1	CITY OF JACKSONVILLE
2	CHARTER REVISION COMMISSION
3	MEETING
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6	Proceedings held on Thursday, November 5,
7	2009, commencing at 9:05 a.m., City Hall, Council
8	Chambers, 1st Floor, Jacksonville, Florida, before
9	Diane M. Tropia, a Notary Public in and for the State
10	of Florida at Large.
11	
12	PRESENT:
13	WYMAN DUGGAN, Chair. ED AUSTIN, Commission Member.
14	JIM CATLETT, Commission Member. WILLIAM CATLIN, Commission Member.
15	JESSICA DEAL, Commission Member. TERESA EICHNER, Commission Member.
16	BEVERLY GARVIN, Commission Member. MECHELLE HERRINGTON, Commission Member.
17	ALI KORMAN, Commission Member. JEANNE MILLER, Commission Member.
18	GARY OLIVERAS, Commission Member. CURTIS THOMPSON, Commission Member.
19	GEOFF YOUNGBLOOD, Commission Member.
20	ALSO PRESENT:
21	STEVE ROHAN, Office of General Counsel. JEFF CLEMENTS, Research Division.
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1	PROCEEDINGS
2	November 5, 2009 9:05 a.m.
3	
4	THE CHAIRMAN: Good morning, everybody.
5	I will call to order the November 5th,
6	2009, meeting of the Charter Revision
7	Commission.
8	If we could go around the dais and announce
9	your presence, starting with Commissioner
10	Thompson.
11	MR. THOMPSON: Curtis Thompson.
12	MR. OLIVERAS: Gary Oliveras.
13	MS. EICHNER: Teresa Eichner.
14	THE CHAIRMAN: Wyman Duggan.
15	MR. AUSTIN: Ed Austin.
16	MS. DEAL: Jessica Deal.
17	MS. GARVIN: Beverly Garvin.
18	MS. MILLER: Jeanne Miller.
19	MR. YOUNGBLOOD: Geoff Youngblood.
20	THE CHAIRMAN: Thank you all.
21	I had a phone call about a half an hour ago
22	from Commissioner Catlett who is having some car
23	trouble and will be here as soon as he is able,
24	and Commissioner Korman is also in a prior
25	meeting this morning and will be here as soon as

1 she is able.

2	(Mr. Catlin enters the proceedings.)
3	THE CHAIRMAN: As a reminder, again, please
4	turn your cell phones to silent or vibrate.
5	If you wish to address the commission
б	today, please fill out a blue speaker card, and
7	we will begin with the Pledge and a moment of
8	silence.
9	(Recitation of the Pledge of Allegiance.)
10	THE CHAIRMAN: Thank you.
11	As I believe most of you know, our
12	presentation today our meeting today is going
13	to focus on the Office of General Counsel,
14	which, as you recall, is one of our top
15	vote-getters on our issues list. We have a very
16	distinguished speaker this morning.
17	Jeanne, do you want to make an
18	introduction
19	MS. MILLER: (Shakes head.)
20	THE CHAIRMAN: or would you like me to
21	do it?
22	Okay. Our first speaker this morning is
23	Judge William Durden, who was the very first
24	General Counsel under the consolidated
25	government. He has, of course, a wealth of

1 experience and knowledge to bring to bear on 2 this issue. I know you've heard General Counsel Mullaney speak very highly of him in his 3 previous testimony to us, so it's our very great 4 5 pleasure to have him here with us today. He is going to be seated up here on the 6 7 dais with us as an accommodation to him, which, obviously, we're happy to do. 8 So without any further ado, Judge Durden, 9 if you would like to -- I would ask that our 10 11 court reporter swear you in as a preliminary, 12 and then please take it away. 13 Thank you. THE REPORTER: Do you affirm that the 14 testimony you're about to give will be the 15 16 truth, the whole truth, and nothing but the 17 truth? JUDGE DURDEN: I do. 18 19 THE REPORTER: Thank you. 20 THE CHAIRMAN: Go ahead, Judge Durden. 21 JUDGE DURDEN: I wasn't sure of the format 22 and as unaccustomed as I am to public speaking, 23 I thought I could handle whatever came up, but I 24 don't know what would be more interesting than to give you the background to consolidation as 25

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1 it existed on and before October 1, 1968.

2 Before October 1, 1968, we had a myriad 3 number of City offices and officers and a number 4 of county offices and officers. They were 5 running all over each other claiming power, 6 responsibility, and whatever else they wanted to 7 claim.

8 We had a County Commission of five County 9 Commissioners. The county was broken up into 10 five different areas. I think they're pretty 11 close to what you call the urban service areas 12 now, but not necessarily precisely the same.

13 The City government was composed of a strong mayor, City Council, commission form of 14 government, if you've ever heard of one of 15 16 those. There was none in existence, but we had all three. We had the mayor, we had the City 17 Council, and we had the City Commissioners. 18 The City Commissioners were primarily executive; the 19 20 City Council was primarily legislative; and, of 21 course, the mayor was executive.

We had had a great deal of development. I had ended a few-year service in the governor's office in 1960. I think that's right. And when you are that close to a governor, he can appoint

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1 you to the court, so he appointed me to be a 2 judge at the Fourth Judicial Circuit, and I came back here as a judge and knew that I was not 3 going to stay because I had six children, 4 each -- including my child Mallory Cooper, who's 5 down there trying that murder case. 6 And so I knew that I would not be in it 7 very long, but Mayor Tanzler came to me on the 8 9 court and said, please help me set up this government. So I told him I would do it for two 10 years and then I would leave. 11 12 Prior to that time, as I said, we had so 13 many officers that were running all over each other. And "consolidation" was actually a very 14 good word because the powers were so diffused 15 16 and so contradictory to each other that, as I said, they were running all over each other 17 claiming power. 18 The County Commission mainly dealt with 19 20 rural problems because their jurisdiction was 21 sort of limited within the city limits, and the 22 City Commissioners ran a very closely -- narrow 23 territorial limits of the city, so . . . 24 We had a county sheriff. We had a city police department. We had a county highway 25

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patrol. We had all kinds of duplication in government.

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I was not involved in the legislation creed in consolidation. I was on the court. But when the legislation had been passed, as I said, I had determined to leave the court and go make some money. Hans asked me to set it up for him, and so I did. So I agreed to stay for two years, from October 1, 1968.

Prior to that time, you'd have to have 10 known Jacksonville. He didn't. It had not been 11 12 too long since -- while I was in the governor's 13 office we closed up the whore houses in Duval 14 County, we closed up the gambling in Duval County, ran Joe Williams out of the city, and 15 16 ran Dot Lee down to St. Johns County because of what they -- she called then the -- south of the 17 border. Some of your memories might go back at 18 least that far. And so it was a grand time to 19 20 get new government on its way.

So, as I said, I agreed to take it for two years, and did so. And in that two-year period, I think -- our current General Counsel fusses. He brought me a set of books the other day that contained the first 169 opinions, which I

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1 sponsored and which I felt was necessary to do 2 to interpret the charter, but, as you know, the charter itself is not very long, but to hand 3 over the integration and the assignment of power 4 under the new charter was quite vigorously 5 opposed. The County Commissioners didn't like 6 the idea of them being done away with. The City 7 Commissioners didn't like being done away with. 8

I thought the genius in the charter was the 9 creation of the fourteen districts and the five 10 at-large councilmen. I still do. It gives the 11 12 council -- the countywide seats representation 13 of the county as a whole and the districts -the districts as a whole, as has probably, from 14 my point of view, deteriorated into kingdoms, 15 16 which is always what happens in government. You 17 have petty and major kingdoms.

But, all in all, I think, bearing in mind that Jacksonville is on the verge of exploding into one of the major cultural, economic and governmental centers of the south, to be pretty well organized.

23 Certainly we have not indicted as many
24 people after consolidation as we did before
25 consolidation. There was, at the time, a

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1	movement for consolidation. As I said, I think
2	we had City Council members, City Commission
3	members, and a couple of them were indicted.
4	The only one I remember is Dallas Thomas, who
5	and from this came a brood. Don't ever name a
6	park after any politician till he's dead so that
7	you don't run the risk of what happened on
8	Dallas Thomas they named it Dallas Thomas
9	Park, across the river, and then he was
10	indicted. It was somewhat of an embarrassment.
11	The fundamental basis for consolidation was
12	to consolidate. I think it's a magnificent
13	word. We consolidated all of those into a very
14	few offices with direct ultimate responsibility
15	on the mayor. The newspapers liked to call it
16	the strong general counsel form of government.
17	It was really a pretty stronger mayor form of
18	government with a general counsel that helped
19	him do the job.
20	And it was an interesting experience. I
21	had had broad experience in the governor's
22	office and knew government pretty well so
23	that we I think we did a fairly decent job,
24	and I think y'all the new charter revision is
25	something that's come up a couple of times since

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1 I left office. I think my son Stephen was the 2 chairman of one of the Charter Revision Commissions. And I've forgotten the state 3 legislator that was in that movement, but he was 4 5 Republican, so I can't remember much about him. But he came to me and he said, well, you 6 haven't proposed many changes in the charter. I 7 said it's not charter that's wrong; it's the 8 people that are running it. And it -- which is 9 an emphatic way of saying government can be put 10 in form, structure, and power, assignments, but 11 12 it still ends up being the people that run it 13 that matter. And being a resident of Jacksonville since 14 1921, I can tell you that I'm pretty happy with 15 16 the way things are going and where we're 17 standing in the array of cities throughout the state and throughout the country. 18 I'm not sure if I'd bring the Jaguars to 19 20 town, but I guess they're all right. Wayne 21 lived down the street from me in San Jose and he 22 made more money than I did. 23 By the way, so does the current General 24 Counsel. That's the only thing that makes me mad about him is -- my salary was 28,5- at the 25

1 top and his is over 200,000, and he ain't worth no more than I was. And if he's here, I hope he 2 heard it. Matter of fact, I was pretty bright. 3 He's pretty bright too. 4 5 No, he's kept it on course. And, as I said, the only thing is that -- makes me mad is 6 the difference in salary. 7 But actually Hans came to me and -- he was 8 the mayor. Show you how stupid and idealistic 9 somebody could be at certain ages. He said, 10 Bill, I'll get the council to set your salary to 11 12 wherever you want it. And there was no limit on 13 it. They just put the general counsel in the -into the charter. And I said, well, Hans, I'm a 14 strong believer that the mayor should be the 15 16 highest paid person in the government, so I won't accept a salary more than your 30,000. So 17 they set it at 28,5-. And it stayed there, I 18 19 think, a pretty long time. 20 I love Jacksonville. I don't love it quite as much as my wife does. It worries her when I 21 22 call her my first wife, but that's what I call 23 her. She wants to know what I've got in mind. And after 60 years, I can't tell her that -- if 24

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I've got anything, it is in mind and not in

1 body, but we have gotten along well for 2 60 years. We have five children, the oldest of which is Judge Mallory Cooper, and the next one 3 down is Allison, who's here with me, who is 4 counsel for FCCJ with Jeanne. The third one is 5 Lindsey, who's a rambunctious child, teaches art 6 and subjects -- related subjects at FCCJ. 7 Stephen is a professor at the law school, and 8 Will is an assistant public defender. 9 I have been accused of packing the public 10 payrolls with my family, and that is better than 11 12 paying for them yourself. 13 So it's been a great experience. I grew up down the street at the corner of Church and 14 Liberty, so I've seen an awful lot of changes. 15 16 They're all good. The Charter Revision Commission, I don't 17 know what subjects y'all may be thinking of 18 modifying, but when you think of modifying, bear 19 20 in mind that it really takes the people to run 21 them correctly. But from what I hear in 22 secondary and tertiary conversations and 23 readings, that y'all are doing a great job. And it is good -- I think the charter 24 requires a review every ten years, if I remember 25

1 correctly. And I think it's good to do that, 2 but be careful about changing it. You never -what's the statement? I'd rather put up with 3 the devil I know than the devil I don't know, 4 5 so . . . The important things were getting rid of 6 the duplication of the police department, 7 getting rid of the duplication of purchasing 8 power, getting rid of the duplication of 9 10 representation by lawyers. And the consolidation was my goal to implement it as I 11 12 thought it was intended. And, as I said, I had 13 prepared by my staff 169 opinions weighing down the predicates for the new government, and I 14 think it's worked very well. 15 16 I really would like to reserve most of my time to answer questions because I don't know 17 the extent of your review, what you have under 18 consideration, what's bothering you the most, 19 20 what you think needs improving the most, and how 21 do you think you can go about improving the 22 most. 23 So, Mr. Chairman, without much further ado, I would rather answer the concerns of the 24 commission members.

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1	THE CHAIRMAN: Thank you very much,
2	Judge Durden.
3	Commissioners.
4	Commissioner Miller.
5	MS. MILLER: Through the Chair, thank you
6	so much, Judge.
7	Judge.
8	JUDGE DURDEN: Yes.
9	MS. MILLER: I was wondering if you would
10	share with the commission some of our previous
11	conversations about the challenges you faced
12	when you became General Counsel and some of
13	the many of the challenges, I think, you
14	faced. We have heard discussion at the
15	commission, various clients wanting their own
16	lawyer, and how you address that, and and the
17	clients and your process for developing
18	binding legal opinions within the office. I
19	think that that would be helpful to the
20	commission.
21	Could you share that?
22	JUDGE DURDEN: Sure. Absolutely.
23	When they asked me to do it, as I said, I
24	had already made arrangements to become a lawyer
25	for the Dupont Trust, so I agreed to set that

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aside for two years and do it. I told them I
 would stay no more than two years, and I think I
 stayed 21 months.

