoned infants and young children who are infected with, or have been perinatally exposed to HIV, or have been perinatally exposed to a dangerous drug, and will allow flexibility to meet the needs of their medically fragile children who are abandoned or at risk of abandonment.
3. The applicant proposes a project that would inform the field (0-7 points):
- The design of the proposed project reflects current knowledge from the substance-abuse treatment and HIV/AIDS treatment research and literature, and involves strategies that build on, or are an alternative to, existing strategies.
 - The design of the project is innovative and would contribute to increased knowledge or understanding of the problems and issues addressed by this FOA. The project is likely to yield findings about effective strategies that contribute to evidence-based practices and may be used to guide replications or testing in other settings.
 - There is a sound dissemination plan with clear goals, a description of target audiences, and a reasonable schedule for development of products resulting from the proposed project. The dissemination plan includes appropriate mechanisms and forums that would effectively convey the information and support successful replication by other interested agencies.

EVALUATION

Maximum Points: 20

In reviewing the evaluation plan, reviewers will consider the extent to which:

1. The applicant proposes a clear and convincing plan for evaluating the project and satisfies the requirements for the evaluation published in this FOA. The methods of evaluation are feasible, comprehensive, and appropriate to the goals, objectives, and context of the project. The evaluation plan is strongly guided by the project's logic model. The project's evaluation plan would rigorously measure achievement of project objectives and answer the questions described in this FOA.
2. The evaluation plan will assess how the contextual factors and characteristics used in the provision of services support the targeted families; how Protective Factors moderate the impacts of past and future negative experiences; and how the building of these skills and capacities prepare the children and families for positive outcomes.
3. The evaluation plan outlines an appropriate sampling plan that ensures sample sizes sufficient to

detect significant effects. The target sample represents the intended recipients of the services to the greatest extent possible given the project's structure and resources.

4. The evaluation plan includes an appropriate control or comparison group for determining the influence of the project activities on outcomes. If a comparison group is not proposed, the applicant provides a reasonable explanation for not using a comparison group and offers another, equally rigorous approach to evaluating the influence of the program on outcomes. This comparison group and the program/treatment group are assigned at random or matched on key characteristics. If not assigned at random or matched on key characteristics, the applicant provides a reasonable explanation of how it will identify and address any pre-existing differences between the comparison group and the program/treatment group.
5. The applicant proposes a sound plan for collecting high-quality data on the services provided, the costs of these services, the outcomes of these services, and their cost effectiveness. The methods of evaluation include the use of strong measures that are clearly related to the intended outcomes of the program as identified in the project logic model. The evaluation includes measures of outcomes, in addition to measures of inputs and outputs. The measures are objective and have strong reliability, validity, and internal consistency. There is a sound plan for securing informed consent and implementing an IRB review, if applicable.
6. The applicant either demonstrates that they have the in-house capacity to conduct an objective and rigorous evaluation of the project or presents a sound plan for contracting with a third-party evaluator. The proposed evaluator has sufficient experience with research and/or evaluation, understands the population of interest, and demonstrates the necessary independence from the project to assure objectivity.
7. The application provides an appropriate, feasible, and realistic plan for using evaluation findings to produce ongoing documentation of project activities and results. The evaluation plan includes performance feedback and periodic assessment of program progress that can be used to modify the program, as necessary, and serve as a basis for program adjustments.
8. The applicant describes the methods to be used to determine the extent to which the project has achieved its stated objectives and the extent to which the accomplishment of objectives can be attributed to the project, and defines the monitoring procedures used to determine whether the project is being carried out in a manner consistent with the work plan presented.

