



"State of Ethics"

Presented By:

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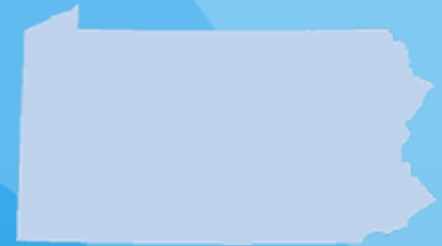
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Project Summary

- 11 Cities
 - 4, Florida
 - 7, Consolidated Cities Across the United States
- How do they function?
- What can we learn?

Philadelphia, PA



- Ethics Department in current configuration created in 2007 (program ~4 years old)
- Independence a Key aspect of operation
- Mayor appoints 5 Ethics Commission members which must then be approved by city council
- Staff of 8 (Director, Deputy Director, 2 Attorneys, 2 Clerks, and 2 Administrative Aids) with plans to expand to 12, budget permitting

Honolulu, HI



- Well established model with 10+ years in operation
- Advisory Form of Ethics Commission
 - Meets only when an issue demands it
 - Makes a formal advisory decision which they then “recommend” for enforcement by the proper agency
- The city Director of Ethics is responsible for ethics training for the 10,000+ city employees
 - Many departments within the city divide additional ethics duties rather than a cohesive Ethics Department

Palm Beach, FL



- Very New
 - Ordinances creating a Code of Ethics, Office of Inspector General, and Commission on Ethics in late 2009
- The ordinances have good language and a clear transparent system for conducting meetings and making decisions
- Easy and Helpful website
- The model has yet to be fully tested as staff positions are only now being filled

Broward, FL



- Created in late 2008 in the aftermath of a city commission corruption scandal
- Comprised of 11 volunteers, one from each district, that meet to create a code of ethics
- Inspector general has most of the actual authority,
 - ie: Watchdog and enforcement of the code of ethics, created by the Ethics Commission

New Orleans, LA



- Fixed budget at .75% of general operating budget
 - \$400,000 budget
- Ethics Board selects the Inspector General

San Francisco, CA



- Budget mix between general appropriations and campaign fees
 - Budget total around \$2.5 million
- Budget allows for 21+ employees to perform a wide variety of tasks
- Commission is chosen by 5 different local bodies to ensure independence

Orange County, FL



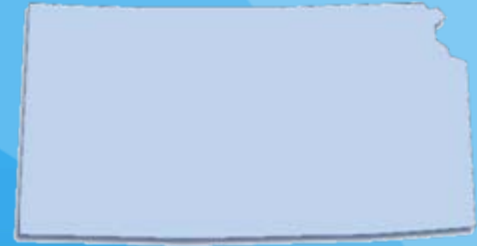
- Lacks City/County Consolidation
- Intent for ethics is there, but lacks consistency
- Moving slowly towards a comprehensive ethics program, but lacks enacting laws

Anchorage, AK



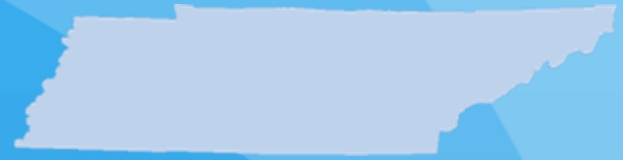
- Foundations of Ethics stemming from the original charter government
- Municipal Clerk Handles administration and functions as the “Ethics Officer”
- Grants advisory opinions
 - (Negative) all opinions are confidential unless waived
- Highly organized with specific disclosure forms available from their easily accessible website

Wyandotte, KS



- Voter approved ethics code in 1997
- Dedicated Ethics Administrator
 - Handles administration of hotline, education etc.
- Commission is selected by a temporary selection committee
 - Preserves the independence of commission

Nashville, TN



- Originally established via Executive order but codified in 2007
- 5 member commission appointed by a variety of local entities
- Exists independently of city council and Mayor
 - Has the ability to levy harsh penalties for violation including censure
- May report directly to law enforcement

Miami-Dade, FL



- Created via Citizen initiative
- \$2.2 million dollar budget for Ethics
- Employs an independent Inspector General
 - Hired by City Council, but may be fired by the Ethics Commission with a 2/3 vote
- Employs an executive director who manages all education, hotline management, and coordination with departmental ethics officers
 - Hired Directly by the Ethics Commission

RECOMMENDATIONS

Recommendations (Selection)

- 9 Commission Members Appointed by the following:
 - Mayor
 - City Council
 - Independent Authorities
 - All authorities work together to appoint 1 Representative
 - BAR Association
 - Academia (UNF, JU, FCSL, and FSC)
 - School Presidents chose one appointee with Ethics Background
 - League of Women Voters
 - Must have held a public office
 - 3 At large members from community
 - Chosen via public application and approved by sitting commission

Recommendations (Jurisdiction)

- The Ethics Commission would have jurisdiction over:
 - All Elected and Appointed Officials
 - Including the Mayor
 - All Independent Authorities

Recommendations (Budget)

- Three Options:
 - New Orleans:
 - Dedicated Budget based on a percentage of the overall city budget.
 - Philadelphia Model:
 - Line Item in general budget
 - Ability to file a *Writ of Mandamus* in the event the budget provided is inadequate
 - Set Percentage:
 - Percentage of budgets coming from independent authorities and executive branch

Recommendations (Commission)

- Commission
 - Selected as discussed previously
 - How should they be removed? (For you to decide)
 - Responsible for topics listed under Charter & Code
 - Responsible for the hiring of:
 - Inspector General
 - Executive Director (Ethics Officer)

Recommendations (ED/EO)

- Executive Director/Ethics Officer
 - Hired by Ethics Commission
 - May be removed by Ethics Commission or City Council
 - Responsible for
 - Ethics Training
 - Education
 - Hotline Administration
 - Working with IG to develop anti-corruption programming

Recommendations (IG)

- *Independent* Inspector General
 - Hired by Ethics Commission
 - May be removed by Ethics Commission or City Council for Cause
 - Responsible for all investigation and coordination of anti-corruption programming
 - See New Orleans and Palm Beach for examples

Recommendations (Charter)

- Topics specifically mentioned in the charter
 - Lobbyist registration
 - Transparency
 - Conflict of interest
 - Procurement

Questions?