The theory behind consolidation was to do 4 5 away with duplication. That's what consolidation means. And, as I said, we had at 6 least 51 different boards, commissions, and 7 offices, and they were always fighting with each 8 9 other for power. We had a Budget Commission, we had a County Commission, we had a City 10 Commission, we had any number of different 11 12 boards and commissions. And they were all 13 having their own separate bank accounts, they 14 were all having their own separate lawyers, they were all running their shops as they saw fit. 15

16 I remember McKinney Davis was the county judge at the time. And when we issued the first 17 few orders about consolidated financials, he 18 came to me and said, Bill, I'm going to close 19 20 the doors to the county judge's office and put a 21 sign on it which says, this office can no longer 22 operate because the City government -- new 23 government will not give me the money that I'm 24 used to getting straight out from the County Commission. And I said, well, you'll just have 25

1 to do that, Judge, because it is -- the heart of consolidation is money. The heart of most 2 3 everything seems to be money. So I faced him down. It was a little bit 4 5 difficult because I knew I was going to go out and represent the Dupont estate, which was 6 pending before him, but he -- a couple of -- he 7 8 said, I'm used to setting up my own bank 9 account, I'm used to having my own separate counsel. And later on he told me that taking 10 that responsibility away from him and giving it 11 12 to a consolidated office was the best thing that 13 ever happened to his office. He could do his 14 pristine, pure judging instead of trying to do a 15 county --16 We compared that government with any others

10 We compared that government with any others 17 that were pending in the country. The only one 18 that was even close, if I remember correctly, 19 was Memphis, Tennessee. They had a consolidated 20 government, which we adopted some of their rules 21 on.

The talent is where one -- taking away -primarily taking away the power from previous City Commissioners, five of them. We were taking away from the fact that they did have two

secretaries, one for typing and one for other
 matters. We took away the duplication of
 lawyers, where they could go out and hire any
 lawyer they wanted to and pay them anything they
 wanted to to represent them.

We could even -- we even avoided a City 6 budget -- the County Budget Commission being 7 8 sued by the City Personnel Division of -because of money. They -- it was just a great 9 thing. And, fortunately, one of the first 10 opinions I issued was that nobody could -- the 11 12 law permitted separate counsel where approved by 13 the City General Counsel. I never did issue an opinion authorizing an outside attorney, not 14 once. It was contrary to consolidation. It's 15 16 really not a power statement on my part. I was 17 a creature of the legislature. And any time they didn't like it, they could go back to the 18 legislature and get it changed if they felt they 19 20 could.

It was fun because, one, I didn't care if
I got reversed. But, two, it was fun to issue
all the opinions.

I formed a City General Counsel's ReviewCommittee composed of Bill Madison, who was a

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1 previous City attorney, and Tom Oakley, who was a previous County attorney, and one of my own 2 staff members that I picked. My staff fussed at 3 me. They said, well, we got elected to throw 4 all of those people out. I said, no, we didn't 5 elect them to throw out Bill Madison, a City 6 attorney. We got elected to throw out the City 7 Commission and the City Council. 8 And Bill had given -- I think he's one of 9 the outstanding General Counsels of the City 10 11 government. 12 The charter originally provided that my job 13 would be called City Attorney. I said, well, if you want me to take this job, we're going to 14 change that title. That's going to be the first 15 16 act of the council. So we changed it to City General Counsel because City Attorneys were not 17 in good repute of a Bill Madison, who was 18 straight as an arrow. Otherwise, City Attorneys 19 20 were in doubt. 21 Bill then came on my staff and I appointed 22 him chairman of the city laws and put him and 23 Tom Oakley on -- at the time was County 24 Commission -- County Attorney, and they did my charter revision -- charter interpretation 25

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1	decisions, and I think I said we did 169 of
2	them. I felt determined to lay out all the
3	guidelines for the new government.
4	I don't think there have been very many
5	formal opinions issued through them by them,
6	but I felt that if it couldn't stand the light
7	of day in a written opinion, carefully worded
8	and reviewed in house, that it shouldn't be
9	done. So that was the fundamental concept that
10	I had.
11	I don't know where it's gone from '68 to
12	now, but it was a I was thinking of it as a
13	little magazine, the issues on consolidation
14	day, October 1, 1968.
15	As I said, we had gotten rid of the bad
16	apples in the community and we had while I
17	was in the governor's office we had removed the
18	sheriff and who was committing local
19	gambling. He said it he was going to permit
20	local gambling and permitted local prostitution
21	down on Houston Street, that he wasn't going to
22	let any insiders come in. So we removed our
23	(inaudible) held as sheriff and put in Dale
24	Carson. So I had an intimate involvement in the
25	City government of Jacksonville even though I

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1 was in Tallahassee.

2	It was quite an interesting time. And, as
3	I said, so far as I could tell from just reading
4	the newspaper and watching television, current
5	government is doing a good job.
6	I would really like, Madam, to answer the
7	questions that trouble y'all. Don't tell me you
8	don't have any.
9	THE CHAIRMAN: Commissioner Miller, do you
10	have a follow-up?
11	MS. MILLER: I'll wait.
12	THE CHAIRMAN: Commissioner Oliveras.
13	MR. OLIVERAS: Thank you, Mr. Chairman.
14	Judge, thank you for being here today. Let
15	me say, I appreciate your service in the early
16	years of the really a remarkable change for
17	this city.
18	I have a question about the sheriff's
19	office, the sheriff, and a proposal that's been
20	made a suggestion, of creating a new police
21	department. The term used was a metropolitan
22	police department, essentially shifting some of
23	the duties and responsibilities from the sheriff
24	to an appointed police chief appointed by the
25	mayor, and I would I'd like to have your

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1 thoughts on that, if you might.

-	choughes on chue, it you might.
2	JUDGE DURDEN: Of course that was one of
3	the dominant problems even back in '68. Law
4	enforcement, of course, is a very important
5	aspect of local government.
б	Again, it's a hard the form is not as
7	important as the person that does it, we felt,
8	after we removed Cahill, who, as I said, was
9	permitting gambling and prostitution, and
10	appointed Dale Carson as the sheriff,
11	temporarily. Of course, it's an elected office
12	and the governor's appointment was only until
13	the next election, but Dale was elected over and
14	over again after that.
15	I don't know what it is about human nature
16	that makes a person feel like he can do a better
17	job than anybody else can if he's elected to the
18	position, but he has on his side the fact that
19	he has responsibilities, so he has to do it.
20	That issue came up if I were to compare
21	elected sheriffs with City police departments,
22	I'd have to tell you that elected sheriffs do a
23	better job, in my judgment. Of course, I had
24	that job in 67 counties in the governor's office
25	as to who would be a new sheriff if one was

removed, so we looked at those kind of people a
 great deal.

But we did that from the governor's office 3 and got out of it and left it to local people. 4 Governor Collins always said, as long as the 5 city of Jacksonville wanted to have a sheriff 6 7 who's loose on prostitution and gambling that he was not and did not interfere. But when they 8 elected Al Cahill on a reform candidacy, he felt 9 that he had to give the people what they had 10 voted for, so we removed Cahill and temporarily 11 12 appointed Dale Carson, and we think that was one 13 of the best things we ever did for

14 Jacksonville.

15 Collins never particularly cared for 16 Jacksonville. He never supported it very much. 17 Jacksonville always became a -- Jacksonville, 18 Duval County always became a part of what we 19 called in office the Pork Chop Gang, which was 20 composed of rural state senators and state 21 legislators who controlled everything.

22 The county -- the county represented
23 senators from Bradford, Starke, had more power
24 than the one elected from Dade County. It was a
25 continuation of what in New York, with the

(inaudible) government, we were a -- we had the
 pork choppers government.

We all -- we came out of that, then -- and 3 I don't know what issues, as I said, that's 4 pending before your commission at the time other 5 than that, but I think that -- I don't know what 6 was my governing principle, but if you have a 7 good amount of responsibility, you should be 8 subject to the election of the people. 9 You can have a strong mayor form of 10 government and still have some people elected. 11 12 There are some jobs that I didn't think 13 necessarily to be elected. I never was quite sure about the property appraiser, but all in 14 all I'm very proud of Jacksonville. 15 16 I don't know that that's an answer, but if it ain't fixed don't -- if it ain't broke, don't 17 fix it. I'm very happy with Jacksonville's 18 19 government pretty much. 20 (Ms. Korman enters the proceedings.) 21 MR. OLIVERAS: Thank you, Judge. 22 One follow-up question, if I may. 23 Do you feel, in your opinion, if the City 24 were to consider the creation of a metropolitan police department and dividing up the 25

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1 responsibilities between a police department and 2 a sheriff's office, would that, in your mind -since you're one of our founding fathers of 3 consolidation, would that be in agreement with 4 the principles of consolidation or would that 5 run contrary to what we tried to do? 6 JUDGE DURDEN: I think it's contrary. 7 The purpose is to have a single police 8 function, not double. If we had one appointed 9 by the County and one elected -- or appointed by 10 the City, you're going to have all kinds of 11 12 trouble, so I would not do that. 13 MR. OLIVERAS: Thank you. 14 THE CHAIRMAN: Commissioner Catlin. MR. CATLIN: Judge Durden, your good 15 16 friend, Lou Ritter, came and spoke with us, and his -- one of his suggestions was that -- to do 17 away with the district City Councilmen and have 18 19 them all as at-large or an all at-large so you 20 can touch more places in town. What's your 21 opinion on that, sir? 22 JUDGE DURDEN: I don't agree. 23 I think that the main genius involved in 24 having five at-large and fourteen districts, making -- emphasize what they do according to 25

1 where they were elected from, I thought that was 2 a genius plan that -- considerably genius, and 3 so I wouldn't change it. THE CHAIRMAN: Any other? 4 5 JUDGE DURDEN: How about the idea -- not that I want to jump into troubled waters, but 6 7 the idea -- who has the power to appoint the General Counsel, do you all have any problem 8 with that? 9 THE CHAIRMAN: Commissioner Miller. 10 11 MS. MILLER: Judge, through the Chair, I 12 think what we would like to know is certainly 13 your opinion about the authority to appoint the General Counsel, if you have any opinion on the 14 methods to potentially remove the General 15 16 Counsel, the length of service -- recommended 17 length of service for the General Counsel, and if you wouldn't mind talking more about why you 18 set up the General Counsel Review Committee, the 19 20 nature of binding legal opinions, their judicial 21 nature, and why you set up the internal review 22 committee as a way to -- and the arguments of 23 lawyers presenting arguments and then you would 24 ultimately make that decision. Could you give some of the reasoning behind 25

that?

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2 JUDGE DURDEN: The reasoning behind it was to give it the full light of day, to give 3 everybody an opportunity to be heard, to let 4 5 everybody clearly understand what the issue was that was being presented in that when we gave an 6 opinion, we were going to be required to put it 7 in writing so that it could withstand scrutiny 8 if anybody wanted to give it. If it couldn't 9 stand that kind of scrutiny, it shouldn't be the 10 decision that was reached. 11 12 I remember Brad Simpson had been an 13 Assistant City Attorney, and I kept both staffs and I put them together. I kept the City staff 14 and I kept the County staff and still was 15 16 undermanned so far as what the charter 17 permitted, and the reason for that was I felt that both of them, the City Attorneys and the 18 County Attorneys, were in themselves decent and 19 20 honest, that they had nothing to do with the 21 funny business that had been going on under some 22 of them, and I --23 When I put the committee in, I had Yardley 24 Buckman, who was a brilliant Harvard lawyer, chairman of it, and Bill Madison, who had been a 25

1 previous City Attorney, and Tom Oakley, who had 2 been a previous County Attorney serve on that review. 3 As I said, I wanted to -- not to leave my 4 5 personal imprint on the government, but to leave some guiding light to keep people from having 6 the opportunity to sue back and forth and put 7 the City/County at a dual expense. 8 9 (Mr. Catlett enters the proceedings.) JUDGE DURDEN: Prior to that time there was 10 all kind of litigation between two boards, and 11 12 expensively. 13 So I did that for the purpose of consolidating opinions, and we had it go through 14 a review procedure so that we could look at 15 16 every word, every nuance, then test it with a 17 tentative opinion and then with a formal opinion. And the other day when Rick came to 18 see me, he brought me bound copies of the 169 19 20 opinions that were prepared under my direction. 21 If it can't stand the light of a written 22 opinion, then it shouldn't be -- shouldn't be 23 issued. So that was all it was about. As a 24 matter of fact, it was about the same time that Governor Collins established what -- it has been 25

1 said that other people did it, but I think he 2 was the first of Government in the Sunshine, 3 that people had a right to know what was going on. 4 Fortunately, when we removed Cahill, we had 5 available Dale Carson, and I told the 6 governor -- he said, Bill, that's your town. 7 8 What do you think we should do? And I said -well, we removed Cahill. I certainly thought 9 that should be done, although (inaudible) and I 10 had supported Cahill in his reform movement. 11 12 Since we had done that, that we -- let me 13 see. Let me get my thoughts back in order -- we 14 should support him. So the idea that the sheriff would be under 15 16 some -- I've always had trouble with a metropolitan police force. I don't know why, 17 but I have. It sounds so -- a little unusual. 18 I like what we did. I like what y'all have 19 20 done. 21 I'm not sure I answered your question. 22 MS. MILLER: Judge, do you have an opinion 23 about the method for appointing the General 24 Counsel? Do you have an opinion about removing the General Counsel and the length of term for 25

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1 the General Counsel?