ORGANIZATIONAL PROFILES

Maximum Points: 20

In reviewing the organizational profiles, reviewers will consider the extent to which:

1. The applicant and collaborating organizations collectively have relevant experience and expertise with administration, development, implementation, management, and evaluation of similar projects, e.g., providing comprehensive social services at the community level. Each participating organization possesses the organizational capability to fulfill its assigned roles and functions effectively.
2. The applicant documents that the project director and key project staff demonstrate sufficient relevant knowledge, experience, and capabilities (e.g., resume) to effectively institute and manage a project of this size, scope, and complexity. The role, responsibilities, and time commitments of each proposed project staff position, including consultants, subcontractors, and/or collaborators, is clearly defined (e.g., job description) and appropriate to the successful implementation of the proposed project.
3. There is a sound management plan for achieving the objectives of the proposed project on time and within budget, including clearly defined responsibilities, timelines, and milestones for accomplishing project tasks and ensuring quality. The plan clearly defines the role and responsibilities of the lead agency. The plan clearly describes the effective management and coordination of activities carried out by any collaborators, subcontractors, and consultants (if applicable).

4. There would be a mutually beneficial relationship between the proposed project and other work planned, anticipated, or underway with Federal assistance by the applicant.
5. The applicant organization and its staff have sufficient experience in successfully providing comprehensive services to the target population.
6. The applicant proposes a consortium of collaborating agencies whose background and experience with the target population would support the planning and implementation of the proposed project.
7. There are third party agreements with each collaborator agreeing to participate if the proposal is funded.

BUDGET AND BUDGET JUSTIFICATION

Maximum Points: 5

In reviewing the budget and budget justification, reviewers will consider the extent to which:

1. The applicant includes a detailed narrative budget.
2. The costs of the proposed project are reasonable and programmatically justified, in view of the targeted population and community, the activities to be conducted, and the expected results and benefits.
3. The applicant's fiscal controls and accounting procedures would ensure prudent use, proper and timely disbursement, and accurate accounting of funds received under this FOA.
4. The budget provides for the project director, evaluator, and other key collaborators to attend an early kickoff meeting for grantees funded under this FOA to be held within the first 3 months of the projects (first year only) in Washington, D.C.; and costs for the project director, the evaluator and other key collaborators to attend an annual 3-day grantee's meeting for each year of the 4-year grant.
5. The applicant has allocated an adequate portion of the budget to satisfactorily collect and evaluate the data necessary to measure the accomplishments of the project.

V.2. Review and Selection Process

No grant award will be made under this announcement on the basis of an incomplete application. No grant award will be made to an applicant that does not have an active CCR registration (www.ccr.gov or 1-866-606-8220).

Initial ACF Screening

Each application will be screened to determine whether it meets one of the following disqualification criteria as described in *Section III.3. Application Disqualification Factors*:

- Applications that are designated as late according to *Section IV.3. Submission Dates and Times*,
- Applications that are submitted in paper format without prior approval of an exemption from required electronic submission (*Section IV.2. Request an Exemption from Required Electronic Application Submission*), or
- Applications with requests that exceed the award ceiling stated in *Section II. Award Information*.

For those applications that have been disqualified under the initial ACF screening, notice will be provided by postal mail or by email. See *Section IV.3. Explanation of Due Dates* for information on Grants.gov's and ACF's acknowledgment of received applications.

Objective Review and Results

Applications competing for financial assistance will be reviewed and evaluated by objective review panels using the criteria described in *Section V.1. Criteria* of this announcement. Each panel is composed of experts with knowledge and experience in the area under review. Generally, review panels include three

reviewers and one chairperson.

Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. They are one element in the decision-making process.

ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than that requested. ACF reserves the right to consider preferences to fund organizations serving emerging, unserved, or under-served populations, including those populations located in pockets of poverty. ACF will also consider the geographic distribution of Federal funds in its award decisions.

Please refer to *Section IV.2.* of this announcement for information on non-Federal reviewers in the review process.

Approved but Unfunded Applications

Applications recommended for approval that were not funded under the competition because of the lack of available funds may be held over by ACF and reconsidered in a subsequent review cycle if a future competition under the program area is planned. These applications will be held over for a period of up to one year and will be recompeted for funding with all other competing applications in the next available review cycle. For those applications that have been deemed as approved but unfunded, notice will be given of such determination by postal mail.

V.3. Anticipated Announcement and Award Dates

Applications will be reviewed during the summer 2012. Funded projects will have a start date no later than September 30, 2012.