T	the General Counsel?
2	JUDGE DURDEN: Well, there was a feeling at
3	the time that if I would stay, they would make
4	it permanent, at least past City terms, election
5	terms.
6	I thought it was better the theory
7	behind it all, but I said the consolidated
8	government being new and interested in new
9	things, that we would go to the best law firms
10	in town I guess because of my associations
11	with major law firms, I felt that they were
12	better than us people on the west side of
13	Main Street, called Bay Street lawyers, although
14	I'm one of them now that they would be more
15	objective. We thought people east of
16	Main Street might be less objective. And
17	Mallory tells me that view still prevails in the
18	courthouse, so to speak.
19	But directly answering it, it all depends
20	on the person.
21	I don't think I've answered your question.
22	MS. MILLER: What about the appointment
23	or
24	JUDGE DURDEN: Appointment. I believe if
25	you're going to have a strong mayor form of

1	government, he should be able to appoint his own
2	counsel. Argued against that is a separate, no
3	direct responsibility to the mayor, but I think
4	it it's contrary to consolidated government.
5	I think consolidated government says the mayor
6	is the chief executive of the city, so I would
7	have the mayor make the appointment subject to
8	council review and approval, much like the
9	consent and advice of the senate.
10	What is bothering y'all the most about
11	government?
12	THE CHAIRMAN: Commissioner Miller, did you
13	get all of your questions answered?
14	MS. MILLER: Yes.
15	THE CHAIRMAN: Commissioner Austin, did you
16	have a question?
17	MR. AUSTIN: Judge Durden, Ed Austin over
18	here.
19	When we went through the study commission
20	and to make the recommendations for the new
21	consolidated government I call these folks
22	the founding fathers of that I know you
23	know or knew most of them they recommended
24	very strongly, I think, that we have an
25	appointed sheriff, appointed tax collector,

1 property appraiser, and supervisor of elections, 2 and they put out their reasons for that. And I think it was -- they made the 3 recommendations and then the legislature, I'm 4 sure, through the political processes of 5 lobbying and so forth, made them elected instead 6 of appointed. And then we -- and then the 7 elected sheriff and -- the mayor really has no 8 input in the education of any consequence at 9 all. Two of the major parts of government, 10 education and public safety, as far as the law 11 12 enforcement arm is concerned, are outside of his 13 office. Is there any kind of a stretch to call this 14 a strong mayor form of government with those 15 16 people all being appointed or outside of any 17 authority of the mayor's office? JUDGE DURDEN: Well, Ed, the City has been 18 blessed to have you as one of the previous City 19 20 General Counsels and I've always been fond of 21 what you did at some considerable sacrifice. 22 The idea that the commission would -- the 23 government would have anybody do different than 24 you did is hard to understand. Ed, tell me your precise question and let 25

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1 me see if I can answer it.

2	MR. AUSTIN: The property appraiser, the
3	tax collector, the supervisor of elections and
4	the sheriff are all elected.
5	JUDGE DURDEN: Right. They shouldn't be.
6	They should be appoint
7	MR. AUSTIN: They all should be elected?
8	JUDGE DURDEN: No. Those should be
9	appointed.
10	MR. AUSTIN: I'm sorry?
11	JUDGE DURDEN: There's not a governmental
12	power involved in that. There's a governmental
13	responsibility to do a job, but I don't know why
14	the supervisor of elections should be elected.
15	He has no fundamental responsibility other than
16	to qualify people as elected and certify
17	elections. I don't know of any power of
18	government that he does. He's almost a I
19	don't mean to demean him, but he doesn't have
20	any kind of governmental power that has to be
21	subject to the will of the people. He just does
22	his job to the extent it requires.
23	MR. AUSTIN: Thank you.
24	JUDGE DURDEN: Let me if we are ending,
25	close, compliment you on what you are doing.

1 It's hard to go back to October 1, 1968, 2 but you -- and I think this is going to be somewhat repetitive, but it was somewhat like 3 the book Tale of Two Cities. It was the best of 4 times, it was the worst of times. We had had 5 City officials indicted and sent to prison. We 6 had County Commissioners that were accused of 7 and pretty well established of -- crooks. They 8 may not have been indicted and sent to jail, but 9 you knew how to get a rezoning, you would talk 10 at some bar with the City or County Commissioner 11 12 from that district. And I could give the names 13 that I remember, but that's not appropriate. I think we just read from -- established 14 the foundation for a wonderful City government. 15 16 What would I do? I'm not one who thinks you have to be elected to be what the people want. 17 I think if you have a decent appointing 18 19 authority that they can do it. 20 And the issue of the lawyers again, it was 21 just sinful the way we were paying for --22 attorneys paid. So don't go back there. Keep 23 your strong General Counsel. Maybe you -- I'm 24 not suggesting you throw him out, but make sure you've got a good, strong one and that he 25

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1 doesn't become, quote, the mayor's lawyer. He 2 is not the mayor's lawyer; he is the City of Jacksonville's lawyer. And if he leans too far, 3 drag him back. I'm not suggesting that's what's 4 5 happening, but we don't need two people with unclear authority to do a single job. 6 7 So if you want consolidation, then the more reliable people we can get to do those offices 8 then the better. 9 I think on the whole Jacksonville should 10 have -- and I don't know that I could keep up 11 12 with it -- a reputation for good government, but 13 it should have happened, but we did. 14 I knew all of them. Lou Ritter is a high school friend. He -- I read what he said. I 15 16 don't disagree with it, but what about --17 fortunately, we had been blessed with good mayors. The man sitting here in the room was 18 19 one of the very best. 20 I know he came to me when I was appointed 21 chairman of the committee to select -- or 22 recommend a new General Counsel, and he said --23 I called him and said, I know you think Delaney 24 would be a good one, and I do too, and -- he's a little young, don't you think? Ed said, yeah, 25

1 he's the same age you were when you were running the governor's office. I said, I guess that's 2 3 good enough. But you have been blessed with mayors like 4 5 Ed, and I think North Jacksonville is entitled to representation too. All the bright ones are 6 not in South Jacksonville or Arlington or 7 Ortega, so that -- I think Jake has been a good 8 one. Not that -- there were a couple of them 9 that you'd review and a couple of them had 10 lawyers that I wouldn't appoint, but all in all 11 12 we survived it. Nobody went to prison, nobody 13 died as Allison says. Ed, you did a great job for this city and 14 they should erect a marble statue of you and put 15 16 you in Hemming Plaza instead of that Confederate soldier. You're more likely to be the father of 17 consolidation than I. I'm not (inaudible). 18 19 Anything else? 20 THE CHAIRMAN: Commissioners, are there any other questions? 21 22 MR. AUSTIN: Judge Durden, Jim Rinaman said 23 that you established forever that this was a 24 strong General Counsel form of government in lieu of any other form when you were the first 25

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1
          General Counsel. I'd like to second that, and
 2
          thank you very much for being here today.
               JUDGE DURDEN: Thank you.
 3
               THE CHAIRMAN: Thank you, Judge Durden.
 4
 5
               We appreciate your time.
               JUDGE DURDEN: You're welcome.
 6
               THE CHAIRMAN: It was very informative.
 7
 8
               Our next speaker will be Braxton Gillam,
          who, as you recall, is a member of the Ethics
 9
          Commission. He will be speaking to us today
10
          about the potential conflicts of interest that
11
12
          governmental attorneys could have with respect
13
          to the Bar rules of professional
14
          responsibility.
15
               (Mr. Gillam approaches the podium.)
16
               THE CHAIRMAN: Braxton, if I've misstated
17
          that, please correct me.
               We'll begin by having you sworn in by our
18
19
          court reporter.
               THE REPORTER: Your name and address first,
20
21
          please.
22
               MR. GILLAM: Braxton Gillam, 14 East Bay
23
          Street.
24
               THE REPORTER: Thank you.
               Do you affirm that the testimony you're
25
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1
          about to give will be the truth, the whole
 2
          truth, and nothing but the truth so help you
          God?
 3
               MR. GILLAM: I do.
 4
 5
               THE REPORTER: Thank you.
 6
               THE CHAIRMAN: Is that on --
               MR. GILLAM: Just initially, I'll say I'm
 7
 8
          one of those Bay Street lawyers that the judge
          was speaking about earlier.
 9
               I appreciate you taking my comments with
10
11
          that consideration.
12
               THE CHAIRMAN: Stand by.
13
               (Brief pause in the proceedings.)
               THE CHAIRMAN: Very good. Please proceed.
14
15
               MR. GILLAM: Do you need me to start over?
16
               THE CHAIRMAN: No. That's okay.
               MR. GILLAM: Thank you.
17
               When I was asked to come back and comment
18
          on the issues, I -- I know I had sent to the
19
          chairman of your committee an article --
20
21
          frankly, a very recent article that I think is
22
          kind of on topic. I don't know if it's been --
23
          if you were able to share it. I'll share it
24
          now, if I may.
               I'll tell you that my assistant is out with
25
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1	children with H1N1, so I'm doing this on my own,
2	copying, so it's not collated very well. So I
3	may give your stuff individually.
4	Can I hand this to you?
5	And I think I've got copies for everybody.
6	If I don't, I apologize for that error too.
7	The article that's being given to you is
8	entitled Should a Local Government Attorney
9	Represent Both the Executive and Legislative
10	Branches? I think that's you know,
11	highlights, you know, some of the issues that
12	you're considering in your deliberations, and
13	this article talks specifically about the fact
14	that the why a county is currently doing what
15	you're doing, which is sitting at a Charter
16	Review Commission setting and considering
17	potential conflicts and problems of a single
18	General Counsel representing, you know, all the
19	branches of government, and so I I say to you
20	that it's certainly a timely issue you're
21	addressing.
22	You know, initially I'll say to you that
23	whenever you're considering constitutional
24	and/or charter issues, you're looking at
0.5	

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government control and power and you've got

1 scales in front of you, and one is the 2 democratic ideals of our form of government and 3 you're weighing those against fiscal responsibility and efficiencies. 4 5 I mean, we'll all recognize that the most efficient government is that beneficial 6 dictatorship, but the definition of what is 7 beneficial is usually in the eye of the 8 9 beholder. People can disagree about who's a good dictator and who's a bad, and that's how 10 our government ultimately was formed. And so in 11 12 the democratic form of government you find 13 yourself trying to -- you know, the push/pull. We want to be as efficient as possible, not 14 15 spend too much money, as we -- many of us 16 believe we do on bureaucracy and government 17 management, but the other side of that is, the more safequards you put in place, the more you 18 19 ensure your democratic form of government. 20 The last time I spoke to y'all, I was 21 speaking to you about concerns your Ethics 22 Commission has with its abilities and limited --23 and lack of budget and ability to do its job, 24 and that's one of those issues. And the other

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side of it is, well, why do we want to spend

25

money on that? Well, again, it's just a -- it's a decision. It's a policy decision, and a policy decision at least at your level at this point.

When you start dealing with lawyers who are 5 serving in government, you've got another --6 it's not a two-bucket scale, it's a three-bucket 7 scale because you've got the same considerations 8 9 of protection of people and democratic ideals. You've got the same considerations of fiscal 10 responsibility and efficiency, but you've also 11 12 got to consider the rules promulgated by the 13 Supreme Court and the Florida Bar that govern lawyers in the state of Florida. 14

15 And in particular, the rules I think we're 16 talking about from my perspective today is 17 Rule 4-1.7, which deals with conflicts between 18 current and existing clients, and 4-1.10, which 19 deals with imputed conflicts.

There's actually a third rule, but I don't really think it applies in this instance, but I'll reference to you the third rule is 4-1.11, and that deals with when you've got lawyers moving between private practice and government and how to deal with that and the recognition of

1 the fact that it's difficult for government to 2 hire good lawyers and keep good lawyers when they can't ever leave because they're going 3 to -- they can't find a home because of 4 conflicts created in their new office or new law 5 firm because of their prior relationship with 6 the government. I mean -- and I think that 7 deals -- again, that's dealing between --8 9 movement between lawyers and public and private 10 practice. When you start talking about, you know, a 11 12 General Counsel's Office, a consolidated 13 government General Counsel's Office, I think 14 you're talking more about, you know, imputed 15 conflicts within that office, and that's the 16 concern. You know, I'll tell you that, you know, 17 since 1968 there's been very few -- at least 18 that I'm aware of, and I could research --19 20 ethical opinions issued by the Bar dealing with 21 this kind of concern. 22 And, actually, to back up -- and there's 23 also few cases in Florida where it's been addressed as well. In the one line of cases we 24 see as lawyers is in the context of criminal 25

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1 defense. We have a public defense system for 2 the indigent, the Public Defender's Office, that provides lawyers to those who can't afford 3 lawyers to defend them in criminal actions, and 4 5 there's a -- a line of cases in Florida dealing with those public lawyers, government lawyers, 6 and where you have conflicts within a particular 7 Public Defender's Office that -- again, we're 8 protecting people's civil liberties and civil 9 rights. It's not exactly the same thing, but 10 they refer in those -- those line of cases to 11 12 the ethical guidelines and professionals 13 governing lawyers and they say you can't do it. If you've got two conflicted clients --14 potential clients of a particular Public 15 16 Defender's Office, the office is going to be conflicted out and you've got to find separate 17 counsel, and the public defenders in the state 18 of Florida have learned to deal with that, 19 20 and -- and they do. 21 I will tell you that, you know, the concern 22 really is, in our form of government -- and, 23 again, you know, best intentions and, frankly, 24 pretty good practices in Jacksonville, but ultimately what do you have? You've got the 25

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1 problem now where you don't have that option, an 2 opportunity. We don't have a division within our government to bring in lawyers. I think 3 what you do have is -- from time to time we can 4 look historically in Jacksonville and see where 5 there have been outside counsel hired when 6 conflicts came up, but you're left with making 7 the determination, you know, with the General 8 Counsel looking at that issue. 9 Some of the ethical opinions that have 10 been, again, sort of on point -- and there's 11 12 very few. I'll give you a couple of them, if I 13 may. While he's handing those out, I'll tell you 14 what I've given you -- or am giving you are 15 16 three different opinions, you know, starting all after consolidation of government here and the 17 creation of the General Counsel's Office in 18 19 Duval County. The first is a 1972 opinion -- it's 20 21 published through the Florida Bar -- that talks 22 about situations and -- a complaint came in 23 regarding some Volusia County government 24 attorneys who were representing the different levels in zoning hearings. 25