VI. Award Administration Information

VI.1. Award Notices

Successful applicants will be notified through the issuance of a Notice of Award (NoA) that sets forth the amount of funds granted, the terms and conditions of the grant, the effective date of the grant, the budget period for which initial support will be given, the non-Federal share to be provided (if applicable), and the total project period for which support is contemplated. The NoA will be signed by the Grants Officer and transmitted via postal mail or email. Following the finalization of funding decisions, organizations whose applications will not be funded will be notified by letter signed by the cognizant Program Office head. Any other correspondence that announces to a Principal Investigator, or a Project Director, that an application was selected is not an authorization to begin performance.

Project costs that are incurred prior to the receipt of the NoA are at the recipient's risk and may be reimbursed only to the extent that they are considered allowable as approved pre-award costs. Information on allowable pre-award costs and the time period under which they may be incurred is available in *Section IV.5. Funding Restrictions.*

VI.2. Administrative and National Policy Requirements

Awards issued under this announcement are subject to the uniform administrative requirements and cost principles of 45 C.F.R. Part 74 (Awards And Subawards To Institutions Of Higher Education, Hospitals, Other Nonprofit Organizations, And Commercial Organizations) or 45 C.F.R. Part 92 (Grants And Cooperative Agreements To State, Local, And Tribal Governments). The Code of Federal Regulations (C.F.R.) is available at <http://www.gpo.gov>.

An application funded with the release of Federal funds through a grant award does not constitute, or imply, compliance with Federal regulations. Funded organizations are responsible for ensuring that their activities comply with all applicable Federal regulations.

Prohibition Against Profit

Grantees are subject to the limitations set forth in 45 C.F.R. Part 74, Subpart E-Special Provisions for Awards to Commercial Organizations (45 C.F.R. Part 74.81_Prohibition against profit), which states that, "... no HHS funds may be paid as profit to any recipient even if the recipient is a commercial organization. Profit is any amount in excess of allowable direct and indirect costs."

Equal Treatment for Faith-Based Organizations

Grantees are also subject to the requirements of 45 C.F.R. Part 87.1(c), Equal Treatment for Faith-Based Organizations, which says, "Organizations that receive direct financial assistance from the [Health and Human Services] Department under any Department program may not engage in inherently religious activities such as religious instruction, worship, or proselytization as part of the programs or services funded with direct financial assistance from the Department." Therefore, organizations must take steps to completely separate the presentation of any program with religious content from the presentation of the Federally funded program by time or location *in such a way that it is clear that the two programs are separate and distinct*. If separating the two programs by time but presenting them in the same location, one program must *completely* end before the other program begins.

A faith-based organization receiving HHS funds retains its independence from Federal, State, and local governments, and may continue to carry out its mission, including the definition, practice, and expression of its religious beliefs. For example, a faith-based organization may use space in its facilities to provide secular programs or services funded with Federal funds without removing religious art, icons, scriptures, or other religious symbols. In addition, a faith-based organization that receives Federal funds retains its authority over its internal governance, and it may retain religious terms in its organization's name, select its board members on a religious basis, and include religious references in its organization's mission statements and other governing documents in accordance with all program requirements, statutes, and other applicable requirements governing the conduct of HHS funded activities.

Regulations pertaining to the Equal Treatment for Faith-Based Organizations, which includes the prohibition against Federal funding of inherently religious activities, "Understanding the Regulations Related to the Faith-Based and Neighborhood Partnerships Initiative" are available at <http://www.hhs.gov/partnerships/about/regulations/>. Additional information, resources, and tools for faith-based organizations is available through The Center for Faith-based and Neighborhood Partnerships website at <http://www.hhs.gov/partnerships/index.html> and at the [Administration for Children & Families: Toolkit for Faith-based and Community Organizations](#).

Award Term and Condition under the Trafficking Victims Protection Act of 2000

Awards issued under this announcement are subject to the requirements of Section 106 (g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. § 7104). For the full text of the award

term, go to http://www.acf.hhs.gov/grants/award_term.html. If you are unable to access this link, please contact the Grants Management Contact identified in *Section VII. Agency Contacts* of this announcement to obtain a copy of the term.

Requirements for Drug-Free Workplace

The Drug-Free Workplace Act of 1988 (41 U.S.C. § 8102 et seq.) requires that all organizations receiving grants from any Federal agency agree to maintain a drug-free workplace. By signing the application, the Authorizing Official agrees that the grantee will provide a drug-free workplace and will comply with the requirement to notify ACF if an employee is convicted of violating a criminal drug statute. Failure to comply with these requirements may be cause for debarment. Government wide requirements for Drug-Free Workplace for Financial Assistance are found in 2 C.F.R. part 182; HHS implementing regulations are set forth in 2 C.F.R. part 382.400. All recipients of ACF grant funds must comply with the requirements in Subpart B - Requirements for Recipients Other Than Individuals, 2 C.F.R. part 382.225. The rule is available at [Requirements for Drug-Free Workplace](#).

Debarment and Suspension

HHS regulations published in 2 CFR part 376 implement the governmentwide debarment and suspension system guidance (2 CFR part 180) for HHS' non-procurement programs and activities. "Non-procurement transactions" include, among other things, grants, cooperative agreements, scholarships, fellowships, and loans. ACF implements the HHS Debarment and Suspension regulations as a term and condition of award. Grantees may decide the method and frequency by which this determination is made and may check the Excluded Parties List System (EPLS) located at <https://www.epls.gov/>, although checking the EPLS is not required. More information is available at http://www.acf.hhs.gov/grants/grants_resources.html.

Pro-Children Act

The Pro-Children Act of 2001, 20 U.S.C. §§ 7181 through 7184, imposes restrictions on smoking in facilities where federally funded children's services are provided. HHS grants are subject to these requirements only if they meet the Act's specified coverage. The Act specifies that smoking is prohibited in any indoor facility (owned, leased, or contracted for) used for the routine or regular provision of kindergarten, elementary, or secondary education or library services to children under the age of 18. In addition, smoking is prohibited in any indoor facility or portion of a facility (owned, leased, or contracted for) used for the routine or regular provision of federally funded health care, day care, or early childhood development, including Head Start services to children under the age of 18. The statutory prohibition also applies if such facilities are constructed, operated, or maintained with Federal funds. The statute does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, portions of facilities used for inpatient drug or alcohol treatment, or facilities where WIC coupons are redeemed. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 per violation and/or the imposition of an administrative compliance order on the responsible entity.

HHS Grants Policy Statement

The HHS Grants Policy Statement (HHS GPS) is the Department of Health and Human Services' single policy guide for discretionary grants and cooperative agreements. ACF grant awards are subject to the requirements of the HHS GPS, which covers basic grants processes, standard terms and conditions, and points of contact, as well as important agency-specific requirements. Appendices to the HHS GPS include a glossary of terms and a list of standard abbreviations for ease of reference. The general terms and conditions in the HHS GPS will apply as indicated unless there are statutory, regulatory, or award-specific

requirements to the contrary that are specified in the Notice of Award (NoA). The HHS GPS is available at http://www.acf.hhs.gov/grants/grants_related.html.

VI.3. Reporting

Grantees under this funding opportunity announcement will be required to submit performance progress and financial reports periodically throughout the project period. The frequency of required reporting is listed later in this section. Final reports may be submitted in hard copy to the Grants Management Office Contact listed in *Section VII. Agency Contacts* of this announcement. Instructions on submission of reports electronically will be provided with award documents.

Performance Progress Reports (PPR)

ACF grantees are required to submit the SF-PPR Cover Page. ACF Program Offices that utilize reporting forms or formats in addition to, or instead of, the SF-PPR have listed the reporting requirements later in this section.

Grant award documents will inform grantees of the appropriate performance progress report form or format to use. Grantees should consult their award documents to determine the appropriate performance progress report format required under their award. Performance progress reports are due 30 days after the end of the reporting period.