1 Initially, a zoning board -- zoning 2 commission and a zoning board appeals committee. And the complaint from the citizens 3 was, you know, we're not getting a fair shake. 4 5 You've got the same lawyers telling you what to do at every level. We're not getting a fair 6 7 appeal. And the decision that ultimately was 8 arrived at was, well, where a lawyer for a 9 government agency is acting as an advisor, 10 that -- you know, we're going to sanction that 11 12 type of activity. Where they move from advisor 13 to advocate, we will not. 14 And you'll see the -- you know, the last comment on that 1972 opinion is -- it was a 15 16 significant, you know, minority of the committee 17 who disagreed with that opinion because they, frankly, thought it was a slippery slope. 18 What's an advisor and where do you become an 19 20 advocate for the opinion you gave to a prior and 21 lower tribunal? 22 I'll tell you, I think, you know, this 23 community and this government has another 24 complication because not only does our General Counsel act as an advisor and sometimes as an 25

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1 advocate, they also act as a judge with some 2 form of an adjudicatory responsibility and right when they have -- you know, have and make 3 binding opinions that affect our government, so 4 5 I think it further complicates, you know, the possibility of a conflict with the lawyer -- the 6 rules of governing lawyers in the state of 7 Florida. 8 The other cases I've given you are 9 opinions, there's two and they're related. 10 Different parties, different -- but the same 11 12 issue. 13 The first is a 1978 opinion, and it was -interestingly enough, it was where a City 14 Attorney was asked to represent an individual in 15 16 a private capacity, who had -- who was a 17 government official who had a state ethics complaint brought against them. 18 In 1978, the opinion came out of the 19 20 Florida Bar was you can't do that. It's an 21 inherent conflict of interest, you know, because 22 the government has -- has one interest, which is 23 to ensure that these activities are not going 24 on, and you can't be a lawyer representing a particular individual saying it didn't go on. 25

1 You're adverse to yourself.

	-
2	In 2006, this was brought back to the
3	Florida Bar in a different set of circumstances,
4	you know, the same kind of situation, and the
5	Bar said, well, with informed consent, you can
6	have a waiver by the government and by the
7	individual in question with full disclosure.
8	And that's talked about more deeply in the
9	comments also to Rule 4-1.7 that I referred to
10	earlier.
11	I mean, ultimately, you know, the issue
12	and I can't help but refer back to the Ethics
13	Commission because that's, frankly, my limited
14	experience with the government and interaction
15	with these issues.
16	I mean, the Ethics Commission itself has
17	dealt with problems because we are represented,
18	the Commission is, by the General Counsel's
19	Office. We have a representative who's sort of
20	assigned to the Commission, who sits with us,
21	who provides advisory support for us for us
22	to do our job. And I can tell you that, you
23	know, we've had issues come up since in my
24	limited term, the last, you know, 18 months or
25	close to two years now, where we say there's

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1 this issue with a government official or a 2 complaint and the response is, well, I can't 3 respond because we're assisting and advising that individual. 4 I mean, I think that highlights the obvious 5 conflict and, frankly, the recognized conflict 6 inside the General Counsel's Office. I mean --7 and it's a tough situation, you know, that the 8 General Counsel finds himself or herself in 9

10 because, you know, the law is what it is. The 11 history and precedent is what it is and it's a 12 matter of trying to work within it.

13 You know, we're here today talking about 14 what might can be done to change that or consider a betterment in the current system. 15 16 Again, I'll say to you -- I mean, from an Ethics Commission's standpoint, we don't have the 17 ability and/or funding -- we have zero budget to 18 19 go out and get separate independent counsel, you 20 know, to give us advice in such a circumstance. 21 Again, there's a cost associated with that too, 22 but -- but it's also been suggested to us, you 23 know, by the General Counsel's Office that we 24 don't have the authority, even if somebody wanted to donate their time, to go outside the 25

1	Concural Councelly Office for help and support
1	General Counsel's Office for help and support,
2	and that gives me concern as a commission
3	member. It also gives me concern as a citizen.
4	I mean, ultimately I'll come back to the
5	fact that government employees and a government
6	General Counsel's Office, just like a private
7	law firm, it can't represent disparate
8	interests. That's you know, again, that's an
9	overarching rule governing lawyers in the state
10	of Florida. You can't have two clients in the
11	same firm who are working against each other at
12	that time. And here I think we our General
13	Counsel is forced to deal with that every day.
14	And, you know, going back to my earlier
15	analogy, I mean, if you take the idea of a
16	beneficial dictator from the standpoint of
17	government to the General Counsel's Office
18	we've had we've been blessed, I think,
19	with as Judge Durden said, you know, good
20	General Counsels who have worked hard, who have
21	been diligent and tried to balance those
22	interests and do the best they could, but,
23	ultimately, you know, you've got a significant
24	appearance of impropriety type problems for
25	lawyers because whoever comes out on the losing

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1	side of these decisions, these binding opinions,
2	the differences between council or council
3	people and the mayor's office or different
4	branches of government, whoever comes out on the
5	bottom side, I can tell you is unhappy about it
6	and they're saying, I didn't get a fair shake.
7	And lawyers, again, just have or just bind
8	[sic] by different rules and different
9	obligations than the mayor is, than a City
10	Councilperson is, and I think that's you
11	know, again, where my concern comes and,
12	frankly, where I think the rules governing the
13	Florida Bar come.
14	I've heard that, you know, the position
15	from the General Counsel is we represent the
16	corporate structure, but that's not always the
17	case. At least in connection with the Ethics
18	Commission, I know they've represented
19	individual elected officials, so I that's
20	initially my comments.
21	THE CHAIRMAN: Thank you, Mr. Gillam.
22	We have a couple of commissioners in the
23	queue.
24	Commissioner Korman.
25	MS. KORMAN: Based on your research, what

1 do you think is the proper term of service for 2 the General Counsel?

MR. GILLAM: You know, I guess I'll say to 3 you I'm probably not -- I'm not educated enough 4 5 to give you a real answer. I guess I would say to you that an indefinite term gives me greater 6 concern than a limited term because that -- the 7 whole idea of a beneficial dictator, the whole 8 9 idea of one person knows all and can do best and 10 will do best, it gets exaggerated.

It becomes more of a problem, in my mind, 11 12 when you've got a forever term, and so limited 13 terms I do think help you with that problem, but -- but term limits don't address the 14 15 inherent problems with lawyers or -- that 16 General Counsel will deal with these, you know, imputed conflicts and day-to-day problems 17 between branches and individuals in government. 18 MS. KORMAN: Do you have a -- just a 19 20 personal suggestion what you think a term limit 21 should be, then, for a General Counsel 22 position? Just opinion. 23 MR. GILLAM: Well, Judge Durden did a 24 mighty good job, and he did it in two years, so maybe two years works well. 25

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1 THE CHAIRMAN: Commissioner Catlin. 2 MR. CATLIN: Mr. Gillam, tell us exactly what you would propose to make this -- these 3 conflicts go away? 4 What's -- and I guess that's opinion or 5 maybe it's the opinion of the Ethics Commission, 6 7 but what would you propose -- I guess both, your opinion and the Ethics Commission's opinion to 8 take these -- this conflict out of here. 9 MR. GILLAM: In reverse order, let me say 10 to you that I'm not here and authorized to give 11 12 you the Ethics Commission's opinion on that 13 because we've not deliberated on that. I don't have the -- you know, the consult and thought 14 process of the Commission on that issue. 15 16 I can tell from my standpoint, from a personal standpoint, what you've also asked for, 17 I'll say to you it's a lot easier to throw rocks 18 than it is to find solutions, and so I -- I'm 19 20 pointing out to you some problems. 21 You know, the easiest -- one solution, and 22 I think, frankly, the least of the actions that 23 should occur is that there ought to be a 24 mechanism where there's an independent counsel or a conflict counsel who's designated and who's 25

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1 available in the face of conflicts, or a 2 particular individual or branch within the government believes that a conflict -- they 3 ought to have an avenue to turn to say, what do 4 5 you think, instead of having to turn to the same office and say, what do you think, and in 6 response -- they know what the answer is going 7 8 to be when they turn to the -- to that group of 9 lawyers. I mean, lawyers are advocates. And when 10 you've got -- and when you're advocating for one 11 12 party, it's -- you can't be asked to advocate 13 for the other. It just doesn't work. THE CHAIRMAN: Do you have a follow-up? 14 MR. CATLIN: Yeah. 15 16 The reason I asked for the Ethics Commission -- it did say on here that you were a 17 speaker for the Jacksonville Ethics Commission 18 on our agenda. That's the only reason I asked 19 20 that. 21 Let me come back to this one. Let me come 22 back. 23 THE CHAIRMAN: Commissioner Austin. 24 MR. AUSTIN: So you're on the Ethics Commission? 25

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MR. GILLAM: Yes, sir.

2 MR. AUSTIN: How many complaints have you had against -- with the General Counsel? 3 MR. GILLAM: I probably can't answer that 4 5 question for a couple of reasons. One is I may not have, you know, the full -- I don't know the 6 answer to that for the history of the Ethics 7 Commission. I've been on the Commission since 8 January of 2008. 9 I will tell you that -- since I've been on 10 the Commission, I can tell you that we have had 11 12 a situation or a conflict -- ourselves having a 13 conflict with the General Counsel's Office not being able to advise us because they're already 14 advising an elected official. So I can tell you 15 16 we've been -- we have -- I have been faced with that circumstance. I can't tell you how many, 17 you know, historical complaints there have been 18 about the General Counsel. I'm not aware of any 19 20 specific ones, candidly. MR. AUSTIN: In other words, when you 21 22 have -- when the General Counsel had an apparent 23 conflict, he brought it to your attention? 24 MR. GILLAM: Yes, sir, and said they couldn't advise us, which I think is the 25

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          appropriate and right thing to do, but it left
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          us with a -- a hole.
               MR. AUSTIN: I'm a little bit concerned
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          that I haven't heard about a lot of complaints.
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 5
               Judge Durden.
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               JUDGE DURDEN: Yes.
               MR. AUSTIN: Can I bring you back -- can I
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 8
          bring him --
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               THE CHAIRMAN: If he's willing.
               MR. AUSTIN: -- through the Chair?
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               THE CHAIRMAN: Yes.
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               Judge Durden, are you willing -- would you
13
          like to answer the question from --
               MR. AUSTIN: Judge Durden, I didn't have
14
          any problems for two years -- I'm testifying --
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16
          in two-years-plus as General Counsel with ethics
          problems. Maybe it was just -- we sorted them
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18
          out and went on with it. Did you have any
          problems with it? I mean, I think the General
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20
          Counsel is just as competent to see a conflict
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          as any other lawyer is able to see a conflict.
22
               Did you have any problem with it, Judge
23
          Durden?
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               JUDGE DURDEN: No, not at all.
               It is true that it's clear in the practice
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1 of law, one word and two lawyers, you've got a 2 lawsuit, but no, it was not anything that we had any problem with whatsoever. I don't even 3 remember the question coming up. 4 MR. AUSTIN: Well, if I may testify again, 5 I didn't see the problem. You make a decision, 6 and if you have a problem, you sort it out and 7 satisfy the client and go on with it, or if you 8 can't satisfy the client when they're trying to 9 pull away from the consolidated government, you 10 make a decision and then pay attention to it. I 11 12 don't see the problem, but go ahead. 13 JUDGE DURDEN: There may be one way that I did it that would help. I remember Fred Simpson 14 was the City government's law firm, and he 15 16 always took the position that whenever the employee was involved, that he was right, and I 17 ruled against him almost every time. And he 18 said one time that -- when are you ever going to 19 rule in my favor? I said, if we ever find a 20 21 case that you're right on, I'll do it. 22 But no, it's -- it's got to be a single 23 place of resolution. You can't have, as I said, 24 one word, two lawyers. Even if they're in the same office will have a different view, but 25

1 there's no reason for that to blossom out into a 2 problem. Resolve it in-house. And if they don't like the General Counsel's opinion, go to 3 the legislature and change it. He doesn't make 4 5 that many widespread opinions. THE CHAIRMAN: Commissioner Oliveras. 6 MR. OLIVERAS: Thank you, Mr. Chairman. 7 First I have a question through the Chair 8 to Counsel. In the case of a conflict in the 9 General Counsel's Office, if -- if OGC is 10 representing an elected official, isn't there 11 12 provision for conflict counsel to be provided 13 for the Ethics Commission or are they kind of 14 out on their own? MR. ROHAN: I'm going to answer that. And, 15 16 of course, Mr. Mullaney is here to further answer it if you have any questions of him. 17 Yes, we do have -- the General Counsel is 18 aware of all the ethics rules. The Supreme 19 20 Court has said in a case and the District Courts 21 of Appeal have said that as long as you assign 22 one attorney to one client and another attorney 23 in the office to another, that's legal, that's 24 appropriate, that's how government law offices 25 operate.

1	So, in other words, you can have somebody
2	prosecute a civil service case and somebody
3	advise a different person advise the board.
4	That's okay. But there may come times when
5	there are conflicts. And in those times, if
6	somebody will request of the General Counsel
7	that we need an outside attorney, a separate
8	counsel to advise the client, the General
9	Counsel would consider that and make that
10	determination. And, if necessary and we've
11	done it in the past appoint an outside
12	attorney to represent the client, so yes.
13	MR. OLIVERAS: Thank you, Mr. Rohan.
14	So has the Ethics Commission had to make
15	use of that or has that been offered?
16	And then I have another issue I'd like to
17	discuss with you.
18	MR. GILLAM: Initially I'll say that was
19	not offered to the Ethics Commission. But,
20	again, I I'll say to you that I I would
21	have to respectfully disagree, through the
22	Chair, with Mr. Rohan's comments.
23	I'll tell you, I've spoke directly with the
24	people at the Florida Bar. Imputed conflicts,
25	under 4-1.10, absolutely apply to the General

1 Counsel's Office exactly as they apply to 2 private law firms, and you cannot have -- you cannot say I want to have one lawyer in the 3 General Counsel's Office represent you and one 4 lawyer in the General Counsel's Office represent 5 you in this issue. You cannot do that. That is 6 a nonwaivable conflict, in fact, so I just 7 disagree with that statement. 8 MR. OLIVERAS: Thank you. 9 And going off in another direction for a 10 minute, in the recent issue with Council 11 12 Vice President Webb and the landfill issue --13 and I don't want to mischaracterize this in any 14 way, so please correct me because my only knowledge is from the media. 15 16 There seemed to be some initial reluctance or hesitancy to delve into this issue, and I'm 17 one of the commissioners that -- I feel very 18 19 strongly that the citizens of this community 20 need to be able to rely on and trust in the 21 integrity of their elected officials and their 22 City employees, so I'm -- I'm very much in favor 23 of the ethics officer and the Ethics Commission, 24 but is it the case that we -- excuse me -- do we need to strengthen something or do we just need 25

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1 to be more assertive or aggressive in taking on
2 these issues?
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3 MR. GILLAM: Well, there's two or three4 land mines in that question.

I'll first tell you that the Ethics 5 Commission has agreed, for the first time in its 6 history, to self-address or -- an issue that's 7 8 before the public. There's not been a complaint from a citizen through the hotline or through 9 any of the open meetings on this issue you've 10 referenced, but the commission has agreed on its 11 12 own, decided to take it up as they're authorized 13 to do. More than that I cannot say about that 14 particular issue.

15 And, again, I'll say to you that my 16 experience in government is very limited. I'm 17 speaking more -- I came here today really at the request of the committee to talk about Florida 18 Bar rules and how they implicate our General 19 Counsel's Office. You know, I'm not here to 20 21 complain about any particular activity of our 22 General Counsel. I think we've been very 23 fortunate, this community has, with good 24 government and good people put in place. That's not, you know -- but I'm talking to 25

1 you about -- or came here to talk to you about 2 what the rules provide for, which I don't think are adequately being addressed, and I do think 3 from time to time it creates problems. 4 And, respectfully, you know, through the 5 Chair to Mr. Austin's concerns, you know, I'll 6 say to you that -- you know, sometimes when 7 8 you're inside the fish bowl and you're the fish, the perception is different than when you're 9 looking in the fish bowl, and I think that's the 10 11 problem. 12 Ultimately, it's not always the lawyer 13 calling the conflict on themselves. Our General Counsel has done a very good job, I think as 14 good a job as it could with the rules and 15 16 regulations that they're faced with to address, 17 you know, and manage this office in Jacksonville, but it doesn't mean that we don't 18 19 daily deal with conflicts of interest, which, 20 frankly, are not waivable under Florida Bar 21 rules, but people have -- again, in this 22 beneficial dictator format have kind of done the 23 best they could to come up with what's right, 24 and I -- and that doesn't make it right, not under the rules. 25

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THE CHAIRMAN: Commissioner Miller.

2		MS. MII	LER	Thro	ough t	the (	Chair	r, thank	you,	
3	Mr.	Gillam,	for	being	here	and	for	comment	ing or	ı
4	the	Florida	Bar	rules						

5 I think it's -- we have an interesting 6 situation here with the consolidated government when we have a General Counsel's Office who both 7 8 represent and advises a client, is also the advocate for the client and is the judge and 9 jury in binding legal opinions, and I think that 10 maybe that's where -- when I was asking Judge 11 12 Durden -- and Judge Durden's -- during his 13 tenure, he set up an internal review committee where lawyers -- and if he'd be willing to talk 14 about it a little bit further -- when they were 15 16 asked to advocate for a certain board or commission or a client, would actually prepare a 17 brief, would make arguments to the committee, 18 and then Judge Durden would truly sit as a 19 20 General Counsel and, as the judge, independently 21 receive recommendations from that committee and 22 issue an opinion. That process, as I understood 23 it, simulated in many ways what you would go 24 through in an appellate brief process or in a -in a court. 25

1	And so I think that the tension arises not
2	only in that our lawyers are asked to be
3	advocates, also advisors, but we also have a
4	General Counsel who has judicial authority, as
5	Mr. Mullaney has fully admitted that he his
6	office also serves as sort of a Supreme Court,
7	and and it's hard to justify all of those
8	roles with the with Rule 4 under the Florida
9	Bar.
10	And with all due respect to Commissioner
11	Austin, we have had on the record to date the
12	school board, the sheriff, the Ethics
13	Commission, and the Supervisor of Elections all
14	state on the record, as well as members of the

public, a true concern about whether or not 15 16 there is an actual conflict or an appearance of a conflict in rendering legal opinions, and that 17 is why I think most of the commissioners voted 18 19 that we look at this issue, and this goes to the heart of the issue, so I would -- I would 20 21 respectfully disagree. We have heard that there 22 are concerns and conflicts, and I think Rule 423 gets to that.

24 The ethics opinions that you've provided
25 help, and hopefully we will hear more from

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members and advisors of the Florida Bar.

2 I would like to get your opinion, if you have one, on -- personally, I believe there's a 3 great value in having one General Counsel's 4 5 Office, but how we address this public and client concern, that there's either an 6 appearance of a conflict, whether it's the 7 outside lawyer or someone else to go to if there 8 is a conflict or maybe an internal process, as 9 10 Judge Durden has previously set up, where there is -- where a client feels as though they've 11 12 been hurt, and that the General Counsel truly 13 sits as an independent lawyer, judge, if you will, and does not serve one client and doesn't 14 attend committee meetings of one client over 15 16 another client, just -- if you have comments or opinions on that. 17 MR. GILLAM: Well, again, I -- you know, 18 19 it's easy to find fault and harder to find a 20 solution, but it certainly would -- in my mind, 21 you know, that would change markedly, in my 22 opinion, about the -- where we stand today and 23 how our government is run today vis-a-vis the

24 applicable rules of Florida Civil Procedure and25 the rules governing Florida Bar lawyers who are

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1 in government.

2	I think once you because you've got due
3	process concerns is what you're really referring
4	to, which if you read the comments to the
5	rules I've referenced for you today, all those
6	comments talk about they talk about
7	appearance of impropriety, they talk about duty
8	of loyalty that all lawyers have to their
9	clients, and the concern that you've got you
10	know, when you give a confidence to one lawyer
11	in a firm or a particular, you know, division,
12	the assumption is you give it to them all, and
13	you've got to have a way around that system when
14	you've got diverging interests. And we
15	routinely, in a government this large, with
16	this with many people involved, with many
17	interests involved, we routinely see that, and I
18	think you know, I think that's a step in the
19	right direction, but I think I think too, I
20	think ultimately you've got to have a mechanism
21	in place where someone can get to outside
22	independent counsel and it's not at the
23	discretion of the person who's adverse to them.
24	Again, the lawyer who is advocating a
25	particular position can't be the gatekeeper to

1 that person or entity or division of government to get to separate counsel, and I know -- I know 2 our City Council doesn't have to go through the 3 gatekeeper, they can vote their way out of it, 4 5 but they're the only branch of government, the only group of government officials and 6 bureaucrats that have that ability. The rest of 7 them have to go through the gatekeeper, who is 8 the -- who's the advocate for the other side, 9 and that's a difficult situation. 10 MS. MILLER: Through the Chair -- and I 11 12 would like to thank you for mentioning that. 13 I wanted to direct a question to Mr. Rohan. I understand that it is -- that the 14 City Council has the authority to independently 15 16 hire outside counsel; is that correct? MR. ROHAN: That is correct. 17 MS. MILLER: Would you provide some 18 explanation, if you are aware of any, as to the 19 20 reasoning behind that, why the City Council is 21 allowed -- permitted to do that and how they 22 could do that versus other parts of the 23 government? 24 MR. ROHAN: Yes, I think I can, because I've been here since 1982. And in 1984, when I 25

1 was general -- excuse me -- when I was hired, 2 Dawson McQuaid was General Counsel. And then in 3 1984, Jerry Schneider was appointed General Counsel. May he rest in peace. He's the only 4 General Counsel that passed on. 5 At that time, it became the issue of 6 binding legal opinions and there was an issue of 7 the council needing its own lawyer, and the 8 charter was changed and the council was given 9 the authority to hire its own lawyer, and the 10 General Counsel was given binding legal opinion 11 12 authority by the legislature so that the 13 attorney for the City Council could not bind the 14 consolidated government. 15 The experiment with a -- the council did 16 hire its own lawyer. That experiment failed 17 terribly because there was just so many lawyers that you would have to have representing the 18 19 City Council. If they were going to be properly 20 represented, they'd need a labor lawyer, a

21 legislative lawyer, land use lawyers,

22 environmental lawyers.

That process didn't work. They went back
to just the General Counsel mode, but the
language is still in the charter that allows for

1 the City Council to have its own attorneys. 2 THE CHAIRMAN: Commissioner Catlett. MR. CATLETT: Well, I think most of us are 3 now aware of the issues. And we had some 4 inkling before you got here, but now we have a 5 better understanding of the issues for sure. 6 And there are about four lawyers in the room, 7 some of us here are not lawyers, so I want you 8 to do this is in a simple way. 9 10 Let's say that you had a dream or a nightmare and you woke up one day and you were 11 12 General Counsel, what exact changes would you 13 make? MR. GILLAM: Well, I'll say to you I don't 14 think the General Counsel has the ability to 15 16 make the changes that are necessary. I think the General Counsel --17 MR. CATLETT: What would you do -- let's 18 19 try another approach. What would you do? MR. GILLAM: Well, I think -- it's a fair 20 21 question. I think our -- our General Counsels, 22 at least the ones that I'm aware of in my 23 limited time here in Jacksonville, the last 24 15 years, have done what they could do. When they identified themselves, a conflict, they 25

1 have recommended and, I guess, approved the hiring of outside counsel. And that's about all 2 3 you can do in the current system, and I don't think -- I mean, that's what our General 4 Counsel, who's hired today to do, has to work 5 within. I mean, it's just another set of laws 6 and rules and regulations. They're an employee 7 of city government. They've got to do that. 8 I think the problem ultimately is -- with 9 the -- with leaving it the way it is is you've 10 got budget constraints and -- and how does a 11 12 public official justify on a day-to-day basis 13 calling a conflict on himself and spending the kind of money that it cost to hire the 14 third-party lawyers to represent the diverging 15 16 interests? I mean, it's easier to say, you know, this 17 is a -- in this situation, I think what we 18 should do is do this and kind of work through 19 20 it, you know, and work through it in a cheaper 21 fashion without hiring sets of lawyers. 22 I mean, the one reason why I think people 23 don't like lawyers is because lawyers -- you 24 know, the bills they get from lawyers. And ultimately that's a problem that the government 25

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1 and the citizens have as well.

2	What you don't want I mean, what
3	again, that we're back to that balancing act
4	I was talking about. You start hiring lawyers
5	for every issue before City government and there
б	won't be any money left in coffers to pay for
7	streets and police. I mean, that's a problem.
8	And that ultimately is in our current format,
9	is kind of left to the discretion of the General
10	Counsel to, you know, kind of parse through and
11	do the best he can.
12	I'm just saying to you that from a
13	policy standpoint, that may make lots of sense
14	from a piggy bank. Unfortunately, you've got
15	more than policy concerns here because you've
16	got the rules regulating lawyers in the state of
17	Florida, and I'm just I'm just giving you my
18	comments on those rules.
19	(Ms. Herrington enters the proceedings.)
20	THE CHAIRMAN: Commissioners, any other
21	questions?
22	Commissioner Austin.
23	MR. AUSTIN: Yes. I was listening to the
24	questions as well as the answers, and I think
25	some of the comments kind of prove the point

1 prove my point.

2	You have these the elected officers and
3	different entities of the government, parts of
4	the government that really want to be
5	independent. They really don't want to be
6	pulled in and to be a part of the overall
7	consolidated City government. That's the nature
8	of the animal. They are elected and they want
9	to set up their own little empire, but it's a
10	consolidated government. It's a government that
11	is supposed to pull together with a mission to
12	serve to serve the people.
13	When you take all these subsidiaries and
14	give the first thing they want is a lawyer so
15	they can start breaking the thing up, so they
16	can start making creating little adding
17	little empires, and that's why they created a
18	strong General Counsel to bring all these
19	agencies together and to cut out the suing and
20	the pulling away, and the legal bills get
21	atrocious when you start suing each other.
22	They'll come back and say, "I'm not going
23	to do this," when you're buying computers or
24	something. "I'm going to get my own." Well,
25	the General Counsel says you can get your own,

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but you're going to pay for them out of your pocket. It doesn't work that way.

You have to take positions that they don't 3 like at times, but they still are a part of one 4 government. And if you diversify and pull these 5 lawyers out and say they're not officers of the 6 consolidated government to hold it together and 7 make it function instead of the niceties of 8 these little arguments of conflict of -- they 9 appear to jump out at you. But when you get 10 into the real life thing, the General Counsel 11 12 has his -- understands the canon of ethics as 13 much as any other lawyer in this city. He's not 14 presiding over an unethical group of people. They're very ethical, they're very straight. 15

And this idea that all these agencies are entitled to a lawyer because they think they've got a conflict of interest when what they really want to do is pull this thing apart instead of bring it together is -- is what we're really talking about.

We've got -- what we got here is a doctrine of unforeseen consequences. My problem is I think I foresee the consequences when you pull the -- when you take it apart.