Final program performance reports are due 90 days after the close of the project period. The SF-PPR may be found at http://www.acf.hhs.gov/grants/grants_resources.html.

Federal Financial Reports (FFR)

As of February 1, 2011, HHS began the transition from use of the SF-269, Financial Status Report (Short Form or Long Form) to the use of the SF-425 Federal Financial Report for expenditure reporting. SF-269s will no longer be accepted for expenditure reports due after that date. If an SF-269 is submitted, the ACF will return it and require the recipient to complete the SF-425.

The transition strategy is allowing individual HHS Operating Divisions to select--from a limited number of options--the approach that best fits their programs and business process. This transition does not affect completion or submission of the cash reporting to the HHS Division of Payment Management's Payment Management System (PMS). The primary features of this transition for recipients are that OPDIVs that previously required electronic submission of the SF-269 will receive the SF-425 expenditure reports electronically and, until further notice, OPDIVs that have been receiving expenditure reports in hard copy will continue to do so.

All expenditure reports will be due on one of the standard due dates by which cash reporting is required to be submitted to PMS or at the end of a calendar quarter as determined by the Operating Division. As a result, a recipient that receives awards from more than one OPDIV may be subject to more than one approach, but will not be required to change its current means of submission or be subjected to more than eight standard due dates.

Beginning with budget periods which end from January 1 - March 31, 2011, and for all budget periods thereafter, all affected ACF grantees will be required to submit an SF-425 report as frequently as is required in the terms and conditions of their award using due dates for reports to PMS.

For budget periods ending in the months of: The FFR (SF-425) is due to ACF on:

January 01 through March 31	April 30
April 01 through June 30	July 30
July 01 through September 30	October 30
October 01 through December 31	January 30

Fillable versions of the SF-425 form in Adobe PDF and MS-Excel formats, along with instructions, are available at http://www.whitehouse.gov/omb/grants_forms, www.forms.gov, and on the [ACF Funding Opportunity Website Forms](#) page.

Further instructions will be provided, as necessary, with award terms and conditions that will address specific reporting periods and due dates on an award-by-award basis. Additional information on frequency of reporting is available on the ACF Funding Opportunities website at http://www.acf.hhs.gov/grants/msg_sf425.html.

For planning purposes, ACF reporting periods for awards made under this announcement are as follows:

Program Progress Reports: Semi-Annually

Financial Reports: Semi-Annually

Awards issued as a result of this funding opportunity may be subject to the Transparency Act subaward and executive compensation reporting requirements of 2 C.F.R. Part 170. See ACF's [Award Term for Federal Financial Accountability and Transparency Act \(FFATA\) Subaward and Executive Compensation Reporting Requirement](#) implementing this requirement and additional award applicability information.

SF-428 Tangible Property Report and SF-429 Real Property Status Report

As of April 1, 2012, the Administration for Children and Families will begin requiring the use of the SF-428 (Tangible Personal Property Form) as well as the SF-429 (Real Property Status Report).

The SF-428 is a standard form to be used by awarding agencies to collect information related to tangible personal property (equipment and supplies) when required by a Federal financial assistance award. The form consists of the cover sheet (SF-428) and three attachments to be used as required: Annual Report; Final (Award Closeout) Report and a Disposition Request/Report. A Supplemental Sheet, SF-428S, may be used to provide detailed individual item information.

The SF-429 is a standard report to be used by recipients of Federal financial assistance to report real property status (Attachment A) or to request agency instructions on real property (Attachments B, C) that was/will be provided as Government Furnished Property (GFP) or acquired (i.e., purchased or constructed) in whole or in part under a Federal financial assistance award (i.e., grant, cooperative agreement, etc.). This includes real property that was improved using Federal funds and real property that was donated to a Federal project in the form of a match or cost share donation. This report is to be used for awards that establish a Federal Interest on real property.

Beginning with budget periods ending September 30, 2012 and for all budget periods thereafter, all ACF grantees will be required to submit (as applicable) an SF-428 and SF-429 report as frequently as is required in the terms and conditions of their award.