1 If you don't think about the big picture of 2 the consolidated government, a strong mayor form of government -- you want to take this city down 3 the road as a -- you can't do it if you don't 4 5 pull together with all your agencies. If you pull them apart, you fall apart. 6 Thank you. 7 JUDGE DURDEN: I think Ed Austin just said 8 what I would say if you asked me the same 9 question of -- it's one government. It's one 10 city. General Motors doesn't have competing 11 12 general counsels. They may have arguments in 13 their staff about whether [sic] the General Motors ought to do, and I don't think the 14 attorneys under the United States has a 15 16 different view or the Attorney General of Florida. 17 It's one voice, one client. The client is 18 the City. It -- as a unit, as an entity, and 19 20 you try to avoid the appearance of favoritism. 21 It's always been the argument that the 22 General Counsel having been appointed by the 23 mayor is going to be the mayor's boy. It's not 24 true. I don't know but one I would question, and I won't mention his name, but people like 25

1 Ed Austin and the fellow that was State's 2 Attorney, Bob -- not Bob Delaney, but Delaney, they were straight arrows. We didn't have any 3 cause to serve. We didn't have anything we 4 wanted to get done except to run the 5 government. 6 And we have been extremely fortunate. All 7 8 the General Counsels I could think of, but 9 possibly one, were straight arrows. They didn't try to favor the Green law firm or anything else 10 but one time, and that was overcome rather 11 12 quickly. 13 It's a -- I hate to say ideal, but it's a 14 workable form of government, but don't start breaking it down. You can -- as I said, you can 15 16 have one word, two lawyers, you've got a 17 lawsuit, but the government doesn't need to pay for all three sides of that. You've got the 18 argument on one side, the argument on the other 19 20 side, and the person that decides it. 21 You don't need to do that. If they don't 22 like what the General Counsel's Office has done, 23 it's very simple to get it changed in the legislature if it's -- if their position is 24 correct. Easier than going to court. 25

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1 The idea that, you know, everybody can have 2 their own lawyer is insane, absolutely insane, and you could go back and see how much money we 3 paid prior to consolidation for the different 4 law firms, so . . . 5 The genius of the consolidated government 6 is two things. If you start cutting out of 7 them, you're going to ruin the whole thing. One 8 is central services. 9 Now, prior to consolidation, every one of 10 the 51 separate offices and boards and 11 12 commissions could buy their supplies from Drew 13 or whoever they wanted to. They could hire their own lawyers. They could get to do almost 14 anything. It was not a single government. 15 16 In the first few opinions in the book that -- fortunately or unfortunately, I can't 17 remember who wrote them. It wasn't me. I 18 signed them, but it's a single government. 19 What is the -- somebody said something 20 21 about being against each other, can't --22 government against each other cannot stand. 23 I've forgotten what it is, but don't do it. 24 Don't do it. Whatever you're reviewing, keep that central thrust in the -- it's the heart of 25

1 consolidation is legal and financial. There ain't much else.

THE CHAIRMAN: Mr. Gillam.

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MR. GILLAM: Sometimes I think I -- I think 4 5 better out loud, especially listening to other people's comments. And I think there's maybe a 6 misunderstanding in some of the things that I've 7 said today. 8

Again, I -- I'm pointing out to you rules 9 10 that govern lawyers and the problems I see with 11 our system, you know, as created in conflict 12 with those rules, but I'll say to you that it's 13 not a matter of pulling apart what you've 14 currently got, you know, and it's not a matter of changing the General Counsel's powers. It's 15 16 a matter of how you -- by moving forward with 17 that.

I mean, the problem is that you've got a 18 General Counsel who is on both sides of an 19 20 issue, who's acting as a judge at some point --I mean, you can have a General Counsel who acts 21 as a gatekeeper in hiring lawyers for two sides 22 23 to deal with a legal issue that needs to be 24 addressed and being the gatekeeper, but they can't -- what they can't be under the rules is 25

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1 someone who's going to advocate for one side and 2 determine lawyers on the -- who are going to be 3 their adverse party and be the gatekeeper for that process. And ultimately when they're --4 when we're unhappy with the result, you know, 5 deciding the same issue. 6 I mean, what you can have is a General 7 Counsel -- and what we've had historically, you 8 have a smaller staff who is managing and 9 assisting government in an advisory role. And 10 when issues come up, dealing with issues and 11 12 real issues, you know, externally, but, again, 13 through the advisement, through the oversight of the General Counsel. That would be appropriate 14 under the rules. 15 16 What's not appropriate is have the General

17 Counsel, who's managing internal litigation, 18 advocacy, and managing the right of the other 19 party that -- to have their own advocacy 20 position put forth. That's the problem, and 21 that's an inherent problem. 22 And while, from a policy standpoint, we can

23 talk about it all day, it doesn't affect -- you
24 can't -- you're not changing the Bar rules on
25 that issue and my concern. And, again, it's

1 just further complicated by the fact that ultimately when everybody is -- when it's all 2 said and done, the arguments were made, that 3 same person is then making a decision for all 4 the parties. And, again, it may sound good in 5 policy and in theory from a cost standpoint, but 6 it just flies in the face of the rules that 7 govern lawyers. 8 THE CHAIRMAN: Commissioner Korman. 9 MS. KORMAN: I have a comment and then I 10 have a question for Judge Durden. 11 12 I am not a lawyer, so I'm coming at it from 13 a different perspective. When we held our public hearings, one of the things that I heard 14 from some of the public that came and spoke to 15 16 us was the trust issue with government and part 17 of it was the General Counsel's Office, not just this one, in the past. And I'm not sure how we 18 can handle that and if we could do anything to 19 20 change that, but what I took from it is that 21 there's a public perception of -- of a trust 22 issue with the General Counsel's Office and the 23 way it's split between City Council, the mayor's 24 office, and independent agencies. So I don't know the answer to that. I'm 25

1 not saying the answer is to change the way it's 2 structured, but that is what I took from what the citizens say in Jacksonville, so that's my 3 personal concern. 4 And the second question -- Judge Durden, I 5 apologize. I came in late, so you might have 6 already answered this, but do you have an 7 8 opinion on how long the term should be for the General Counsel? 9 JUDGE DURDEN: It's consistent with the 10 11 mayor's term. The mayor appoints him and the 12 council affirms, advises and consent the last I 13 knew. 14 MS. KORMAN: Is that your opinion, that it should stay that way? So it should be a 15 16 four-year term and then they could have as many consecutive terms, unlike the mayor? 17 JUDGE DURDEN: Yes. He could represent 18 consecutive mayors. I mean, he could be in 19 20 consecutive mayors' terms. 21 It's a -- it's a job. It's an assignment. 22 As I said, General Motors gets new presidents 23 all the time, but I don't think they get new counsel all the time. 24 I think this idea of trying to break down 25

1 centralization is just a mistake. Why not let 2 the -- which I put him in his place several times. The clerk of the court wanted to buy his 3 supplies from Drew. I insisted that they be 4 bought from a competitive bid -- a competitive 5 bid system, which is just that simple. 6 You don't have the right in each officer to 7 do as they damn well please. You don't have 8 consolidated government if you have that. You 9 have this breakdown and then -- the thing that 10 would worry me is the first chink in the armor, 11 12 no telling where it would go. 13 Now, the answer to it is very simple. 14 Elect somebody who appoints a General Counsel that you want. It's -- a job of a lawyer is not 15 16 to -- motivational. It's to advise on what the law requires, and it's not that difficult. 17 The ethics things -- I don't think, Ed, in 18 19 my career or your career we ever had an ethics 20 problem come up. I don't remember one. I don't think we were any more honest. Maybe we were 21 22 better at concealing it; I don't know. 23 But don't break down the central legal services or central services. The beauty of 24 50 different agencies buying paper -- it's much 25

1 more efficient if you can buy for 51. Now, each 2 of them gets to make their own request. I had to make some decisions on that. As I 3 said, the -- the one I had the most fun with was 4 the City -- the County judge. He said, I'm an 5 elected constitutional officer. I said, well, 6 where is your badge? That tells you you've got 7 something in power that the government doesn't 8 9 give you. 10 Don't do it. Keep it central. THE CHAIRMAN: I have a question for 11 12 Mr. Rohan. 13 You referenced a Supreme Court opinion that 14 you feel addresses -- I assume you feel addresses some of the concerns that Mr. Gillam 15 16 is raising. Does the opinion -- and I've not read it. I don't -- does the opinion 17 specifically address the Bar rules and their 18 role in this type of situation? 19 20 MR. ROHAN: No. The opinion specifically 21 addresses due process, but it addresses the role 22 of a County Attorney being an advocate and 23 representing and being an advisor to the board, 24 and it says that the same person can't do both roles, and then a -- in the decisions that 25

1 follow it, people tried to -- or advocates tried 2 to say that that decision prevented the same County Attorney's Office from representing and 3 being an advocate that -- the office with 4 5 separate lawyers. And the First District -- or the Fifth 6 District Court of Appeals says this: 7 "Finally, there is no evidence in the 8 record that Welch or any other County Attorney 9 played any role in the department's final 10 decision after reconsideration. 11 12 "If the Circuit Court thought the entire 13 County Attorney's Office was barred from 14 advising the department on reconsideration, nothing in Cherry" -- the Supreme Court 15 16 case -- "supports such a ruling. Cherry holds only that a different staff attorney should have 17 performed the different roles," and this has 18 been followed in the City of Jacksonville for 19 20 years. 21 In fact, Mr. Gillam's argument -- I used to 22 represent the Civil Service Board, and I was 23 also the chief prosecutor at another time before 24 the Civil Service Board, and the attorneys representing employees constantly gave the same 25

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1	argument Mr. Gillam is giving and tried to
2	overturn the decisions of the Civil Service
3	Board because we had one attorney in front of
4	the Civil Service Board representing the Civil
5	Service Board and another attorney as the
6	advocate for the department, and that's been
7	upheld and it's consistently since I've been
8	with the City for since 1982.
9	And, Mr. Chairman, can I identify another
10	case of importance?
11	THE CHAIRMAN: Yes.
12	MR. ROHAN: Thank you.
13	In 2001, one of our constitutional officers
14	decided that that constitutional officer was
15	going to get its own computer system and was
16	going to buy its own computer system
17	notwithstanding the City's competitive bidding
18	rules and the obligation of the council to
19	budget.
20	What the constitutional officer did was
21	bought the computer system without going through
22	the City's processes, had an attorney had the
23	computer company sue the constitutional
24	officer. The constitutional officer, using an
25	outside attorney that he was unauthorized to

1 hire, then sued the City saying they admitted 2 liability, we owe on the computer, and sued the City for compensation to the computer -- pay for 3 the computer. 4 And, of course, the circuit court in 5 Jacksonville said -- as Mr. Austin --6 Commissioner Austin said, the City is a City. 7 The constitutional officer is part of the City 8 and the constitutional officer had no authority 9 to hire its own attorney and no authority to 10 concede liability for the City and threw out 11 12 the -- the constitutional officer's lawsuit. 13 So that's a worst-case scenario of what's been talked about, and this has actually 14 happened. It happened in 2001. 15 16 THE CHAIRMAN: Let me ask you a follow-up. What I understand you to be saying is that 17 Cherry and its follow-on cases addressed 18 situations where you had the local government 19 20 attorney's office serving in dual roles of 21 advocate and advice -- of advocate and as 22 counsel, if I understand what you're saying. 23 MR. ROHAN: Not the office. It's the same 24 lawyer served in both capacities. THE CHAIRMAN: Okay. I mean, I think I 25

1	understand what you're going to tell me, but I
2	want to ask the question nevertheless.
3	Here in Duval County, we have a situation
4	where our attorney our General Counsel can go
5	one step further, not just advocate, not just
6	counsel, but actually be the decision-maker,
7	which it I don't think was a variable at
8	play, it sounds like, in those cases.
9	Now, I'm assuming that the General
10	Counsel's position is we can extrapolate from
11	this case law, that even though I, as the
12	General Counsel, have the ultimate
13	decision-making authority, there's still no
14	conflict; is that but has that analysis been
15	done I guess is what I'm asking.
16	MR. ROHAN: I'm sorry. I'm confused as to
17	how it applies to the binding
18	The General Counsel never acts in a
19	quasi-judicial capacity. The General Counsel
20	gives legal answers, but never applies facts to
21	the those are for the boards to make those
22	decisions, the Planning Commission, the City
23	Council, the Civil Service Board. So you will
24	not see a situation where the General Counsel
25	will actually render an opinion stating what the

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1 ultimate decision on a quasi-judicial 2 facts-in-law question is. THE CHAIRMAN: Okay. That answers my 3 questions. 4 5 Mr. Mullaney, you can address that. I know you want to come up at public comment --6 MR. MULLANEY: Yes. 7 8 THE CHAIRMAN: -- but I understand the 9 distinction he's making. Commissioner Oliveras. 10 MR. OLIVERAS: Thank you, Mr. Chairman. 11 12 Through the chair to legal. Mr. Rohan, I'm 13 trying to wrap my arms around this, the different units within the General Counsel's 14 Office, and you mentioned labor. I know we go 15 16 out of house -- the City goes out of house for labor negotiations. Are there other examples --17 how regularly does that occur? 18 And I'm not asking you to be specific, but 19 20 how expensive is that to the City as regularly 21 as we do go out of house for other legal 22 services, be it a labor negotiation or other 23 types of attorneys? 24 MR. ROHAN: Well, that may be a question you want to ask of the General Counsel, but as 25

1 far as I know -- understand it -- first of all, the provision of labor services and negotiation 2 services is not considered a legal service. 3 It's considered a labor service. And so when 4 the City hires the Carson firm or any other firm 5 for that purpose, it's not for legal services, 6 it's for negotiation consulting services in that 7 8 regard. As it applies to -- the question, does it 9 cost a lot of money when we go outside for legal 10 service? Yes. Throughout the country the cost 11 12 of legal services is no longer \$75 an hour. 13 It's no longer \$150 an hour. It generally runs anywhere from \$225 to \$500 an hour, so it's 14 very, very expensive. 15 16 MR. OLIVERAS: Do we have the capability to 17 perform that service in house? I mean, I've sat on contract teams myself, 18 and, I mean, it's not a lot of remarkable 19 material there. I mean, it's complicated and 20 21 there's obviously costs involved, but, you know, 22 we -- I know the General Counsel's Office has 23 all the labor contracts, you know, forever 24 somewhere in an office. And generally you're looking at, you know, changes in leave accounts 25

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1	or salaries or discipline, those sorts of
2	things, so it's I mean, it's pretty a
3	pretty straightforward process I would think.
4	MR. ROHAN: The use of an outside
5	negotiator is a policy decision. It's not a
6	decision made by the General Counsel. It's made
7	by the executive branch of government that is
8	responsible for negotiating the collective
9	bargaining agreements. There are good reasons
10	why it could be done in house or good reasons
11	why it should be done outside, but it's a policy
12	consideration.
13	MR. OLIVERAS: Thank you.
14	THE CHAIRMAN: Commissioners, I have nobody
15	else in the queue.
16	Commissioner Miller.
17	MS. MILLER: Through the Chair, as a
18	follow-up to Mr. Rohan, the section 2.02 of
19	the charter, as you're familiar, defines the
20	role of the General Counsel and refers to the
21	binding legal opinion authority, and I wanted to
22	follow up on something you stated earlier.
23	And it says I'll just say, "Any legal
24	opinion rendered by the General Counsel shall
25	constitute the final authority for the

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1 resolution or interpretation of any legal issue 2 relative to the entire consolidated government and shall be considered valid and binding" --3 THE CHAIRMAN: Commissioner Miller. 4 5 MS. MILLER: Pardon me? THE CHAIRMAN: A little slower for the 6 7 court reporter. 8 MS. MILLER: I'm sorry. -- "and shall be considered valid and 9 binding in its application unless and until it 10 is overruled or modified by a court of competent 11 12 jurisdiction or an opinion of the Attorney 13 General of the state of Florida dealing with a matter of state involvement or concern." 14 15 That's a mouthful. It's a long sentence. 16 I have a question. If the General Counsel issues a binding legal opinion, who has 17 standing -- and it's binding on all members, all 18 officers, all constitutional officers, 19 20 everyone. Who has standing to either ask for an 21 appeal or file a lawsuit to ask that it be modified or overruled? Who would do that? 22 23 MR. ROHAN: Third parties have standing. So if the decision of the General Counsel 24 impacts a third party outside the government, 25

1

that third party can file a lawsuit.

2 Third parties cannot go to the Attorney General's office. A constitutional officer can 3 ask for an Attorney General's opinion on an 4 issue of statewide interest. And that's done 5 infrequently, but it is done. 6 The Attorney General's Office absolutely 7 will not render an opinion on the consolidated 8 government's charter. They have always deferred 9 questions regarding the charter to local -- the 10 local attorneys. So they will just stay out of 11 12 it. But on a statewide interest, public 13 records, Sunshine, on occasion questions have been asked and the Attorney General's Office 14 will respond to it. 15 16 Basically speaking, the mayor speaks for the executive branch, so a department head can't 17 go ask for an Attorney General's opinion without 18 the permission of the mayor. The council 19 20 members have the power to ask for an Attorney 21 General's opinion and the constitutional 22 officers could do that. 23 MS. MILLER: Thank you. 24 And, through the Chair, who would actually make an appeal to a court -- if it's overruled 25

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1 or modified by a court, who would --MR. ROHAN: Only third parties. 2 MS. MILLER: Only third parties. 3 So if the City Council asks for a legal 4 5 opinion and didn't -- and then disagreed with maybe the outcome --6 MR. ROHAN: Yes. 7 8 MS. MILLER: -- they could not, then, go to the court; is that correct? 9 MR. ROHAN: That's correct. 10 And I think the courts have said that. 11 12 MS. MILLER: Okay. And so I -- I want to 13 follow up on something you said. You said that the General Counsel did not serve in any kind of 14 quasi-judicial capacity. If the binding legal 15 16 opinion is truly binding on all parties without the right of appeal, what would you call that 17 kind of decision if it's judicial or 18 quasi-judicial in nature? 19 20 If you're applying the law and interpreting 21 the law and it's binding on everyone, then what 22 would you call that kind of position? 23 MR. ROHAN: Quasi-judicial decisions are 24 decisions made on factual issues which apply the law to a particular set of facts. 25

1 It only applies to third parties. Ιt 2 applies to employees being disciplined in front of the Civil Service Board. It applies to 3 zoning applicants, people who are asking for an 4 exception. It applies to people appearing 5 before the City Council on a zoning issue, but 6 it would not -- but that applies to third 7 people. It does not apply to -- there are no 8 9 quasi-judicial decisions made regarding the 10 authority of the government amongst and between the various elements of the government, the City 11 12 Council, the mayor, the departments. None of 13 those decisions are quasi-judicial. They are just the decisions of how the government 14 15 operates. 16 MS. MILLER: Through the Chair, then would you disagree with Mr. Mullaney's previous 17 comment in previous meetings where he's said by 18 analogy that the Office of General Counsel 19 20 serves as -- as like the General Counsel for 21 Microsoft or General Motors, but also serves in 22 a judicial capacity? 23 MR. ROHAN: No, I don't disagree with 24 that. He is characterizing the dispute -- for instance, when the council viewed the charter 25

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1 one way and the mayor viewed it another way, the General Counsel, in accordance with the charter, 2 made a -- the decision one way or the other. 3 In one circumstance, Mr. Mullaney ruled for 4 5 the -- that the charter supported the mayor's position, in another case he ruled that the 6 charter supported the City Council's decision, 7 in the sense that there are two different 8 branches of the government asking him a question 9 that has the sense of judicial, no question 10 about it. So he's not incorrect, but it is not 11 12 appropriately characterized as quasi-judicial 13 because the quasi-judicial process would only 14 apply to outside third parties. MS. MILLER: Okay. So it's more judicial 15 16 as opposed to quasi-judicial. Got it. Thank you. 17 MR. ROHAN: In nature. 18 THE CHAIRMAN: Commissioner Austin. 19 20 MR. AUSTIN: Yes. I hope you didn't think 21 any of our -- my comments are directed at you. 22 I was just -- it's sort of as much as to each 23 other up here as it is to anybody. I'd just like to make a brief observation 24 that the -- this weak model of this government 25

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1 after the federal and state system of the mayor 2 and the -- you have three branches, the judicial, the mayor -- legislative and the 3 executive. And the legislative, obviously, 4 being the 19 City Council people, and I would --5 I don't think that really we have to be too 6 concerned about protecting the interest of the 7 City Council. They are very, very powerful. 8 With 13 votes and -- I don't know how many 9 votes -- they can override the mayor's veto. 10 They're fully capable, I promise you, of 11 12 protecting themselves in any little -- legal 13 issues of who represents who and -- because they are independent. They are strong and they 14 balance the mayor and they balance the City 15 16 Council. If we are looking at something that is 17 going to protect the City Council, we're 18 spinning our wheels because they're fully 19 capable. As a matter of fact, they can do some 20 21 real mischief down there, so I don't think we 22 need to look out for any little asides on any --23 anybody imposing on the City Council as far as 24 rules of ethics are concerned. THE CHAIRMAN: Commissioners, I have nobody 25

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1
          else in the queue. Any further comments,
 2
          questions?
               COMMISSION MEMBERS: (No response.)
 3
               THE CHAIRMAN: Okay. Mr. Gillam, thank you
 4
 5
          very much. Thank you for coming down. Thank
          you for your service on the Ethics Commission.
 6
               MR. GILLAM: Thank you very much.
 7
 8
               THE CHAIRMAN: Now we'll move into public
 9
          comment.
               Mr. Clements, are there any speaker cards?
10
               MR. CLEMENTS: Yes.
11
12
               THE CHAIRMAN: Mr. Nooney, come on down.
13
               (Audience member approaches the podium.)
               AUDIENCE MEMBER: John Nooney, 8356 Bascom
14
          Road, Jacksonville, Florida 32216.
15
16
               Judge Durden, before you leave, I just
17
          appreciate your comments on the history and for
          your two years of service as the General
18
          Counsel, and also I would just be fascinated
19
          knowing the history -- you know, when the
20
21
          charter was originated there was a code of
22
          ethics. You served two years, and in 1972 the
23
          code of ethics was taken out of the City
          charter. I would be fascinated to know how that
24
          was allowed to happen.
25
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1 What I want to touch about is -- I just 2 participated in the recent JCCI study, and the biggest issue -- one of the biggest issues is 3 the public trust, and it's being destroyed in 4 5 this community. And I want to read this to you, right out 6 of the book, "Invite greater transparency and 7 public involvement. The Jacksonville City 8 Council should hold its committee meetings at 9 times that are more accessible to the public and 10 ensure opportunities for participation in 11 12 adequate time for public comment." 13 Mayor Austin, you're right. You know, there's just a lot going on with that City 14 Council. Right now there is an ordinance before 15 16 the City Council, it's 2009-611, introduced by Councilmember Lee. I just want to read it to 17 18 you. "An ordinance regarding public comment at 19 council meetings, amending Rule 4.301, Regular 20 21 Order of Business, Council Rules, to provide an 22 additional period of public comment." 23 Now, I want to share with you -- here is 24 the agenda for Rules Tuesday. There's no opportunity for public comment in here, none. 25

1 Now, here's the new version of 2009-611. 2 Think about this. Introduced by Councilmember Lee and Johnson and amended by the Rules 3 Committee. So here is the agenda. There's no 4 opportunity for public comment. You open it up, 5 and here's the ordinance: "Amend, remove 6 `additional' from title." 7 So you take a piece of legislation that 8 would restore the public trust to the citizens 9 of this community and you have just sabotaged 10 11 it. 12 This Charter Revision Commission in the 13 memorandum, you know, that was at the last Charter Revision Commission meeting you had --14 15 in conclusion, this commission has the ability 16 to make any recommendation. The Charter Revision may take any action it likes at any 17 time it likes. 18 I really hope that the City Council will 19 20 not fall prey to this backroom deal. It's 21 horrible. What started off as a good piece of 22 legislation -- add an additional period of 23 public comment, that's great. There should be 24 high-fives. This should be a front page news 25 story.

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1
               If it goes through and is voted on, our new
 2
          city slogan should be "Visit Jacksonville, where
          we restrict free speech."
 3
               I hope you all look into this ordinance
 4
 5
          because it is that important.
 6
               Thank you for listening.
               THE CHAIRMAN: Thank you, Mr. Nooney.
 7
 8
               Mr. Mullaney, would you like to address
 9
          us?
               MR. MULLANEY: Yes.
10
               (Mr. Mullaney approaches the podium.)
11
12
               THE CHAIRMAN: Thank you.
13
               MR. MULLANEY: Consistent with our prior
          practice, I will have you sworn in even though
14
15
          this is public comment.
16
               MR. MULLANEY: Sure.
               THE REPORTER: Your name and address first,
17
          please.
18
               MR. MULLANEY: Rick Mullaney, 7935 Bishop
19
          Lake Road North, Jacksonville, Florida 32256.
20
21
               THE REPORTER: Thank you.
22
               Do you affirm that the testimony you're
23
          about to give will be the truth, the whole
          truth, and nothing but the truth?
24
               MR. MULLANEY: Yes, ma'am.
25
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1

THE REPORTER: Thank you.

2 MR. MULLANEY: Thank you very much. I want to thank Braxton Gillam for his 3 comments. I think he was thoughtful. He's 4 5 obviously very sincere, but I think he helps raise a point that I'd really love for this 6 commission to consider, which is the true genius 7 of consolidated government and how legal 8 services are so critical to this. 9 After all the time this group has put into 10 this, you've begun to see that of the 67 11 12 counties, we are unique. And what is unique, in 13 part, is our ability to operate as an enterprise, a \$4.5-billion-a-year enterprise in 14 15 consolidated government. 16 And I'll get to this in a second with respect to his comments, not as multiple 17 disparate clients, not as silos of authority, 18 but as a consolidated government that, as an 19 enterprise, can, from a public policy 20 21 standpoint, pursue whatever -- whether it's the 22 NFL, whether it's restructuring, whether it's 23 the Super Bowl, whatever that may be. The founding fathers of this government 24 happened through, I think, genius and luck, as 25

1 I've mentioned to you before, to come up with a 2 number of ways of making this unique government work, and one of them relates to legal 3 services. 4 And I hope I can address this conflicts 5 issue because there's a body of law -- and draw 6 7 some distinctions that I hope will be helpful to everybody. 8 THE CHAIRMAN: I would like you to kind 9 of -- you have spoken to us before on kind of 10 the broad issues of consolidation, so if you 11 12 could focus on the conflict issue, that would be 13 helpful. MR. MULLANEY: So let me talk about that 14 legal [sic] in four contexts for conflicts. 15 16 Number one, adjudicatory proceedings. The answer to your question, Mr. Chairman, 17 related to adjudicatory proceedings: 18 Adjudicatory proceedings are one -- for 19 20 example, the Civil Service Board, in which a 21 claim is brought against an employee in which 22 they may be fired and you have a board that 23 makes a decision, and you may see the General Counsel's Office act as an advocate in that 24 context for a department and a separate lawyer 25

1 advising the board.

2	This is what Mr. Rohan is talking about,
3	specific case law from the U.S. Supreme Court
4	and the Florida Supreme Court. With all due
5	respect, it says in the governmental context
6	this does not constitute a conflict. And that
7	is where the word "advocacy" is being used
8	properly, but it's misused in a different
9	context.
10	So in adjudicatory proceedings and there
11	are more in this government, such as the
12	Planning Commission we have adjudicatory
13	proceedings. And never have I or any other
14	General Counsel, to my knowledge, ever ventured
15	into a binding legal opinion in the context of
16	an adjudicatory proceeding.
17	So I do want all of you to know that in
18	those adjudicatory proceedings context there is
19	specific law from the U.S. Supreme Court and
20	Florida Supreme Court that says this is not a
21	conflict.
22	You talk about a second context. The
23	various entities of the consolidated government,
24	eight independent authorities, five
25	constitutional officers, and executive and

1 legislative branches -- and sometimes we said we have conflicts. Let's slow down for a second 2 and talk about what conflicts we're referring 3 to. Are we talking about a legal issue or are 4 we talking about a policy conflict? 5 Now, sometimes -- and I think this is where 6 Mr. Gillam is not familiar with government 7 practice -- a legal issue clearly will arise 8 within the various entities of this overall 9 consolidated government, and I've given you 10 examples before. Property appraiser says I 11 12 can't be audited, the council auditor says, yes, 13 I can. In typical private practice, you'd think 14 there would be separate lawyers. He's left out the provisions of our charter, the binding legal 15 16 opinion. In this case -- and Ms. Miller is correct -- we have to say Supreme Court, done it 17 over 370 times over 40 years, legal opinion that 18 19 says the property appraiser is subject to 20 audit.