The forms are available at http://www.whitehouse.gov/omb/grants_forms.

VII. Agency Contacts

Program Office Contact

Patricia Campiglia
Children's Bureau
Portals Building
1250 Maryland Ave S.W.
Washington, DC 20024
Phone: (202) 205-8060
Email: patricia.campiglia@acf.hhs.gov

Office of Grants Management Contact

Daphne Weeden
CB Operations Center
c/o Lux Consulting Group
8405 Colesville Road, Suite 600
Silver Spring, MD 20910
Phone: (866) 796-1591
Email: cb@luxcg.com

Federal Relay Service:

Hearing-impaired and speech-impaired callers may contact the Federal Relay Service for assistance at 1-800-877-8339 (TTY - Text Telephone or ASCII - American Standard Code For Information Interchange).

VIII. Other Information

Reference Websites

U.S. Department of Health and Human Services (HHS) on the Internet <http://www.hhs.gov/>.

Administration for Children and Families (ACF) on the Internet <http://www.acf.hhs.gov/>.

Administration for Children and Families - ACF Funding Opportunities homepage <http://www.acf.hhs.gov/grants/>.

Catalog of Federal Domestic Assistance (C.F.D.A.) <https://www.cfda.gov/>.

Code of Federal Regulations (C.F.R.) <http://www.gpo.gov>.

United States Code (U.S.C) <http://www.gpoaccess.gov/uscode/>.

All required Standard Forms, assurances, and certifications are available on the ACF Forms page at http://www.acf.hhs.gov/grants/grants_resources.html.

Grants.gov Forms Repository webpage at http://www.grants.gov/agencies/aforms_repository_information.jsp.

Versions of other Standard Forms (SFs) are available on the Office of Management and Budget (OMB) Grants Management Forms web site at http://www.whitehouse.gov/omb/grants_forms/.

For information regarding accessibility issues, visit the Grants.gov Accessibility Compliance Page at http://www07.grants.gov/aboutgrants/accessibility_compliance.jsp

Sign up to receive notification of ACF Funding Opportunities at www.Grants.gov http://www.grants.gov/applicants/email_subscription.jsp.

Application Checklist

Applicants may use the checklist below as a guide when preparing your application package.

What to Submit	Where Found	When to Submit
SF-424 - Application for Federal Assistance and SF-P/PSL - Project/Performance Site Location(s)	Referenced in <i>Section IV.2.</i> and found at http://www.acf.hhs.gov/grants/grants_resources.html and at the Grants.gov Forms Repository at http://www.grants.gov/agencies/aforms_repository_information.jsp .	Submission is due by the application due date found in the <i>Overview</i> and in <i>Section IV.3. Submission Dates and Times</i> .
SF-424A - Budget Information - Non-Construction Programs and SF-424B - Assurances - Non-Construction Programs	Referenced in <i>Section IV.2.</i> and found at http://www.acf.hhs.gov/grants/grants_resources.html .	Submission is due by the application due date found in the <i>Overview</i> and in <i>Section IV.3. Submission Dates and Times</i> .
Certification Regarding Lobbying	Referenced in <i>Section IV.2.</i> of the announcement and found at http://www.acf.hhs.gov/grants/grants_resources.html .	Submission is due with the application package. If it is not submitted with the application package, it may also be submitted prior to the award of a grant.
SF-LLL - Disclosure of Lobbying Activities	"Disclosure Form to Report Lobbying" is referenced in <i>Section IV.2.</i> and found at http://www.acf.hhs.gov/grants/grants_resources.html .	If submission of this form is applicable, it is due prior at the time of application. It may also be submitted prior to the award of a grant.