Mr. Gillam also raised this notion of
representing the executive and legislative
branches. Clearly, over 40 years there have
been conflicts that have arisen in the legal
context. I've given a number of those opinions,

1 such as collective bargaining.

2	I stood right here one night with about 200
3	people in blue uniforms opposed to my opinion in
4	which I told the City Council that they did not
5	have the legal authority to give benefits until
6	collective bargaining was concluded at the
7	table. And people are correct that the
8	legislative branch of government did not like
9	the legal opinion placing a limitation on them.
10	That same night I gave an opinion telling
11	the mayor's office that they did not have the
12	authority to transfer millions of dollars
13	without council approval. They didn't like that
14	either. In response to how they got around it,
15	they did go to the Florida Legislature the next
16	year and they got it modified some.
17	But this is an example in which you have
18	various parts of this government, constitutional
19	officer, legislative branch, entities, where
20	they have a legal dispute. And part of the
21	genius of our const of our charter is that
22	we do have a mechanism I'm not saying it
23	makes me popular. I'm not saying, as some would
24	say, that engenders that people understand
25	it, but we have a way to operate as an

enterprise so that we give a legal opinion that
 binds everybody.

By the way, in case you're wondering what 3 the solution is around the state, they all get 4 their own lawyer and they all sue each other, 5 and it's expensive and it's time consuming and 6 they fight. And so here, we go through this 7 difficult process, but we operate as an 8 enterprise. While the General Counsel may be 9 unpopular, this works pretty good. 10

11 So the second context is this -- and, by 12 the way, often you will see a clash in policy 13 conflict. Let me correct something that's 14 important. We are not advocates on the policy 15 differences among clients.

16 Recently you saw in the Trail Ridge Landfill issue a significant policy debate 17 between the executive and legislative branches 18 to -- whether to extend a contract or not. We 19 20 gave a legal opinion that said it's legal to 21 extend it, it's also legal for it to terminate 22 and to go out to bid. And the executive and 23 legislative had a huge policy debate, and we 24 have no position on the -- we are not an advocate on the policy debate. We did give the 25

1 legal opinion.

2	You also recently witnessed a very
3	significant policy debate, should we increased
4	the ad valorem rate or not. General Counsel's
5	Office, we don't have any opinion on the policy
б	rate. We did give legal opinions, that once the
7	TRIM notice went out, there's a certain level
8	that you could increase it. And once you had a
9	veto, there's certain levels.
10	We gave the legal opinion governing that
11	policy debate, but I will tell you this: You
12	heard it from the sheriff and I'm sure you've
13	heard it from other clients. What they want to
14	some extent, understandably, is to have a lawyer
15	in the traditional private practice who's an
16	advocate for them for their agency, and
17	they and I understand that because they want
18	their agency to succeed.
19	But as I've expressed to you before, part
20	of consolidated government is to make this
21	work as an enterprise, they give up some of that
22	sovereignty, and some of the sovereignty they
23	give up is that traditional notion that their
24	agency are disparate interests, as Gillam
25	referred to it.

1 They're really not disparate -- they may 2 have separate policy objectives internally, but 3 we are one interest, we are one government, and so we often have clashes on the public policy 4 debate. That's among the clients. This office 5 helps make sure that that comes together. 6 7 So that's the second context, where various entities of this government or branches of this 8 government may, A -- and I encourage you to 9 10 identify, are we talking about a policy conflict or are we talking about a legal conflict or are 11 12 we really talking about a situation in which an 13 entity of this government wants an advocate on a 14 policy matter? Third context -- and this happens a lot, 15 16 and I go to -- and this is a conflict, and I've gone to outside counsel on this. We get sued 17 and sometimes the City is named and an 18 19 individual is named also. We've gone to outside 20 counsel where the defenses are irreconcilable, and I -- for police officers, we've gotten them 21 22 individual outside counsel, for firefighters --23 Warren Alvarez, a few years ago, when we got 24 sued and there was a codefendant in his individual capacity, we went to outside 25

1 counsel.

2 I have gone to outside counsel in that kind 3 of conflict situation in the past when you have a legal conflict, and so -- that is the most 4 common conflict we get because the defenses may 5 be at odds with each other. 6 The fourth example -- and this may relate 7 some to the Ethics Commission -- is what if 8 there's an allegation of wrongdoing by an 9 individual member of this government? 10 Typically, we're not representing you if 11 12 you're outside the scope of your employment and 13 it's a matter of wrongdoing. In Tallahassee, before the Ethics Commission, there was an 14 allegation a couple of years ago about gifts, 15 16 outside counsel. Grand jury on Sunshine Law, outside counsel. So, therefore, that sort of 17 conflict doesn't really arise in the sense of 18 19 that wrongdoing. In preparing for today, I was hopeful that 20 21 these four categories, this adjudicatory 22 proceeding, entities of the consolidated 23 government who may be at odds on policy or law, 24 lawsuits in which we have multiple defendants in the lawsuits, and individual wrongdoing may help 25

1

place this conflict notion in context.

2 But I will tell you the point that, in 3 part, gets missed is that the genius to making this enterprise work, I believe, in part, is 4 5 centralized legal services, and acting as a Supreme Court for the consolidated government --6 which is really the term where General Counsel 7 8 John Delaney -- is a piece of making that work. I agree this is sometimes very difficult. I 9 agree it's sometimes challenging, but I think 10 it's the best system I've seen in the state of 11 12 Florida. 13 I hope that's of some help. 14 THE CHAIRMAN: Yes, it was. Thank you very 15 much. 16 Commissioner Oliveras. MR. OLIVERAS: Thank you, Mr. Chairman. 17 Mr. Mullaney, well, first of all, I hope 18 you were very comforted and felt very safe in 19 20 that strong law enforcement presence you 21 referenced. 22 MR. MULLANEY: Many had guns. 23 THE CHAIRMAN: I think that's a no. 24 MR. OLIVERAS: Well, we're here for you. I spoke recently with State Attorney Angela 25

1 Corey and we were talking about her -- I think 2 she refers to it as a public corruption unit. MR. MULLANEY: Yes. 3 MR. OLIVERAS: She started something over 4 5 there. MR. MULLANEY: Yeah. 6 MR. OLIVERAS: What I'm curious about are 7 8 your thoughts -- she's beginning an effort. We 9 have the Ethics Commission. We have the ethics officer. 10 11 MR. MULLANEY: Yes. 12 MR. OLIVERAS: And as I previously stated, 13 I'm very supportive of these efforts. I think it's very important to the citizens that they 14 have confidence in their local government. 15 16 MR. MULLANEY: Yes. MR. OLIVERAS: Do we need more or do we 17 need -- as I suggested earlier, do we need to be 18 19 a bit more assertive or aggressive in taking 20 action when we see something? Is it more how 21 we're doing it or do we need more structure in 22 place? 23 MR. MULLANEY: I'm not sure I know exactly 24 what you mean. I'm sorry. MR. OLIVERAS: That we have now these --25

1 with the State Attorney's Office, the Ethics Commission, the ethics officer. We have, for 2 lack of a better term, watch dogs in place. 3 MR. MULLANEY: Well, I've mentioned this to 4 5 you before, and I don't know if -- this detail, but in 1986 I was responsible for drafting a 6 comprehensive code of ethics. It took too long; 7 it took three years. But when we did, in 1999, 8 we did three things. We passed a comprehensive 9 code of ethics, we created an ethics officer 10 system, and we gave new responsibilities to the 11 12 Ethics Commission. 13 I will tell you this: I had several 14 council members come back to me opposed to what I was doing in giving the Ethics Commission a 15 16 new responsibility and they said, they will be politically manipulated, they will affect 17 elections, I am -- we are opposed to anything in 18 which they have this broad-ranging 19 responsibility. And I said, no, we are going to 20 21 clearly define their role in specific areas, 22 gift disclosure, financial disclosure, 23 compliance with conflicts. So, therefore, that won't be an issue. This was 1999. 24 What's happened a decade later -- and 25

1 you've seen it some -- is that that has morphed 2 into a body that sometimes, on occasion, has a vague idea of what they're supposed to be doing 3 and they're getting beyond the rule of law and 4 5 compliance with ethics laws into giving opinions about what is good government. 6 And, in fact, Trail Ridge went before the 7 Ethics Commission, which, in my opinion -- and 8 they said it up front. It didn't involve a 9 violation of Chapter 112 of the Florida 10 Statutes. It didn't -- violation of Chapter 602 11 12 of our code. It didn't violate the rule of 13 law. They just didn't know if it was a good 14 idea or not. And then the same thing happened with 15 16 respect to emergency legislation by a City Council member. It didn't violate the 17 ethics code, it didn't violate the rule of law, 18 but they didn't think it was good government. 19 20 The slippery slope for this notion of it's 21 legal but we want to give you our opinion on it 22 is that now you're getting into a public policy 23 matter. So my suggestion is this: Ms. Corey is 24 actually following what Ed Austin did, is that you have the expertise, the resources, and the 25

1 talent to conduct the investigations, whether 2 it's Sunshine Law, public records, public corruption, in the State Attorney's Office, and 3 I believe we should empower the ethics officer 4 to be more forceful in making those referrals to 5 the appropriate place. 6 They should be able to refer it to the 7 State Attorney's Office. They should also be 8 able to refer it to the statewide Ethics 9 Commission on matters that they believe need to 10 be investigated because they have the resources, 11 12 the expertise, and the knowledge to do this 13 properly. What happens is we are getting into this 14 vague area with overlapping, kind of duplicative 15 16 matters in which we don't know what we're doing, and I think part of what we need to do, quite 17 frankly -- I accept responsibility -- we need to 18

20 with clearly defined responsibilities.

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go back and rewrite the code and make it clear

21 And I think that we've got it right with a 22 comprehensive code, an ethics officer system and 23 an Ethics Commission, but we've gone down a path 24 with a lack of clarity. And nobody wants to be 25 victimized by that lack of clarity, which

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1 anybody could once you get past the notion of 2 it's legal, but we want to tell you what we think about it. That is appropriate, by the 3 way, for legislative bodies, newspapers, JCCI, 4 5 but I don't know about for an Ethics Commission. So my answer to you is we should utilize 6 institutions that exist to get this done. The 7 Inspector General, I think, is a very positive 8 development in this government. It brings 9 expertise and accounting knowledge to the 10 investigative process. Our council auditor has 11 12 very extensive investigative authorities. I 13 think the ethics officer should be empowered to go to the Council Auditor's Office, to go to the 14 15 Inspector General, to go to the State Attorney, 16 to go to the Ethics Commission when they think those matters warrant it, and I think we need to 17 revise the code to provide clarity for the role 18 of the Ethics Commission. 19 20 MR. OLIVERAS: Thank you. 21 THE CHAIRMAN: Commissioners, any other 22 questions or comments for Mr. Mullaney? 23 COMMISSION MEMBERS: (No response.) 24 THE CHAIRMAN: All right. Thank you very much. 25

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MR. MULLANEY: Thank you.

2 THE CHAIRMAN: Are there any other public comments? 3 COMMISSION MEMBERS: (No response.) 4 5 THE CHAIRMAN: Seeing none, I do want to address a few housekeeping matters before we --6 actually, before we get to that, is there any 7 8 other discussion that the commission wants to have about any of the issues we've heard today 9 or any other issues? 10 COMMISSION MEMBERS: (No response.) 11 12 THE CHAIRMAN: Okay. Then a few 13 housekeeping matters. The first is, Commissioner Austin, you had this article that I 14 15 believe you wanted to submit into the record? 16 MR. AUSTIN: (Inaudible.) THE CHAIRMAN: Yes. This -- would you like 17 it distributed to the rest of the commission? 18 MR. AUSTIN: (Inaudible.) 19 THE CHAIRMAN: Okay. This is an editorial 20 21 in the Wall Street Journal from -- it looks like 22 yesterday, regarding charter schools, and I 23 would ask that Mr. Clements insert this into our official record and distribute it to the rest of 24 the commission by e-mail. 25

1 Second, as you recall at our last meeting, 2 an issue -- I raised an issue and asked the General Counsel's Office to look into it, and I 3 will defer to Mr. Rohan for the results of their 4 review of the issue. 5 MR. ROHAN: Thank you, Mr. Chairman. 6 As I understand, there was some discussion 7 regarding any perceived ethical issues with 8 Commissioner Eichner and her role with regard to 9 the elections issue, and we have reviewed that, 10 we've reviewed it under State law and under City 11 12 law and under voting prohibitions, and we found 13 absolutely no ethical violations whatsoever, no appearances of any ethical violations. We're 14 very comfortable that her role was absolutely 15 16 above board. THE CHAIRMAN: Very good. Thank you very 17 18 much. An let me just reiterate, that was the --19 20 my objective in referring that was in order to 21 clear up any misperceptions and also to, 22 obviously, protect our credibility, and so I'm 23 very happy with that outcome and I want to 24 officially acknowledge that on the record. MS. EICHNER: Thanks. 25

THE CHAIRMAN: Any other questions, comments, matters? COMMISSION MEMBERS: (No response.) THE CHAIRMAN: Okay. We're adjourned. Thank you. (The above proceedings were adjourned at 11:20 a.m.) - - -

CERTIFICATE STATE OF FLORIDA: COUNTY OF DUVAL : I, Diane M. Tropia, certify that I was authorized to and did stenographically report the foregoing proceedings and that the transcript is a true and complete record of my stenographic notes. Dated this 10th day of November, 2009. Diane M. Tropia