	<p>Submission of this form is required if any funds have been paid, or will be paid, to any person for influencing, or attempting to influence, an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan.</p>	
<p>Survey on Ensuring Equal Opportunity for Applicants</p>	<p>Non-profit private organizations (not including private universities) are encouraged to submit the survey with their applications. Applicants applying electronically, may submit this survey along with the application as part of the appendix or as a separate document. Applicants submitting in paper, please place the completed survey in an envelope labeled "Applicant Survey." Seal the envelope and include it along with the application package.</p> <p>The survey is referenced in Section IV.2. of the announcement. The survey may be found at http://www.acf.hhs.gov/grants/grants_resources.html.</p> <p>The survey will not count in the page limitations.</p>	<p>Submission is voluntary. Submission may be made with the application by the application due date listed in the <i>Overview</i> and <i>Section IV.3. Submission Dates and Times</i>. Or, it may be submitted prior to the award of a grant.</p>
<p>Table of Contents</p>	<p>Referenced in <i>Section IV.2. The Project Description</i>. This is an element of the Project Description and will usually be counted in page limitations listed in <i>Section IV.2. Formatting Requirements</i>.</p>	<p>Submission is due as part of the Project Description by the application due date found in the <i>Overview</i> and in <i>Section IV.3. Submission Dates and Times</i>.</p>
<p>Project Summary/Abstract</p>	<p>Referenced in <i>Section IV.2. The Project Description</i> of the announcement. It is an element of the Project Description and will be counted in page limitations that are stated in <i>Section IV.2. Formatting Requirements</i>.</p>	<p>Submission is due by the application due date found in the <i>Overview</i> and in <i>Section IV.3. Submission Dates and Times</i>.</p>

<p>The Project Description</p>	<p>Referenced in <i>Section IV.2. The Project Description</i>. This is the title for the project narrative that describes the applicant's plan for the project.</p>	<p>Submission is due by the application due date found in the <i>Overview</i> and in <i>Section IV.3. Submission Dates and Times</i>.</p>
<p>The Project Budget and Budget Justification</p>	<p>Referenced in <i>Section IV.2. The Project Budget and Budget Justification</i> of the announcement.</p>	<p>Submission of the Project Budget is required on the appropriate Standard Form (424A or 424C). The Budget Justification is a separate document that may be no longer than 10 pages and is due by the application due date found in the <i>Overview</i> and in <i>Section IV.3. Submission Dates and Times</i>.</p>
<p>Proof of Non-Profit Status</p>	<p>Referenced in <i>Section IV.2. The Project Description</i> of the announcement under "Legal Status of Applicant Entity." Proof of non-profit status may be submitted as part of appendices to the application package. It is not considered as part of the project narrative/plan.</p>	<p>Proof of non-profit status should be submitted with the application package by the due date listed in the <i>Overview</i> and <i>Section IV.3. Submission Dates and Times</i>. If it is not available at the time of application submission, it must be submitted prior to the award of a grant.</p>
<p>Logic Model</p>	<p>Referenced in <i>Section IV.2. The Project Description</i> of the announcement. It is an element of the Project Description and will be counted in page limitations that are stated in <i>Section IV.2. Formatting Requirements</i>.</p>	<p>Submission is due with the application package by the application due date found in the <i>Overview</i> and in <i>Section IV.3. Submission Dates and Times</i>.</p>
<p>Third-Party Agreements</p>	<p>Referenced in Section IV.2. of the announcement under "Project Description."</p>	<p>If available, submission is due by the application due date found in the <i>Overview</i> and in <i>Section IV.3</i>. If not available at the time of application submission, due by the time of award.</p>

<p>Executive Order 12372, "Intergovernmental Review of Federal Programs," and 45 CFR Part 100, "Intergovernmental Review of Department of Health and Human Services Programs and Activities"</p>	<p>Applicants should go to the following URL for the official list of the jurisdictions that have elected to participate in E.O. 12372 http://www.whitehouse.gov/omb/grants_spo/ as indicated in <i>Section IV.4. Intergovernmental Review</i> of this announcement. The Executive Order and CFR require that applicants submit all required application materials to their State Single Point of Contact (SPOC) and indicate the date of submission on the Standard Form (SF) 424 at item 19.</p>	<p>Submission of application materials is due to SPOC by the application due date listed in the <i>Overview</i> and in <i>Section IV.3. Submission Dates and Times</i>.</p>
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Appendices